CivilCase XML Specification [Protection Order Elements]

D R A F T - NOT FINAL

ECF 4.01 Compliant Specification

AOC XML Markup Standard 2.0.0.0 AOC Extension 2.1

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1 Document Revision History

Date	Rev	Nature of Revision	Author
June 28, 2012	0.0	First Draft	Ridge Franks
August 2, 2012	0.1	Updates from 1st review session on 7-1-12 Changes are in BOLD Blue font.	Ridge Franks
August 6, 2012	0.2	Updates based upon peer review on 8/3/12: Added Provisional Remedy and Evidence of Service in Special Topics section.	Ridge Franks
August 30, 2012	0.3	Update	Ridge Franks
Oct. 19, 2012	0.4	Replaced the namespace with aoc namespace.	Gary Graham
Nov. 9, 2012	0.5	Updated for Standardized namespaces. Examples updated.	Gary Graham
Dec. 4, 2012	0.6	Updated document to move nc:Obligation to aoc:Obligation.	Gary Graham
2014-12-04	0.7	Added CivilCase Elements – AOC eFiling Usage section	Robert Roll
2014-12-05	0.8	Added ecf:CaseShortTitleText in section 3 and narrative portions of document. Addressed element content from beginning of document to CaseCategoryText. Added comments indicating where certain elements need to be moved. Added cross references in the CaseGeneralCategoryText and CaseSubCategoryText within CaseCategoryText element.	Gary Graham, Jeff Viemont, Jim Price
2014-12-22	0.9	Changed title headings for sections 5 and 6. A decision to add missing elements referenced in Commons should be added to this document or modified in Commons. The problem is that the work done to this point moved away from Commons.	Gary Graham, Jim Price
2014-12-30	0.10	Modified elements based on RDM document. Added outline style formatting to document.	Gary Graham, Jeff Viemont, Jim Price
2015-01-02	0.11	Continued to modify elements based on Commons and RDM documents. Added elements contained in roadmap, but not included in the specifications body.	Gary Graham, Jeff Viemont, Jim Price
2015-01-05	0.12	Continued to modify elements based on Commons and RDM documents. Added elements contained in roadmap, but not included in the specifications body.	Gary Graham, Jeff Viemont, Jim Price
2015-01-05	0.13	Formatting; fixed style 1 to 5	Jeff Viemont
2015-01-06	0.14	Accepted formatting changes. Moved Litigant Group to the bottom of aoc:CaseAugmentation section of roadmap. Expanded Special Topics section with information from Commons document.	Gary Graham, Jim Price

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2015-01-07	0.15	First pass update and corrections to section 7.1 Case Participants special topic copied from 2-0-0 Commons.	Gary Graham
2015-01-09	0.16	Updated Special Topics section up to Unknown or Obfuscated Parties.	Gary Graham, Jim Price
2015-01-12	0.17	Continued updating Special Topics section. Added j:CaseOtherEntity within j:CaseAugmentation.	Gary Graham, Robert Roll, Jeff Viemont, Jim Price
2015-01-13	0.18	Updated aoc:CaseParticipant for all of its entity sub-elements. Made corrections in roadmap for aoc:CaseParticipant and back tracked to j:CaseCourt to expand address information.	Gary Graham, Robert Roll, Jeff Viemont, Jim Price
2015-01-14	0.19	Added content to introduction explaining that: 1) XML specifications will be presented in the order that they appear in the roadmap; 2) future revisions of the AOC XML specifications may re-introduce a "Common Elements" document that would eliminate the need for duplicate XML entries and mitigate the risk of making element changes in one area of the documentation and failing to making them in other areas of the document. Accepted all new edits made to date to minimize MS Word behavior issues. Highlighted references to the "Commons" document, which need to be replaced.	Jim Price
2015-01-20	0.20	Added elements and subelements for aoc:CaseParticipant. Modified j:CaseOfficialRoleText to include lingua franca values.	Gary Graham, Robert Roll, Jim Price
2015-01-26	0.21	Added nc:OrganizationLocation through *nc:LocationPostalCode* elements.	Gary Graham, Jim Price
2015-01-26	0.22	Fixed formatting	Jeff Viemont
2015-01-27	0.23	Fixed Jeff's formatting. ☺ Completed aoc:CaseAugmentation and aoc:LitigantGroup. Added elements to the roadmap. Various edits.	Gary Graham, Jeff Viemont, Jim Price
2015-01-28	0.24	Added/modified elements in section 4. Made various edits.	Gary Graham, Robert Roll, Jim Price
2015-01-28	0.25	Replaced XMLSpy figure with updated figure.	Gary Graham
2015-02-02	0.26	Modified cover page.	Gary Graham, Jeff Viemont, Jim Price
2015-02-04	0.27	Modified nc:DocumentIdentification within aoc:CaseParticipant to remove comment about cardinality restriction.	Gary Graham, Jeff Viemont, Jim Price
2015-02-05	0.28	Changed IdentificationSourceText section number for OrganizationIdentication within EntityOrganization within CaseParticipant.	Gary Graham, Jim Price

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2015-02-06	0.29	Minor edits.	Gary Graham, Jim Price
2015-02-18	0.30	Augmented nc:CaseTrackingID to include the aoc:Metadata complex element. Referenced a newly-created Appendix that contains an expanded CaseParticipantRoleCode list.	Gary Graham, Jeff Viemont, Jim Price
2015-02-20	0.31	Added additional case participant role codes for litigants in a case. Added “Arbitrator,” “Mediator,” and “SpecialMaster” to nc:CaseOfficialRoleText.	Gary Graham, Jim Price
2015-02-25	0.32	Revised Special Topics section 7.1.9 to describe the use of the nc:PersonCapability and nc:CapabilityDescriptionText. Updated the specification and roadmap sections, accordingly.	Gary Graham, Jim Price
2015-02-26	0.33	Added aoc:OrganizationCategoryText to the Road Map and in detail section under EntityOrganization at section 6.3.2.6. Corrected some instance of ecf:EntityOrganizationReference by changing to nc:EntityOrganizationReference.	Gary Graham
2015-02-28	0.34	Replaced ecf:DocumentRendition with aoc:DocumentRendition. Updated table of contents.	Gary Graham, Jeff Viemont, Jim Price
2015-03-04	0.35	Modified section 6.4 ecf:CaseShortTitleText by removing the 50-character length constraint.	Gary Graham, Jeff Viemont, Jim Price
2015-03-20	0.36	Added root element warning stating the consequences of including elements not specified in this document, i.e., message consumers can and will ignore them. Corrected URI namespace format per Architecture specifications. Moved nc:OrganizationIdentification between aoc:EntityOrganization and nc:OrganizationLocation. Indicated “Not Used” for element sections 6.3.2.2 nc:OrganizationLocation through 6.4.2.2.1.1.5 nc:LocationPostalCode.	Gary Graham, Jim Price
2015-03-25	0.37	Removed language within section 5.12 j:CaseRespondentParty indicating that this element was not to be used for subsequent submissions. This element IS to be used for both initial and subsequent submissions.	Gary Graham, Jeff Viemont, Jim Price
2015-03-30	0.38	Updated sections 5.12 CaseRespondentParty and 7.1.5.2 Case Party Mark-up to clarify when and how to identify parties referenced in a submission within j:CaseAugmentation.	Gary Graham, Jeff Viemont, Jim Price
2015-04-07	0.39	Edits made to special topics (7.2), aoc:CaseCourtEvent, j:CourtEnteredOnDocketDate, aoc:ActivityCategoryText, aoc:ActivityReasonText, ecf:EntityAssociationTypeCode, and aoc:Obligation, nc:ObligationEntity, and Roadmap changes for new or missing elements.	Gary Graham, Jeff Viemont, Jim Price

CivilCase

Date	Rev	Nature of Revision	Author
2015-04-07	0.40	Added aoc:ActivityRequest and nc:ActivityDescriptionText elements to aoc:CivilCase. Updated Roadmap.	Gary Graham, Jeff Viemont, Jim Price
2015-04-10	0.41	Modified AOC Usage Notes and Examples in section 6.8.3.3 nc:ObligationEntity to include instructions for handling multiple debtors.	Gary Graham, Jim Price
2015-04-10	0.42	Added aoc:Obligation, nc:ObligationCategoryText, aoc:ObligationPaidAmount, aoc:ObligationCompleteIndicator elements (section 4.24). Added nc:PersonOtherIdentification, nc:IdentificationID, nc:IdentificationCategoryText, nc:IdentificationJurisdictionNCICLISCode, and nc:IdentificationSourceText elements in section 6.3.1.4.	Gary Graham, Jim Price
2015-04-16	0.43	Updated xmlns:aoc to be - xmlns:aoc="http://schema.azcourts.az.gov/aoc/efiling/ecf/extension/2.0"	Robert Roll
2015-04-16	0.44	Added nc:PersonBirthDate and nc:Date to ecf:EntityPerson within aoc:CaseParticipant. Updated Roadmap.	Gary Graham, Jeff Viemont, Jim Price
2015-04-17	1.0	- - Integration DRAFT – Version 1 - -	
2015-05-27	1.01	Modified section 5.12 j:CaseRespondentParty by replacing the Standard Definition with the correct information and updating the AOC Notes. (highlighted section requires additional review) Also replaced the Standard Definition in section 6.2 ecf:CaseOfficial.	Gary Graham, Jim Price
2015-05-28	1.02	Modified section 5.12 j:CaseRespondentParty by refining examples included in AOC Usage Notes section. Re-numbered AOC Usage Notes outlines in sections 6.2.3 CaseOfficialRoleText, 6.3.1.3.1 nc:CapabilityDescriptionText, 6.3.1.6.2 nc:IdentificationCategoryText, 6.3.7 ecf:CaseParticipantRoleCode, and 6.8.3 aoc:Obligation.	Jim Price
2015-06-01	1.03	Corrected cross and counter claim examples contained in the AOC Notes of section 5.12 j:CaseRespondentParty based on feedback provided by subject matter expert Mark Leong.	Jim Price
2015-06-01	1.04	Added cross claim, counter claim, and third-party claim examples in section 5.12 j:CaseRespondentParty AOC Usage Notes.	Gary Graham, Mark Leong, Jim Price
2015-07-21	1.05	Basic document edits (renumbering outline values, moving sections up to remove blank pages).	Jim Price
2015-07-24	1.06	Revised Section 6.3.7 CaseParticipantRoleCode, removed RULE 2 regarding valid value sets and clarified RULE 1 (now known simply as RULE).	Gary Graham, Jim Price

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2015-07-31	1.07	Added language in the Introduction section indicating that the narrative section of the specifications document supersedes the XML examples and schema.	Gary Graham, Jim Price
2016-01-29	1.08	Added aoc:LocationAugmentation, scr:LocationIdentification within the j:CaseCourt complex element section. Updated Roadmap. Added information to j:CaseAugmentation complex element section. Added to XML example in section 7.1.11 Judges and Judicial Officers. This new complex element is an extension to the existing schema.	Jim Price
2016-01-29	1.09	Revised example in section 7.1.11 Judges and Judicial Officers, Example 3: Judge assigned to lower court case referenced from an appellate court. The example includes a snippet illustrating aoc:LocationAugmentation, scr:LocationIdentification within the j:CaseCourt complex element.	Jim Price
2016-01-29	1.10	Corrected sample XML snippets.	Jim Price
2016-02-01	1.11	Changed section 5.2 j:CaseCourt to aoc:CaseCourt. Modified section 5.2.4 aoc:LocationAugmentation to include nc:IdentificationID element. Modified all examples accordingly.	Gary Graham, Jim Price
2016-02-01	1.12	Updated table of contents. Updated section 8 Appendix: Valid CaseParticipantRoleCode Values by including a 'Payer' role code. This code is only used when none of the other codes have already been assigned to the Payer.	Gary Graham, Jim Price
2016-02-02	1.13	Replaced ecf:EntityPersonReference with nc:EntityPersonReference. Removed Payer definition from section 8 Appendix CaseParticipantRoleCode table. Added ClerkOfCourt, DeputyClerkOfCourt, and Bailiff to section 8 Appendix CaseParticipantRoleCode table.	Gary Graham, Jim Price
2016-06-22	1.14	Added arbitrator, constable, mediator, process server, and special master case participant role codes in the Appendix in section 8. Notes: a) A description of mediator needs to be added to section 7.1.12; b) A description of constable and process server needs to be added in a new special topics section.	Gary Graham, Jim Price
2016-06-29	1.15	Added GuardianAdLitem and Parent case participant role codes in the Appendix in section 8. Note: The relationships between guardians and parents with minors and incompetent persons must be defined. Modified section 7.1.8 Parent or Guardian and Family by replacing nc:PersonOtherIdentification and nc:IdentificationCategoryText with nc:PersonCapability in the XML example. Updated the AJACS-GJ case type description in section 4.4 nc:CaseCategoryText.	Gary Graham, Dave McNeill, Jim Price
2016-06-30	1.16	Corrected ecf:CaseParticipantRoleCode reference in section 7.1.13 Subpoenaed Person. Corrected	Dave McNeill, Jim Price

Date	Rev	Nature of Revision	Author
		ecf:CaseParticipantRoleCode element end tags in two places within the section 7.1.8 Parent or Guardian and Family XML example.	
2016-07-05	1.17	Added 'Interpreter' and 'JudicialStaff' to the list of case participant role codes in the section 8 Appendix.	Jim Price
2016-07-07	1.18	Added 'Parent', 'GrandParent', and 'GreatGrandParent' to 4.21.3 GuardianAssocationTypeCode list and corrected two typos	Dave McNeill
2016-07-08	1.19	Defined Guardian Ad Litem and Victim as non-litigants in the section 8 Appendix.	Mary Foltz Mark Leong Jim Price
2016-07-19	1.20	Added Guardian ad litem to the list of GuardianAssocationTypeCodes	Dave McNeill
2016-09-13	1.21	Added valid values (Minor, IncapacitatedPerson) to CapabilityDescriptionText in 7.1.9	Dave McNeill
2016-11-15	1.22	Updated 7.1.8 Parent or Guardian and Family to use aoc:GuardianAssociation, section 5.8.2 CaseOfficialRoleText for clarity	Dave McNeill Gail Pals
2016-11-22	1.23	Added the word "that" to the AOC Notes in the first sentences of sections 5.14.2.1 j:JudicialOfficialBarIdentification and 6.2.2.1 j:JudicialOfficialBarMembership.	Dave McNeill Jim Price
2016-11-28	1.24	Updated 6.2.3 j:CaseOfficialRoleText and 5.8.2 j:CaseOfficialRoleText for clarity	Dave McNeill Jim Price
2016-12-01	1.25	Clarified 4.4 nc:CaseCategoryText, moved some information relevant only to GetCase operations to the GetCase xml spec, corrected typo in name of element 5.2.2.1.1.4 nc:LocationCountryFIPS10-4Code, fixed some formatting	Dave McNeill
2016-12-06	1.26	Too many small clean-up edits to list, plus Changed 6.3.3.2 nc:EntityOtherIdentification to nc:ItemOtherIdentification to align with xsd	Dave McNeill
2016-12-07	1.27	Revisions to eliminate notes about needing lists of values, lengths of participantIDs, and signer/asserter	Dave McNeill Jim Price
2016-12-08	1.28	RELEASE CANDIDATE – final cleanup completed	Dave McNeill
2016-12-27	1.29	Removed 4.24 nc:ActivityRequest and 4.25 ActivityDescriptionText and put them back in the RFR and RvFR docs because the rest of these appear in the CoreFilingMessage, not the CivilCase element.	Jim Price Dave McNeill
2016-12-29	1.3	Added AJACS CaseParticipantRoleCodes to list in Appendix RELEASE CANDIDATE	Dave McNeill
2017-02-23	1.31	Updated CaseParticipantRoleCode table in Section 8 to remove spaces from Statewide Codes. Moved some descriptions from Statewide Codes to Description column, because they aren't Statewide Codes; they're only AJACS codes.	Dave McNeill

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2017-03-01	1.32	Updated CaseParticipantRoleCodes table to include all AJACS Party Role Codes	Dave McNeill
2017-06-08	1.33	Updated CaseOfficialRoleText under j:CaseJudge to make it clear that it is required in this context.	Dave McNeill
2017-03-13	1.34	Replaced nc:CaseOfficialRoleCode (see 2/20/2015 Revision History entry in this document) with nc:CaseOfficialRoleText, since there is no nc:CaseOfficialRoleCode element as of this writing. The entry "Added additional case participant role codes for litigants in a case. Added 'Arbitrator,' 'Mediator,' and 'SpecialMaster' to nc:CaseOfficialRole**Code**." is replaced with "Added additional case participant role codes for litigants in a case. Added 'Arbitrator,' 'Mediator,' and 'SpecialMaster' to nc:CaseOfficialRole**Text**."	Gary Graham Dave McNeill Jim Price
2017-03-15	1.35	Updated Table of Contents	Dave McNeill
2017-03-21	1.36	Updated section 9.1.12 to include Mediator and Judge Pro Tem roles and codes. Updated Appendix: Valid CaseParticipantRoleCode Values to include Judge Pro Tem.	Gary Graham Jeff Viemont Dave McNeill Jim Price
2017-04-11	1.37	Updated 8.11.2 ecf:EntityAssociationTypeCode to include BusinessPartner. Corrected example in 8.11 ecf:PersonAssociation to say BusinessPartner, instead of BusinessPartners. Updated example in 8.10 ecf:OrganizationAssociation to say ActiveGeneralPartner, instead of "An active general partner". Updated 6.22.3 nc:FamilyKinshipCode and 6.23 nc:FamilyKinshipText to include Adoption, Biological, and Marriage as valid values, because they are enumerated in the xsd for nc:FamilyKinshipCode and the two element descriptions in the spec are nearly identical.v	Dave McNeill
2017-05-05	1.38	Updated all 3 ecf:EntityAssociationTypeCode tables with new values	Gary Graham Jeff Viemont Dave McNeill Jim Price
2017-05-12	1.39	Updated the AOC Notes for nc:FullTelephoneNumber and nc:InternationalTelephoneNumber.	Jim Price Gail Pals
2017-05-23	1.40	Updated sections 8.2 ecf:CaseOfficial and 9.1.6 Attorney – Party Relationships to clarify and add to the list of purposes associated with ecf:CaseOfficial. Corrected the misspelling of CaseOfficial. Updated section 7.16 aoc:FilingAttorney by ammending the definition of the filing attorney. Updated section 9.1.5.1.3 Attorney Type by changing the phrase "…CaseParticipantRoleCode *is* used…" to "…CaseParticipantRoleCode *can be* used…"	Gary Graham Dave McNeill Jim Price
2017-06-14	1.41	Modified Appendix: Valid CaseParticipantCode Values table by removing values and placing within section 8.3.1.3.1 nc:CapabilityDescriptionText.	Dave McNeill Jim Price

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2017-06-29	1.42	Added indents to the section 7.14 j:CaseRespondentParty AOC Notes for improved readability.	Jim Price
2017-07-27	1.43	Added JudgeProTem to 7.10.2 j:CaseOfficialRoleText under j:CaseJudge	Dave McNeill
2017-09-25	1.44	Removed a leading space in an element value example in section 8.10 ecf:OrganizationAssociation.	Jim Price
2018-02-16	1.45	Updated Section 9.3 Evidence of Service most recently modified by Dave McNeil.	Gail Pals, Jim Price, Mark Leong
2018-05-08	1.46	Updated Evidence of Service-related elements including: 7.5 aoc:CaseCourtEvent, 7.5.1 nc:ActivityDate, 7.5.1.1 nc:Date, 7.5.2 nc:ActivityDescriptionText, 7.5.3 nc:ActivityStatus, 7.5.3.1 nc:StatusText, 7.5.9 ecf:CourtEventDocumentReference, 7.5.10 ecf:CourtEventActor, 7.5.10.1 nc:RoleOfItemReference, 7.5.10.2 nc:RoleOfPersonReference, 7.5.10.3 nc:RoleOfOrganizationReference, 7.5.13 aoc:ActivityCategoryText, and Special Topics section 9.3 Evidence of Service. Updated Table of Contents and Roadmap. Added CaseParticipantRoleCode values for Judge and Judge Pro Tem in section 10 Appendix: Valid CaseParticipantRoleCode Values. Updated XML examples associated with ecf:PersonAugmentation and ecf:OrganizationAugmentation. Other minor grammar edits.	Gary Graham, Jeff Viemont, Jim Price
2018-06-04	1.47	Updated section 9.3 Evidence of Service. Clarified who aoc:DocumentSigner references when the EFSP's FAMDE does not capture the identity of the actual signer of a document. Added corresponding XML examples for FAMDE-captured and non-FAMDE-captured Document Signer identifiers.	Jim Price
2018-06-25	1.48	Added "(not used) to section 6.11 civil:JurisdictionGroundsCode element heading. Revised section 8.7 ecf:CaseParticipantRoleCode to describe how multiple role codes are assigned to a given Case Participant.	Jim Price
2018-10-03	1.49	Changed section 10 Appendix: Valid CaseParticipantRoleCode Values to reflect that Attorney roles are not Litigants (aka Parties).	Jim Price
2018-10-30	1.50	Modified section 8.2.4 ecf:CaseRepresentedPartyReference (*This is a reference to an entity element (e.g. ecf:EntityPerson, ecf:EntityOrganization, or ecf:EntityItem) within aoc:CaseParticipant.*).	Gary Graham Jim Price
2018-11-06	1.51	Removed "Filer", "Filer Organization" and "Private" from "Appendix 10 – Valid CaseParticipantRoleCode Values" section. Changed "Litigant? (Y/N)" column values for "Aribtrator", "Mediator", "Prosecutor", and "Public Defender" from "Y" to "N". Changed the value	Gary Graham Summer Dalton Jim Price

Date	Rev	Nature of Revision	Author
		"JudgeReview" to "JudgeReviewRequest" in section 9.4 Judge Action Indicator (JAI).	
2018-12-14	1.52	Added a new statewide standard case participant role code for "Online Dispute Resolution Vendor" (ODRVendor).	Jim Price
2019-01-28	1.53	Addition of "Protection Order" elements	

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8.3.2.5.1.2.1.3 nc:LocationStateName 100
8.3.2.5.1.2.1.4 nc:LocationCountryFIPS10-4Code 100
8.3.2.5.1.2.1.5 nc:LocationPostalCode 100
8.3.2.5.1.3 nc:ContactTelephoneNumber 100
8.3.2.5.1.3.1 nc:FullTelephoneNumber 101
8.3.2.5.1.3.1.1 nc:TelephoneNumberFullID 101
8.3.2.5.1.3.1.2 nc:TelephoneSuffixID 101
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3 Introduction

This document is the specification and is normative. Every effort was made to ensure that the XML examples and schema contained in this specification document are accurate. Any discrepancies between the narrative portion of this specification document and the non-normative XML examples and schema will be governed by the narrative portion of the specification.

This document describes the use of a Civil Case element within the context of the RvFR and RFR with respect to the CoreFilingMessage and RecordDocketingMessage, respectively.

Using element substitution, the nc:Case element is replaced by a more specific variety. ECF provides seven specialized case type elements which may be used for this substitution. These seven types support the National Center for State Courts seven case types; they are (1) appellate case,(2) bankruptcy case, (3) citation, (4) civil case, (5) criminal case, (6) domestic relations case, and (7) juvenile case.

This document describes the CivilCase element.

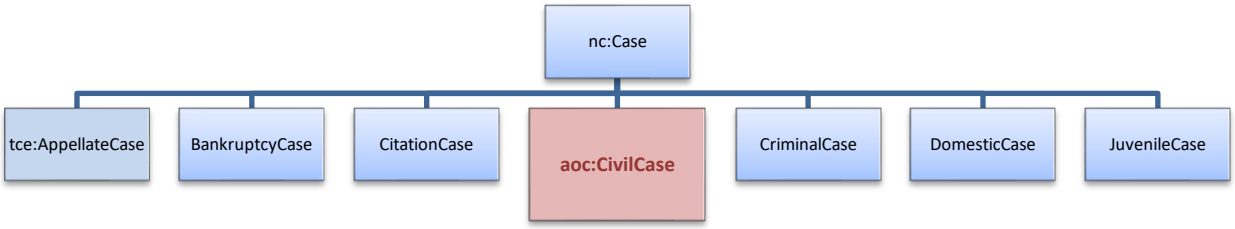


Figure 1- CaseType element

Protection Order XML Elements

This document has been updated to include Protection Order XML elements. The Protection Order elements have been added to the AOC Extension xsd. The way the Protection Order elements were added to the extension schema, the aoc:ProtectionOrder section can be added to any case type, if needed.

The initial use of the Protection Order elements is to send Protection Order information from the PO Petition web application. These cases will be “civil” cases in the court CMS.

The AOC eFiling distribution package will be updated to reflect the required updated extension xsd and this document. This version of the AOC eFiling will become:

AOC XML Markup Standard 2.0.0.0 AOC Extension 2.1

The new Protection Order XML elements are located in section aoc:ProtectionOrder

4 Root Element – aoc:CivilCase

The aoc:CivilCase element may be used, by substitution, in any message that contains nc:Case. This element is the root element for this collection of sub-elements.

WARNING: Only elements specified for use within this specifications document are expected message consumer content. Any elements used that are not specified in this document can and will be ignored by message consumers.

4.1 aoc:CivilCase

Standard Definition	Information required to initiate a new civil case in a General (Superior) or Limited (Justice or Municipal) jurisdiction court.
AOC Usage Notes	Used for both case initiation and subsequent filings of civil cases. The element is typically used within the CoreFilingMessage contained within a RecordFilingRequest (RFR). The aoc:CivilCase element may also appear within the RecordDocketingMessages within the RFR, when modifications to the filing has occurred in the Clerk Review process, (if a non-CMS based Clerk Review process is in use). This element may also appear in GetCaseResponse message.
Examples	<aoc:CivilCase xmlns:civil="urn:oasis:names:tc:legalxml-courtfiling:schema:xsd:CivilCase-4.0" xsi:schemaLocation="urn:oasis:names:tc:legalxml-courtfiling:schema:xsd:CivilCase-4.0 ../xsd/casetype/ECF-4.0-CivilCase.xsd"> </aoc:CivilCase>

5 CivilCase Elements Roadmap – AOC eFiling Usage

The following elements are utilized in the CivilCaseType:

aoc:CivilCase	
	nc:ActivityIdentification nc:IdentificationID nc:IdentificationCategoryText
	nc:CaseTitleText
	nc:CaseCategoryText
	nc:CaseTrackingID
	j:CaseAugmentation j:CaseCharge j:ChargeDescriptionText aoc:CaseCourt nc:OrganizationIdentification nc:IdentificationID nc:OrganizationLocation nc:LocationAddress nc:StructuredAddress nc:LocationStreet nc:StreetFullText nc:LocationCityName nc:LocationStateName nc:LocationCountryFIPS10-4Code nc:LocationName aoc:LocationAugmentation aoc:LocationIdentification nc:IdentificationID j:CourtName aoc:CaseCourtEvent nc:ActivityDate nc:Date nc:ActivityDescriptionText nc:ActivityStatus nc:StatusText j:CourtEventJudge nc:RoleOfPersonReference j:CourtEventSchedule nc:ScheduleActivityText nc:ScheduleDate nc:Date nc:ScheduleDayStartTime j:CourtEventEnteredOnDocketDate j:CourtEventTypeCode ecf:CourtEventDocument ecf:CourtEventDocumentReference ecf:CourtEventActor nc:RoleOfItemReference **< - - - OR - - - >** nc:RoleOfPersonReference **< - - - OR - - - >** nc:RoleOfOrganizationReference ecf:CourtEventLocationCode ecf:CourtEventLocationText aoc:ActivityCategoryText aoc:ActivityReasonText aoc:CourtEventCourt nc:OrganizationLocation

	nc:LocationName aoc:CourtDivisionText j:CaseDefendantParty j:CaseDefenseAttorney j:CaseInitiatingParty nc:EntityItemReference **< - - - OR - - - >** nc:EntityOrganizationReference **< - - - OR - - - >** nc:EntityPersonReference aoc:FilingParty nc:EntityItemReference **< - - - OR - - - >** nc:EntityOrganizationReference **< - - - OR - - - >** nc:EntityPersonReference j:CaseJudge nc:RoleOfPersonReference j:CaseOfficialRoleText j:CaseOtherEntity j:CaseProsecutionAttorney (NOT USED) j:CaseRespondentAttorney (NOT USED) j:CaseRespondentParty nc:EntityItemReference **< - - - OR - - - >** nc:EntityOrganizationReference **< - - - OR - - - >** nc:EntityPersonReference j:CaseInitiatingAttorney (NOT USED) aoc:FilingAttorney nc:RoleOfPersonReference j:JudicialOfficialBarMembership j:JudicialOfficialBarIdentification nc:IdentificationID j:IdentificationJurisdictionNCICLISCode nc:IdentificationSourceText
	aoc:CaseAugmentation ecf:Alias ecf:AliasAlternateName ecf:AliasAlternateNameTypeCode nc:EntityReference ecf:CaseOfficial nc:RoleOfPersonReference j:JudicialOfficialBarMembership j:JudicialOfficialBarIdentification nc:IdentificationID j:IdentificationJurisdictionNCICLISCode nc:IdentificationSourceText ecf:CaseRepresentedPartyReference aoc:CaseParticipant ecf:EntityPerson nc:PersonBirthDate nc:Date nc:PersonCapability

	nc:CapabilityDescriptionText nc:PersonName nc:PersonNamePrefixText nc:PersonGivenName nc:PersonMiddleName nc:PersonSurName nc:PersonNameSuffixText nc:PersonFullName nc:PersonOtherIdentification nc:IdentificationID nc:IdentificationCategoryText nc:IdentificationSourceText nc:PersonOtherIdentification nc:IdentificationID nc:IdentificationCategoryText nc:IdentificationJurisdictionNCICLISCode nc:IdentificationSourceText ecf:PersonAugmentation nc:ContactInformation nc:ContactEmailID nc:ContactMailingAddress nc:StructuredAddress nc:LocationStreet nc:StreetFullText nc:LocationCityName nc:LocationStateName nc:LocationCountryFIPS10-4Code nc:LocationPostalCode nc:ContactTelephoneNumber < - - - **Note: Only one type of Telephone number can be used at one time:** nc:InternationalTelephoneNumber OR nc:FullTelephoneNumber - - - > nc:FullTelephoneNumber nc:TelephoneNumberFullID nc:TelephoneSuffixID ***< - - - OR - - - >*** nc:InternationalTelephoneNumber nc:TelephoneCountryCodeID ncTelephoneNumberID nc:TelephoneSuffixID ecf:CaseParticipantRoleCode aoc:CasePartySelfRepresentationIndicator aoc:CaseParticipant aoc:EntityOrganization nc:OrganizationIdentification nc:IdentificationID nc:IdentificationCategoryText nc:IdentificationSourceText nc:OrganizationLocation nc:LocationAddress nc:StructuredAddress nc:LocationStreet nc:StreetFullText nc:LocationCityName

nc:LocationStateName
nc:LocationCountryFIPS10-4Code
nc:LocationPostalCode

nc:OrganizationName
nc:OrganizationUnitName

ecf:OrganizationAugmentation
nc:ContactInformation
nc:ContactEmailID
nc:ContactMailingAddress
nc:StructuredAddress
nc:LocationStreet
nc:StreetFullText
nc:LocationCityName
nc:LocationStateName
nc:LocationCountryFIPS10-4Code
nc:LocationPostalCode
nc:ContactTelephoneNumber

< - - - **Note: Only one type of Telephone number can be used at one time:**
nc:InternationalTelephoneNumber OR nc:FullTelephoneNumber - - - >

nc:FullTelephoneNumber
nc:TelephoneNumberFullID
nc:TelephoneSuffixID

< - - - OR - - - >

nc:InternationalTelephoneNumber
nc:TelephoneCountryCodeID
ncTelephoneNumberID
nc:TelephoneSuffixID

aoc:OrganizationCategoryText

ecf:CaseParticipantRoleCode
aoc:CasePartySelfRepresentationIndicator

aoc:CaseParticipant
ecf:EntityItem
nc:ItemDescriptionText
nc:ItemOtherIdentification
nc:IdentificationID
nc:IdentificationCategoryText
nc:IdentificationSourceText
ecf:CaseParticipantRoleCode

aoc:CaseParticipant
nc:EntityPersonReference
ecf:CaseParticipantRoleCode
aoc:CasePartySelfRepresentationIndicator

aoc:CaseParticipant
nc:EntityOrganizationReference
ecf:CaseParticipantRoleCode
aoc:CasePartySelfRepresentationIndicator

aoc:CaseParticipant
nc:EntityItemReference
ecf:CaseParticipantRoleCode

ecf:CaseShortTitleText

ecf:PersonOrganizationAssociation

	nc:PersonReference nc:OrganizationReference ecf:EntityAssociationTypeCode aoc:Item nc:ItemDescriptionText aoc:ItemOwner nc:EntityOrganizationReference < - - OR - - > nc:EntityPersonReference < - - OR - - > nc:EntityItemReference aoc:Obligation nc:ObligationDueAmount nc:ObligationCategoryText nc:ObligationEntity nc:EntityOrganizationReference < - - OR - - > nc:EntityPersonReference < - - OR - - > nc:EntityItemReference aoc:LitigantGroup aoc:AttorneyReference aoc:CaseRepresentedPartyReference aoc:LitigantGroupIdentification nc:IdentificationID nc:IdentificationCategoryText aoc:LitigantGroupName
	ecf:CauseOfActionCode
	civil:AmountInControversy
	civil:ClassActionIndicator
	civil:JurisdictionGroundsCode (not used)
	civil:JuryDemandIndicator
	civil:ReliefTypeCode
	aoc:CaseGeneralCategoryText
	aoc:CaseSubCategoryText
	aoc:CounterClaimDescription
	aoc:MarriageAssociation nc:PersonReference nc:PersonReference scr:MarriageAssociationAugmentation
	aoc:GuardianAssociation nc:PersonGuardianReference nc:PersonDependentReference ecf:GuardianAssociationTypeCode
	aoc:ImmediateFamilyAssociation nc:PersonParentReference nc:PersonChildReference nc:FamilyKinshipCode nc:FamilyKinshipText
	aoc:ActivityRequest nc:ActivityDescriptionText
	aoc:Obligation nc:ObligationCategoryText aoc:ObligationPaidAmount aoc:ObligationCompleteIndicator

6 Elements of aoc:CivilCase

Only those sub-elements of aoc:CivilCase identified in this and other subsequent sections of this document are specified for use. Elements not specified, such as nc:ActivityDateRepresentation, are not required to be recognized by message consumers. Although the usage of a non-specified element may not be flagged as an error, the message consumer is not expected or required to parse for the element or recognize it or its contained content.

For those elements that are specified for usage, some may only contain sub-elements as content. Only those sub-elements specified are expected to contain content. For the purposes of this latest iteration of the AOC XML specifications and to remain as closely aligned to the XML "roadmap," valid subelements are repeated wherever they are required. Future versions of the AOC XML documentation may re-introduce a "Common Elements" document that would:

- Remove the need to duplicate element information throughout a single document and across multiple documents
- Mitigate the high risk of errors due to changes made to an element in one document or document section and failing to update all other instances of the element in other documents or document sections.

6.1 nc:ActivityIdentification

Standard Definition	An identification that references an activity.
AOC Usage Notes	When used within a RecordFilingRequest (RFR), multiple nc:ActivityIdentification elements can be used in aoc:CivilCase. Within an RFR, at least one nc:ActivityIdentification element is required, and is used, along with its sub-elements to identify the submission as either a case initiation submission or a subsequent filing submission and to identify other characteristics of the case such as the type of case. • Every case, regardless of case type, must have at least one nc:ActivityIdentification with an nc:IdentificationCategoryText of "FilingType". A case is only permitted a single nc:ActivityIdentification with an nc:IdentificationCategoryText of "FilingType". • Some case types may permit more than one nc:ActivityIdentification provided that its nc:IdentificationCategoryText is other than "FilingType".
Examples	<nc:ActivityIdentification> <nc:IdentificationID>Initial</nc:IdentificationID> <nc:IdentificationCategoryText>FilingType</nc:IdentificationCategoryText> </nc:ActivityIdentification>

6.2 nc:IdentificationID

Standard Definition	A value that identifies something.

AOC Usage Notes	When paired with nc:IdentificationCategoryText of “FilingType”, this element’s content must be either “Initial” or “Subsequent”.
Examples	<nc:IdentificationID>Subsequent</nc:IdentificationID>

6.2.1 nc:IdentificationCategoryText

Standard Definition	A kind of identification.
AOC Usage Notes	When paired with nc:IdentificationID which identifies the case as of either an “Initial” or a “Subsequent” filing, this element’s content must be “FilingType”. Only nc:IdentifcationCategoryText approved by the AOC may be used.
Examples	<nc:IdentificationCategoryText>FilingType</nc:IdentificationCategoryText>

6.3 nc:CaseTitleText

Standard Definition	The official name of a case.
AOC Usage Notes	When used in an RFR, this is typically the ‘short caption’ of the case. Often a longer, more formal caption is considered the official case caption or title. Also see ecf:CaseShortTitleText in aoc:CaseAugmentation.
Examples	<nc:CaseTitleText>LEROW v. NICOL</nc:CaseTitleText>

6.4 nc:CaseCategoryText

Standard Definition	A kind of case.
AOC Usage Notes	The ‘kind of case’ is typically referred to as ‘case type’ or ‘case subtype’. AOC is using this NIEM Core standard element as the middle tier of a three-tier case classification system, along with two AOC-defined elements. Different Case Management Systems (CMS) may have different levels of refinement for characterizing the ‘kind of case’. The aoc:CivilCase element (as well as other case type elements) allow for a three level refinement of case classification by providing three separate elements: (1) aoc:CaseGeneralCategoryText (2) nc:CaseCategoryText (3) aoc:CaseSubCategoryText. aoc:CaseGeneralCategoryText should be used for the broadest level of classification, such as “civil” or “criminal”. For additional information on this element, see Section 6.16.

nc:CaseCategoryText should be used for the next level of refinement of case classification, such as dividing civil cases into “appeals” and “petitions for review”, etc.

aoc:CaseSubCategoryText should be used for the finest level of refinement, such as distinguishing “Tax” Civil Petitions for Review type cases from “Corporation Commission” Civil Petition for Review type cases. For additional information on this element, see Section 6.18.

The value of this element must be target CRMDE’s code value. For example, in AJACS, ‘CV’, ‘DO’, and ‘CR’ are valid values for nc:CaseCategoryText.

For example, the example below, se elements can be captured from the eFiling user in a dropdown list but can be sent to the CMS in coded for, (e.g. – the user selects “Civil” from a dropdown list but” CV” is sent to the CMS).

Examples

This example is for AJACS-GJ (Civil Case, Contract, Account (Open or Stated)):

```
<aoc:CaseGeneralCategoryText>CV</aoc:CaseGeneralCategoryText>
<nc:CaseCategoryText>CO</nc:CaseGeneralCategoryText>
<aoc:CaseSubCategoryText>AOS</aoc:CaseSubCategoryText>
```

This example is for AJACS-LJ (Non-Disposition Civil Action, Animal Seizure, Local Ordinance):

```
<aoc:CaseGeneralCategoryText>ND</aoc:CaseGeneralCategoryText>
<nc:CaseCategoryText>ASZ</nc:CaseGeneralCategoryText>
<aoc:CaseSubCategoryText>LOCORD</aoc:CaseSubCategoryText>
```

This example is for AJACS-LJ (Non-Disposition Civil Action, Vicious Animal):

```
<aoc:CaseGeneralCategoryText>ND</aoc:CaseGeneralCategoryText>
<nc:CaseCategoryText>VIC</nc:CaseGeneralCategoryText>
<aoc:CaseSubCategoryText></aoc:CaseSubCategoryText>
```

6.5 nc:CaseTrackingID

Standard Definition	An identifier used to track a case.
AOC Usage Notes	This is the case number. **RvFR/RFR Operations**: This element should be present when there is a case number to communicate in the CoreFilingMessage and should be absent when there is no case number. There should never be more than one occurrence of this element within aoc:CivilCase. This element must have a value within the CoreFilingMessage of a RecordFilingRequest for a subsequent case filing. This element is always absent for case initiation submissions.

The Clerk can add a case number during Clerk Review. The case number would then be recorded in the RecordDocketingMessage. If the case number is modified in Clerk Review, then this element will contain the modified case number within the RecordDocketingMessage. If the case number was provided in the CoreFilingMessage, but was not modified in Clerk Review, then this element should be absent in the RecordDocketingMessage.

Examples

Example 1: These examples illustrate valid and confirmed case numbers.

For Pima Superior Court:

```
<nc:CaseTrackingID>C20094942</nc:CaseTrackingID>
```

For Pinal Superior Court:

```
<nc:CaseTrackingID>S1100CV201200004</nc:CaseTrackingID>
```

For Maricopa Superior Court:

```
<nc:CaseTrackingID>CR2007-107004-001</nc:CaseTrackingID>
<nc:CaseTrackingID>CV2011-007759</nc:CaseTrackingID>
```

Example 2: These examples illustrate invalid and non-confirmed case numbers.

For Pima Superior Court: (e.g., CCI was not available)

```
<nc:CaseTrackingID s:metadata=”metadata_001”>C20094942</nc:CaseTrackingID>

<aoc:Metadata s:id=”metadata_0001”>
        <aoc:QualityCommentText>NotValidated</aoc: QualityCommentText>
</aoc:Metadata>
```

For Pinal Superior Court: (e.g., invalid case number format)

```
<nc:CaseTrackingID s:metadata=”metadata_002”>CV201200004</nc:CaseTrackingID>

<aoc:Metadata s:id=”metadata_0002”>
        <aoc:QualityCommentText>Invalid</aoc: QualityCommentText>
</aoc:Metadata>
```

6.6 j:CaseAugmentation

Standard Definition	Additional information about a case.
AOC Usage Notes	See Section 7 for a more complete description of this element and its sub-elements.

Examples

```
<j:CaseAugmentation>
        <j:CaseCharge>
<j:ChargeDescriptionText>Too many cooks spoil the broth!</j:ChargeDescriptionText>
</j:CaseCharge>
        <aoc:CaseCourt>
                <nc:OrganizationIdentification>
                        <nc:IdentificationID>courts.az.gov:1307</nc:IdentificationID>
                </nc:OrganizationIdentification>
                <nc:OrganizationLocation>
                        <nc:LocationName>2840 N Cobalt Drive, Camp Verde, AZ  86322</nc:LocationName>
                </nc:OrganizationLocation>
                <j:CourtName>Yavapai County – Verde Valley</j:CourtName>
                <aoc:LocationAugmentation>
                   <aoc:LocationIdentification>
                      <nc:IdentificationID>1301</nc:IdentificationID>
                   </aoc:LocationIdentification>
```

```
            </aoc:LocationAugmentation>
        </aoc:CaseCourt>
        <j:CaseInitiatingParty>
            <nc:EntityPersonReference s:ref="ib4faa79e-75c9-4242-b941-27f6cb8bf415"/>
        </j:CaseInitiatingParty>
        <j:CaseRespondentParty>
            <nc:EntityPersonReference s:ref="id86438-4b6f-84d8-49986b9991a0"/>
        </j:CaseRespondentParty>
    </j:CaseAugmentation>
```

6.7 aoc:CaseAugmentation

Standard Definition	Additional information about a case.
AOC Usage Notes	See Section 8 for a complete description of this element and its sub-elements.
Examples	

```
<aoc:CaseAugmentation>
    <aoc:CaseParticipant> -
        <ecf:EntityPerson s:id="ibe44b9e5-010b-4c50-8a8b-375f44a15754">
            <nc:PersonName>
                <nc:PersonGivenName>Robert</nc:PersonGivenName>
                <nc:PersonMiddleName>L</nc:PersonMiddleName>
                <nc:PersonSurName>Marin</nc:PersonSurName>
            </nc:PersonName>
            <ecf:PersonAugmentation>
                <nc:ContactInformation>
                    <nc:ContactMailingAddress>
                        <nc:StructuredAddress> -
                            <nc:LocationStreet>
                                <nc:StreetFullText>7267 W Tina Ln</nc:StreetFullText>
                            </nc:LocationStreet>
                            <nc:LocationCityName>Glendale</nc:LocationCityName>
                            <nc:LocationStateName>AZ</nc:LocationStateName>
                            <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
                            <nc:LocationPostalCode>85310</nc:LocationPostalCode>
                        </nc:StructuredAddress>
                    </nc:ContactMailingAddress>
                    <nc:ContactTelephoneNumber>
                        <nc:FullTelephoneNumber>
                            <nc:TelephoneNumberFullID>6027179567</nc:TelephoneNumberFullID>
                        </nc:FullTelephoneNumber>
                    </nc:ContactTelephoneNumber>
                </nc:ContactInformation>
            </ecf:PersonAugmentation>
            </ecf:EntityPerson>
            <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
    </aoc:CaseParticipant>
</aoc:CaseAugmentation>
```

6.8 ecf:CauseOfActionCode

Standard Definition	The legal ground on which a request for relief is based period. Allowed values set forth in court policy. Example: the basis(es) for relief in a civil case; a grounds for divorce in a state that does not recognize no-fault divorce; grounds for entry of an order for protection in a domestic case.
AOC Usage Notes	
Examples	<ecf:CauseOfActionCode>Complaint</ecf:CauseOfActionCode>

6.9 civil:AmountInControversy

Standard Definition	The amount set forth in a complaint, counter-claim, or cross complaint.
AOC Usage Notes	Always specified in US currency, as in the example.
Examples	<civil:AmountInControversy=”USD”>645.00</civil:AmountInControversy>

6.10 civil:ClassActionIndicator

Standard Definition	Whether the filer is requesting that this case proceed as a class action.
AOC Usage Notes	Not currently used. This element value is “true” when the submitter is either requesting that the case proceed as a class action suit or indicating that the case has been approved as a class action suit; otherwise, “false”. This element is mandatory, must be present, and contain a boolean value. When not relevant, the element value should be “false”.
Examples	<civil:ClassActionIndicator>true</civil:ClassActionIndicator>

6.11 civil:JurisdictionGroundsCode (not used)

Standard Definition	The grounds for invoking the jurisdiction of a limited jurisdiction court. Allowed values set forth in Court Policy. Not used in general jurisdiction courts.
AOC Usage Notes	Not currently used. This element is not used for general jurisdiction courts.
Examples	None at this time.

6.12 civil:JuryDemandIndicator

Standard Definition	Whether filer invokes the right to trial by jury.
AOC Usage Notes	Not currently used. This element value is “true” when the submitter is requesting a jury trial; otherwise, “false”. This element is mandatory, must be present, and contain a boolean value. When not relevant, the element value should be “false”.
Examples	<civil:JuryDemandIndicator>true</civil:JuryDemandIndicator>

6.13 civil:ReliefTypeCode

Standard Definition	Indicator of the type of relief requested in the case, e.g., damages, equitable relief (injunction). Allowable values defined in Court Policy.

AOC Usage Notes	This element contains a codified value that characterizes the type of relief sought. This element is mandatory. AOC-approved list of values, subject to change without notice; • Monetary
Examples	<civil:ReliefTypeCode>Monetary</civil:ReliefTypeCode>

6.14 civil:FiduciaryCase (not used)

Standard Definition	Information about guardianship, conservatorship, trusts, or mental health case.
AOC Usage Notes	Not currently used.
Examples	

6.15 civil:DecendentEstateCase (not used)

Standard Definition	Information about a case administering and distributing the assets of a testate or intestate decedent.
AOC Usage Notes	Not currently used.
Examples	

6.16 aoc:ProtectionOrder

Standard Definition	Protection Order elements
AOC Usage Notes	This section contains data elements that relate to Protection Order information.
Examples	<!-- ********** PROTECTION ORDER FIELDS ********** --> <aoc:ProtectionOrder> <aoc:PetitionType>ProtectionOrder</aoc:PetitionType> <!-- PO PETITION - DEFENDANT --> <aoc:POParticipant> <!-- PO PETITION - PLAINTIFF --> <aoc:POParticipant> <!-- PO PETITION - Protected Party Other --> <aoc:POParticipant> <aoc:PODefendantPlaintiffRelationshipCode></aoc:PODefendantPlaintiffRelationshipCode> <!-- PETITION Requests --> <aoc:PetitionRequests> <!-- DEFENDENT Vehicle --> <aoc:DefendantVehicle> </aoc:ProtectionOrder>

6.16.1 aoc:PetitionType

Standard Definition	Protection Order elements
AOC Usage Notes	This section contains data elements that relate to Protection Order information.
Examples	<!-- ********** PROTECTION ORDER FIELDS ********** --> <aoc:ProtectionOrder> <aoc:PetitionType>ProtectionOrder</aoc:PetitionType>

6.16.2 aoc:POParticipant

Standard Definition	Protection Order Participant elements
AOC Usage Notes	This section contains data elements that related to Protection Order Participant information.
Examples	<aoc:POParticipant> <nc:EntityPersonReference s:ref="if2a0022b-d479-4ae6-bd8e-b9ff72b23a09"/> <ecf:CaseParticipantRoleCode/> <aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress> <aoc:AddressTypeCode>R</aoc:AddressTypeCode> <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited> </aoc:POContactInformation> <aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress>

```
                        <aoc:AddressTypeCode>W</aoc:AddressTypeCode>

                        <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited>

                    </aoc:POContactInformation>

                    <aoc:POParticipantRoleCode>PP</aoc:POParticipantRoleCode>
                </aoc:POParticipant>

<!--        PO PETITION - DEFENDENT     -->
                <aoc:POParticipant>
                    <nc:EntityPersonReference s:ref="id90e783d-118c-452a-a66e-ec3e94834119"/>

                    <ecf:CaseParticipantRoleCode/>

                    <aoc:POParticipantRoleCode>D</aoc:POParticipantRoleCode>

                </aoc:POParticipant>


                <aoc:POParticipant>
                    <nc:EntityPersonReference s:ref="id03cf4baa-b96d-439f-aa8b-db336e2592fa"/>

                    <ecf:CaseParticipantRoleCode/>

                    <aoc:POParticipantRoleCode>PC</aoc:POParticipantRoleCode>
                    <aoc:PODefendantPlaintiffRelationshipCode>03</aoc:PODefendantPlaintiffRelationshipCode>


                </aoc:POParticipant>
```

6.16.2.1 aoc:POContactInformation

Standard Definition	Protection Order Contact Information elements
AOC Usage Notes	This section contains data elements that relate to Protection Order Contact Information, specifically address. It includes the type of address and if the address is restricrted. These addresses will be used in the creation of the Order of Protection. These are the “No Contact” addresses.
Examples	<aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress> <aoc:AddressTypeCode>R</aoc:AddressTypeCode> <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited> </aoc:POContactInformation> <aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress> <aoc:AddressTypeCode>W</aoc:AddressTypeCode> <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited> </aoc:POContactInformation>

6.16.2.1.1 aoc:AddressTypeCode

Standard Definition	Protection Order Contact Address Type Code
AOC Usage Notes	This is the type of address that was entered for a Protection Order. Initial valid values: R = Residence W = Employer (for Employer Plaintiff) E = Employer S = School T = Temporary Shelter U = Other
Examples	<aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress> <aoc:AddressTypeCode>R</aoc:AddressTypeCode> <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited> </aoc:POContactInformation>

6.16.2.1.2 aoc:IsAddressRestricted

Standard Definition	Protection Order Contact Information Restricted Indicator
AOC Usage Notes	This indicator is set to make a contact address as Restricted. This is used primarily for the Plaintiffs address and should not be used for the Defendants address. The values are '**true**' = The address is restricted or '**false**' = The address is not restricted.
Examples	<aoc:POContactInformation> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText></nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName></nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85323</nc:LocationPostalCode> </nc:StructuredAddress> <aoc:AddressTypeCode>R</aoc:AddressTypeCode> <aoc:IsAddressRestrcited>true</aoc:IsAddressRestrcited> </aoc:POContactInformation>

6.16.2.2 aoc:POParticipantRoleCode

Standard Definition	Protection Order Participant Role Code
AOC Usage Notes	This is the Participant Role code for the Protection Order. The Case Particiapnt Role code could be different than the Protection Order Role Code. This is mostly seen in Domestic Relations cases where the Defendant on the Case could be the Protected Person on the Protection Order. Valid values are: PP Plaintiff Protected TP Third Party Plaintiff PE Plaintiff Employer PA Plaintiff Employer Agent D Defendant PC Protected Child OP Protected Party Other HP Harassed Party for Workplace Harassment AT Attorney
Examples	<aoc:POParticipantRoleCode>PP</aoc:POParticipantRoleCode>

6.16.2.3 aoc:POOtherProtectedPartyRelationship

Standard Definition	Protection Order Other Protected Party Relationship to Plaintiff
AOC Usage Notes	This is the Relationship Code that an ‘ Other Protected Party’ has with the Plaintiff (Plainiff Protected) . Valid values are: 01 - Brother 02 - Brother-In-Law 03 - Child 08 - Grand Parent-In-Law 09 - GrandChild 10 - GrandParent 14 - Parent 15 - Parent-In-Law 16 - Significant Other 17 - Sister 18 - Sister-In-Law 19 - Step Child 20 - Step GrandChild 21 - Step GrandParent 22 - Step Parent 99 - Other (Code 99)
Examples	<aoc:POOtherProtectedPartyRelationship>03</aoc:POOtherProtectedPartyRelationship>

6.16.3 aoc:PODefendantPlaintiffRelationshipCode

Standard Definition	Protection Order Relationship Code for the realtionship between the Defendant and Plaintiff.
AOC Usage Notes	This is the relationship code to identify the type of relationship there is between the Defendant and Plaintiff. When using the web application, the Plaintiff can select only 1 relationship code. Valid values are: 24 - Married Now Or In The Past 25 - Parent Of A Child In Common 26 - Live Together Now Or In The Past 27 - Dating But Not A Sexual Or Romantic Relationship 28 - One Party Is Pregnant By The Other 29 - Relative 30 - Romantic Or Sexual Relationship (Current Or Previous) 99 - Other (Code 99)
Examples	<aoc:PODefendantPlaintiffRelationshipCode>24</aoc:PODefendantPlaintiffRelationshipCode>

6.16.4 aoc:PetitionRequest

Standard Definition	Protection Order Requested Provisions
AOC Usage Notes	This section contains Protection Order Provisions that the Plaintiff can select from the Web application.
Examples	<aoc:PetitionRequests> <aoc:IsDRCasePending></aoc:IsDRCasePending> <aoc:IsPreviousDVDefendant></aoc:IsPreviousDVDefendant> <aoc:IsPreviousDVPlaintiff></aoc:IsPreviousDVPlaintiff> <aoc:IsRequestDefendantNotPossessFirearmsAmmo></aoc:IsRequestDefendantNotPossessFirearmsAmmo> <aoc:IsRequestDefendantStayAwayFromPlaintiffsAnimals></aoc:IsRequestDefendantStayAwayFromPlaintiffsAnimals> </aoc:PetitionRequests>

6.16.4.1 aoc:IsDRCasePending

Standard Definition	Is there a DR case currently pending between the Plaintiff and the Defendant.
AOC Usage Notes	
Examples	<aoc:IsDRCasePending></aoc:IsDRCasePending>

6.16.4.2 aoc:IsPreviousDVDefendant

Standard Definition	Is there a previsou DV incident against the Defendant
AOC Usage Notes	
Examples	<aoc:IsPreviousDVDefendant></aoc:IsPreviousDVDefendant>

6.16.4.3 aoc:IsPreviousDVPlaintiff

Standard Definition	Is there a previsou DV incident against the Plaintiff
AOC Usage Notes	
Examples	<aoc:IsPreviousDVPlaintiff></aoc:IsPreviousDVPlaintiff>

6.16.4.4 aoc:IsRequestDefendantNotPossessFirearmsAmmo

Standard Definition	The Plaintiff is requesting that the Defendant not possess any firearms and/or ammo
AOC Usage Notes	
Examples	<aoc:IsRequestDefendantNotPossessFirearmsAmmo></aoc:IsRequestDefendantNotPossessFirearmsAmmo>

6.16.4.5 aoc:IsRequestDefendantStayAwayFromPlaintiffsAnimals

Standard Definition	The Plaintiff is requesting that the Defendant stay away from any of the Plaintiffs animals.
AOC Usage Notes	
Examples	<aoc:IsRequestDefendantStayAwayFromPlaintiffsAnimals></aoc:IsRequestDefendantStayAwayFromPlaintiffsAnimals>

6.16.5 aoc:DefendantVehicle

Standard Definition	Protection Order elements
AOC Usage Notes	This section contains data elements that relate to Protection Order information.
Examples	<aoc:DefendantVehicle> <nc:VehicleColorPrimaryCode>BLK</nc:VehicleColorPrimaryCode> <nc:ItemModelYearDate>2018</nc:ItemModelYearDate> <nc:VehicleIdentification> <nc:IdentificationID></nc:IdentificationID> <nc:IdentificationCategoryText>LicensePlate</nc:IdentificationCategoryText> <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode> </nc:VehicleIdentification> <nc:VehicleMakeCode>AAA</nc:VehicleMakeCode> </aoc:DefendantVehicle>

6.17 aoc:CaseGeneralCategoryText

Standard Definition	A broadly defined kind of case. For example, Civil, etc.
AOC Usage Notes	aoc:CaseGeneralCategoryText should be used for the broadest level of classification, such as “civil” or “criminal”. For AJACS, e.g., DO, CV, CR, etc. There are two additional elements that can be used to further refine the case classification: (1) aoc:CaseSubCategoryText and (2) nc:CaseCategoryText
Examples	<aoc:CaseGeneralCategoryText>CV</aoc:CaseGeneralCategoryText>

6.18 aoc:CaseSubCategoryText

Standard Definition	A more specific classification of a kind of case.
AOC Usage Notes	An intermediary sub-classification of case type, such as 'Property Damage', 'Direct Appeal', etc. This element describes the lowest classification of a case, e.g., Contract, Civil Penalty, Dissolution with Children, Paternity, Mental Health Adult, Will, etc. The element is optional, per schema, and may not be present in CivilCase nodes within GetCaseResponse messages.
Examples	<aoc:CaseSubCategoryText>ASSAULT</aoc:CaseSubCategoryText>

6.19 aoc:CounterClaimDescription

Standard Definition	Description of why the counter claim is requested.
AOC Usage Notes	This element is used to describe the reason for a counterclaim in a Civil case.
Examples	<aoc:CounterClaimDescription>Defendant does not owe plaintiff money. Plaintiff owes defendant $200,000 for services rendered.</aoc:CounterClaimDescription>

6.20 aoc:MarriageAssociation

Standard Definition	ECF: Information about a marriage, civil domestic union, or other domestic relationship defined by law. NIEM: An association between two persons who are legally married to each other.
AOC Usage Notes	This element describes the type of legal union between two persons. At least two persons MUST be identified. See section 9.1.7 for additional information about this topic.
Examples	<aoc:MarriageAssociation> <nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <nc:PersonReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/> <scr:MarriageAssociationAugmentation xmlns:scr="http://niem.gov/niem/domains/screening/2.0"/> <aoc:MarriageAssociation/>

6.20.1nc:PersonReference

Standard Definition	A human being.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. The first instance of nc:PersonReference should be the husband. A person entity MUST NOT be referenced within aoc:MarriageAssociation more than one time as aoc:PersonReference (i.e., the same person cannot be both the husband and wife). The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>

6.20.2nc:PersonReference

Standard Definition	A human being.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. The second instance of nc:PersonReference should be the wife. A person entity MUST NOT be referenced within aoc:MarriageAssociation more than one time as aoc:PersonReference (i.e., the same person cannot be both the husband and wife). The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.

Examples	<nc:PersonReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/>

6.21 scr:MarriageAssociationAugmentation

Standard Definition	Additional information about a marriage.
AOC Usage Notes	This element is not used; however, this element is MANDATORY, therefore, it must be present as an empty element.
Examples	<scr:MarriageAssociationAugmentation xmlns:scr="http://niem.gov/niem/domains/screening/2.0"/>

6.22 aoc:GuardianAssociation

Standard Definition	ECF: Information about the juvenile's parent(s) or guardian(s). NIEM: An association between a guardian and a dependent.
AOC Usage Notes	This element is used to provide additional information about a parent or guardian of a juvenile or dependent. One guardian and one dependent MUST be referenced. See section 9.1.8 for additional information about this topic.
Examples	<aoc:GuardianAssociation> <nc:PersonGuardianReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <nc:PersonDependentReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/> <ecf:GuardianAssociationTypeCode>Parent</ecf:GuardianAssociationTypeCode> </aoc:GuardianAssociation>

6.22.1nc:PersonGuardianReference

Standard Definition	A person with guardianship over another.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. A person entity MUST NOT be referenced within aoc:GuardianAssociation more than one time (i.e., the same person cannot be both the guardian and dependent). The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonGuardianReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>

6.22.2nc:PersonDependentReference

Standard Definition	A person dependent upon the guardianship of another.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant.

	A person entity MUST NOT be referenced within aoc:GuardianAssociation more than one time (i.e., the same person cannot be both the guardian and dependent). The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonDependentReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/>

6.22.3ecf:GuardianAssociationTypeCode

Standard Definition	The code describing the parent or guardian's relationship to the juvenile. Allowable values set forth in court filing policy. Examples: Father, Mother, Guardian.
AOC Usage Notes	This element is used to describe the type of guardian that is associated with the juvenile or dependent. The ecf:GuardianAssociationTypeCode is applied to the nc:PersonGuardianReference element. For example, if the GuardianAssociationTypeCode is "Daughter", then this implies that the dependent person is the parent of the guardian, e.g., the parent may be incapacitated. Only AOC-approved values may be used: • AdoptedParent • Aunt • Brother • BrotherInLaw • Cousin • Daughter • DaughterInLaw • Father • FatherInLaw • FosterChild • FosterParent • GrandDaughter • GrandFather • GrandMother • GrandParent • GrandSon • GreatGrandDaughter • GreatGrandFather • GreatGrandMother • GreatGrandParent • GreatGrandSon • Guardian • Guardian ad litem • Husband • Mother

	• MotherInLaw • Parent • Sister • SisterInLaw • Son • SonInLaw • StepDaughter • StepFather • StepMother • StepSon • Uncle • Wife
Examples	<ecf:GuardianAssociationTypeCode>GreatGrandDaughter</ecf:GuardianAssociationTypeCode>

6.23 aoc:ImmediateFamilyAssociation

Standard Definition	An association between parents and children.
AOC Usage Notes	This complex element is used to describe a family unit. See section 9.1.8 for additional information on this topic.
Examples	<aoc:ImmediateFamilyAssociation> <nc:PersonParentReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <nc:PersonChildReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/> <nc:FamilyKinshipCode>Foster</nc:FamilyKinshipCode> </aoc:ImmediateFamilyAssociation>

6.23.1nc:PersonParentReference

Standard Definition	A father or mother of a person.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. A person entity MUST NOT be referenced within aoc:ImmediateFamilyAssociation more than one time either as nc:PersonParentReference or nc:PersonChildReference. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonParentReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>

6.23.2nc:PersonChildReference

Standard Definition	A son or daughter of a person.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant.

	A person entity MUST NOT be referenced within aoc:ImmediateFamilyAssociation more than one time either as nc:PersonParentReference or nc:PersonChildReference. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonChildReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/>

6.23.3nc:FamilyKinshipCode

Standard Definition	A kind of relationship between family members.
AOC Usage Notes	This element is the codification of the type of relationship that exists between all family members. For example, “Foster”. The use of this element is optional. AOC-approved list of values, subject to change without notice; • Adoption • Biological • Foster • Marriage
Examples	<nc:FamilyKinshipCode>Foster</nc:FamilyKinshipCode>

6.24 nc:FamilyKinshipText

Standard Definition	A kind of relationship between family members.
AOC Usage Notes	This element contains the description of the FamilyKinshipCode (e.g. Foster Family). AOC-approved list of values, subject to change without notice; • Adoption • Biological • Foster • Marriage
Examples	<nc:FamilyKinshipText>Foster Family</nc:FamilyKinshipText>

6.25 aoc:Obligation

Standard Definition	Something owed.
AOC Usage Notes	This element is used when the filer/submitter has indicated prior payment of fees for this case.
Examples	<aoc:Obligation> <nc:ObligationCategoryText>AppearanceFee</nc:ObligationCategoryText> <aoc:ObligationCompleteIndicator>true</aoc:ObligationCompleteIndicator> </aoc:Obligation>

6.25.1nc:ObligationCategoryText

Standard Definition	A kind of obligation.
AOC Usage Notes	This element contains the local court Filing Fee code (e.g., the receivable type code) for the fee for the case (e.g., Appearance Fee). This code is the same Fee Code as would be placed into the cbc:AllowanceChargeReasonCode within the Payment Message when this fee was originally assessed.
Examples	<nc:ObligationCategoryText>AppearanceFee</nc:ObligationCategoryText>

6.25.2aoc:ObligationPaidAmount

Standard Definition	An amount of a payment obligation that has been made.
AOC Usage Notes	This element contains the amount of money already paid on a prior obligation on this case (in U.S. currency). The nc:CurrencyCode attribute MUST be used with this element. It is not expected that this element will be used for civil cases for the General Jurisdiction Courts.
Examples	<aoc:ObligationPaidAmount nc:currencyCode=”USD”>140.00</aoc:ObligationPaidAmount>

6.25.3aoc:ObligationCompleteIndicator

Standard Definition	True if an obligation has been fulfilled; false otherwise.
AOC Usage Notes	This element identifies that a payment for a prior fee in this case has been satisfied.
Examples	<aoc:ObligationCompleteIndicator>true</aoc:ObligationCompleteIndicator>

7 j:CaseAugmentation in aoc:CivilCase

This section details the j:CaseAugmentation element introduced in Section 6.6. This element is used to provide additional case details. This section details sub-elements of j:CaseAugmentation.

7.1 j:CaseCharge

Standard Definition	A charge or accusation a person is being tried for in a court of law.
AOC Usage Notes	Currently only j:ChargeDescriptionText is used in production environment.
Examples	<j:CaseCharge> <j:ChargeDescriptionText>Fighting with the Officer</j:ChargeDescriptionText> </j:CaseCharge>

7.2 j:ChargeDescriptionText

Standard Definition	A plain language description of a charge.
AOC Usage Notes	A plain language description of the event or incident which has caused charges to be filed.
Examples	<j:CaseCharge> <j:ChargeDescriptionText>We sold Mr. Smith a 2004 Ferrari for mucho dinero. We gave him an implied warranty for 15 minutes or 5 miles for the motor and transmission only. We did do warranty service on this vehicle. We replaced the A/C comp, and the gas cap was causing the engine light to go on. Our warranty work is done in house with our own mechanics. The BUYERS GUIDE specifically states that "the dealer" will take care of the repairs needed and Mr. Smith took his vehicle to another dealer to get the repairs done. We are not obligated to reimburse this because he did not come to us for further repairs. We want a copy of the repair bill to see what was done.</j:ChargeDescriptionText> </j:CaseCharge>

7.3 aoc:CaseCourt

Standard Definition	A court of law in which a case is being tried.
AOC Usage Notes	This element must contain the 'court identifier' of the GJ or LJ court for the case. (1307 in the example below).
Examples	<aoc:CaseCourt> <nc:OrganizationIdentification> <nc:IdentificationID>courts.az.gov:1307</nc:IdentificationID> </nc:OrganizationIdentification> <nc:OrganizationLocation> <nc:LocationName>2840 N Commonwealth Drive, Camp Verde, AZ 86322</nc:LocationName> </nc:OrganizationLocation> <j:CourtName>Yavapai County – Verde Valley</j:CourtName> <aoc:LocationAugmentation> <aoc:LocationIdentification> <nc:IdentificationID>1301</nc:IdentificationID> </aoc:LocationIdentification> </aoc:LocationAugmentation> </aoc:CaseCourt>

7.4 nc:OrganizationIdentification

Standard Definition	An identification that references an organization
AOC Usage Notes	This element should only be used for identification for an organization. An organization identification is an 'Identifier' which is used to uniquely label organizations. This element must not be used to specify an attribute or trait of an organization. It must not be used to identify the role or type of organization. It must not be used to describe an action performed such as document signer, or answer submitter. It must not be used to identify a requested action such as a default request, a dismissal request, or a deferral or waiver request. This element must not be used to identify the role of an organization or an action taken by an organization within a case such as a counter claimant, counter sued, answer submitter, or order of protection requestor or filer. This element must not be used to identify that an organization represents a fee exempt party or is filing on behalf of a fee exempt party or Federal Court. This element must not be used to identify the party role type of an organization or represented organization. This element must not be used to describe actions taken in the submission such as the addition of a new party. This element must not be used to specify a service date.
Examples	<nc:OrganizationIdentification> <nc:IdentificationID>courts.az.gov:1307</nc:IdentificationID> </nc:OrganizationIdentification>

7.4.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	The format that is used for efiling is "domain: court code", where courts.az.gov is the AOC domain and 1307 is the court code. This element is required. Only valid court codes issued by the AOC.
Examples	<nc:IdentificationID>courts.az.gov:1307</nc:IdentificationID>

7.4.2 nc:OrganizationLocation

Standard Definition	A location of an organization.
AOC Usage Notes	nc:OrganizationLocation is derived from nc:LocationType.
Examples	<nc:OrganizationLocation> <nc:LocationName>Court of Appeals Division 1, 1501 W Washington St, Phoenix AZ 85007</nc:LocationName> </nc:OrganizationLocation>

7.4.2.1 nc:LocationAddress

Standard Definition	A geophysical location described by postal information.
AOC Usage Notes	This element is a physical address of the location.
Examples	

```
<nc:LocationAddress>
    <nc:StructuredAddress>
        <nc:LocationStreet>
            <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>
        </nc:LocationStreet>
        <nc:LocationCityName>Fountain Hills</nc:LocationCityName>
        <nc:LocationStateName>AZ</nc:LocationStateName>
        <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
        <nc:LocationPostalCode>85266</nc:LocationPostalCode>
    </nc:StructuredAddress>
</nc:LocationAddress>
```

7.4.2.1.1 nc:StructuredAddress

Standard Definition	An address.
AOC Usage Notes	This element contains the address of the location.
Examples	

```
<nc:StructuredAddress>
    <nc:LocationStreet>
        <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>
    </nc:LocationStreet>
    <nc:LocationCityName>Fountain Hills</nc:LocationCityName>
    <nc:LocationStateName>AZ</nc:LocationStateName>
    <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
    <nc:LocationPostalCode>85266</nc:LocationPostalCode>
</nc:StructuredAddress>
```

7.4.2.1.1.1 nc:LocationStreet

Standard Definition	A road, thoroughfare or highway.
AOC Usage Notes	This element contains the street address of the location.
Examples	

```
<nc:LocationStreet>
    <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>
</nc:LocationStreet>
```

7.4.2.1.1.1.1 nc:StreetFullText

Standard Definition	A complete reference for a street.
AOC Usage Notes	This element value is the complete street address of the location, including suite numbers, floor numbers, apartment numbers, etc. Only one address line is permitted. If the presentation of the contents of this element should be presented on more than one display/printed line, then the formatting character should be included in the element value.
Examples	Example 1: The address is displayed in a single line. <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText> Example 2: Multi-line display. <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>

7.4.2.1.1.2 nc:LocationCityName

Standard Definition	A name of a city or town.
AOC Usage Notes	This element is used to identify the city in which a court location exists.
Examples	<nc:LocationCityName>Fountain Hills</nc:LocationCityName>

7.4.2.1.1.3 nc:LocationStateName

Standard Definition	A state, commonwealth, province, or other such geopolitical subdivision of a country.
AOC Usage Notes	This element is used to identify the postal code of the state in which a court location exists. Note: Even though ECF provides an nc:LocationStateUSPostalServiceCode element, this element is not used since the companion xsd file (../niem/ups_states/2.0/ups_states.xsd) only contains US states, territories, and armed forces names.
Examples	<nc:LocationStateName>AZ</nc:LocationStateName>

7.4.2.1.1.4 nc:LocationCountryFIPS10-4Code

Standard Definition	A country, territory, dependency, or other such geopolitical subdivision of a location.
AOC Usage Notes	This element is used to identify the country of the state in which a court location exists. The values placed in this element must conform to FIPS 10-4 standard. (See Wikipedia: http://en.wikipedia.org/wiki/List_of_FIPS_country_codes)
Examples	<nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>

7.4.2.1.1.5 nc:LocationPostalCode

Standard Definition	An identifier of a post-office-assigned zone for an address.
AOC Usage Notes	This element must contain a valid postal code (e.g. US Postal Service ZIP Code)
Examples	<nc:LocationPostalCode>85266</nc:LocationPostalCode>

7.4.2.2 nc:LocationName

Standard Definition	A name of a location.
AOC Usage Notes	This is the name of a court location (read: not the name of the court) associated with the j:CourtName. In some regions a court has many locations. For example, the Superior Court in Mohave County is comprised of three court locations: Kingman, Bullhead City, and Lake Havasu. There can be one-to-many court locations associated with a single j:CourtName. Location names that exceed the CRMDE space allowance are subject to truncation, abbreviation, or any other form deemed appropriate by the CRMDE.
Examples	<nc:LocationName>Mohave County Superior Court Bullhead City</nc:LocationName>

7.4.3 j:CourtName

Standard Definition	A name of a unit of a court.
AOC Usage Notes	The formal name of the court.
Examples	<j:CourtName>Superior Court in Mohave County</j:CourtName>

7.4.4 aoc:LocationAugmentation

Standard Definition	Additional information about a location.
AOC Usage Notes	This is an optional complex element. This element should NOT be used when local court location identifiers are not defined for a given jurisdiction. This complex element enables the communication of CMS-specific court location identifiers. The data values communicated in this complex element are NOT the same as statewide court location identifiers communicated in the aoc:CaseCourt, nc:OrganizationIdentification, nc:IdentificationID complex element. In other words, don't confuse the two because they serve different purposes.
Examples	<aoc:LocationAugmentation> <aoc:LocationIdentification> <nc:IdentificationID>1301</nc:IdentificationID> </aoc:LocationIdentification> </aoc:LocationAugmentation>

7.4.4.1 aoc:LocationIdentification

Standard Definition	The ID of a location.
AOC Usage Notes	This is an optional element. This element should NOT be used when local court location identifiers are not defined for a given jurisdiction. This element communicates CMS-specific court location identifiers. The data values communicated in this element are NOT the same as statewide court location identifiers communicated in the aoc:CaseCourt, nc:OrganizationIdentification, nc:IdentificationID complex element. In other words, don't confuse the two because they serve different purposes.
Examples	<aoc:LocationIdentification> <nc:IdentificationID>1301</nc:IdentificationID> </aoc:LocationIdentification>

7.4.4.1.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This is an optional element. This element should NOT be used when local court location identifiers are not defined for a given jurisdiction. This element communicates CMS-specific court location identifiers. The data values communicated in this element are NOT the same as statewide court location identifiers communicated in the aoc:CaseCourt, nc:OrganizationIdentification, nc:IdentificationID complex element. In other words, don't confuse the two because they serve different purposes.
Examples	<nc:IdentificationID>1301</nc:IdentificationID>

7.5 aoc:CaseCourtEvent

Standard Definition	An entry in the Docket or Record of Actions in the CMS for this case
AOC Usage Notes	This element is not currently used to describe an entry in the Docket or Register of Actions in the CMS for a case. At present, this element is used to provide additional information for a: 1. A Provisional Remedy Request order; 2. A scheduled hearing or proposed scheduled hearing date, location, etc.; and 3. An Evidence of Service event. When using this element for multiple purposes, such as to provide additional information for a Provisional Remedy Request Order or to provide scheduled

hearing information, then separate aoc:CaseCourtEvent elements are required for each separate purpose. Do not intersperse elements for each separate purpose within a single aoc:CaseCourtEvent.

Examples

CORRECT USAGE:

Example 1: A provisional remedy request within a CFM.

```
<aoc:CaseCourtEvent>
      <nc:ActivityDate>2011-02-25</nc:ActivityDate>
      <nc:ActivityDescriptionText>Application for Provisional Remedy Order</nc:ActivityDescriptionText>
      <ecf:CourtEventEnteredOnDocketDate/>
      <ecf:CourtEventTypeCode/>
      <ecf:CourtEventDocumentReference s:ref="ab4092171-1665-4b47-8ae3-0278e7d069d7"/>
      <ecf:CourtEventActor>
            <nc:RoleOfItemReference s:ref="ItemGUID"/>
      </ecf:CourtEventActor>
      <aoc:ActivityCategoryText>ProvisionalRemedyApplication</aoc:ActivityCategoryText>
      <nc:ActivityReasonText>Reason for Application</nc:ActivityReasonText>
</aoc:CaseCourtEvent>
```

Example 2: A scheduled hearing within a RDM.

```
<aoc:CaseCourtEvent>
         <j:CourtEventJudge>
            <nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>
         </j:CourtEventJudge>
         <j:CourtEventSchedule>
            <nc:ScheduleActivityText>HEARING</nc:ScheduleActivityText>
            <nc:ScheduleDate>
                  <nc:Date>2011-05-31-07:00</nc:Date>
            </nc:ScheduleDate>
            <nc:ScheduleDayStartTime>11:00:00.000-07:00</nc:ScheduleDayStartTime>
          </j:CourtEventSchedule>
      <ecf:CourtEventEnteredOnDocketDate/>
      <ecf:CourtEventTypeCode/>
      <ecf:CourtEventLocationCode>CR12</ecf:CourtEventLocationCode>
      <ecf:CourtEventLocationText>Court Room 12</ecf:CourtEventLocationText>
      <aoc:CourtEventCourt>
            <nc:OrganizationLocation>
                  <nc:LocationName>110 West Congress Street, Tucson AZ 85701</nc:LocationName>
            </nc:OrganizationLocation>
            <aoc:CourtDivisionText>Civil Division</aoc:CourtDivisionText>
      </aoc:CourtEventCourt>
</aoc:CaseCourtEvent>
```

Example 3: An Evidence of Service submission.

```
<aoc:CaseCourtEvent>
         <nc:ActivityDate></nc:ActivityDate>
                  <nc:Date>2011-05-31-07:00</nc:Date>
         </nc:ActivityDate>
         <nc:ActivityDescriptionText>Evidence of Service</nc:ActivityDescriptionText>
         <nc:ActivityStatus>Complaint and Summons Served</nc:ActivityStatus>
         <ecf:CourtEventEnteredOnDocketDate/>
         <ecf:CourtEventTypeCode/>
          <ecf:CourtEventActor>
               <ecf:CourtEventActor>
                     <nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>
               </ecf:CourtEventActor>
          </ecf:CourtEventActor>
</aoc:CaseCourtEvent>
```

INCORRECT USAGE: Information for both an Application for Provisional Remedy Order and a court hearing are interspersed within a single aoc:CaseCourtEvent element.

```
<aoc:CaseCourtEvent>
```

```
<nc:ActivityDescriptionText>Request for Provisional Remedy Order</nc:ActivityDescriptionText>
<j:CourtEventJudge>
    <nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>
</j:CourtEventJudge>
<j:CourtEventSchedule>
    <nc:ScheduleActivityText>HEARING</nc:ScheduleActivityText>
        <nc:ScheduleDate>
            <nc:Date>2011-05-31-07:00</nc:Date>
        </nc:ScheduleDate>
    <nc:ScheduleDayStartTime>11:00:00.000-07:00</nc:ScheduleDayStartTime>
</j:CourtEventSchedule>
<ecf:CourtEventEnteredOnDocketDate/>
<ecf:CourtEventTypeCode/>
<ecf:CourtEventDocumentReference s:ref="ab4092171-1665-4b47-8ae3-0278e7d069d7"/>
<ecf:CourtEventActor>
    <nc:RoleOfItemReference s:ref="ItemGUID"/>
</ecf:CourtEventActor>
<ecf:CourtEventLocationCode>CR12</ecf:CourtEventLocationCode>
<ecf:CourtEventLocationText>Court Room 12</ecf:CourtEventLocationText>
<aoc:CourtEventCourt>
    <nc:OrganizationLocation>
        <nc:LocationName>110 West Congress Street, Tucson AZ 85701</nc:LocationName>
    </nc:OrganizationLocation>
    <aoc:CourtDivisionText>Civil Division</aoc:CourtDivisionText>
</aoc:CourtEventCourt>
<aoc:ActivityCategoryText>ProvisionalRemedyApplication</aoc:ActivityCategoryText>
<nc:ActivityReasonText>Reason for Petition</nc:ActivityReasonText>
</aoc:CaseCourtEvent>
```

7.5.1 nc:ActivityDate

Standard Definition	A date of an activity.
AOC Usage Notes	This element is required per business requirements. This element communicates the date that an event occurred. When used with Evidence of Service submissions, this is the date of service. If the date of service is illegible or not available, then nc:ActivityDate references the issue type through an s:metadata attribute. Note: For Evidence of Service submissions, the issue type is communicated within nc:ActivityStatus, nc:StatusText.
Examples	Example 1: The date of service is available and legible.. <nc:ActivityDate> <nc:Date>2018-01-25-07:00</nc:Date> </nc:ActivityDate> Example 2: The date of service is available, but illegible. <nc:ActivityDate s:metadata="e12f51d1-d554-476f-b198-5711c2e8a738" xsi:nil="true"/>

7.5.1.1 nc:Date

Standard Definition	A full date.
AOC Usage Notes	This element is required per business requirements.

When used with Evidence of Service submissions, nc:Date represents the date of service, if the date of service is available and legible. If the date of service is not available or illegible, this element is not required.

Calendar date values should be expressed as "CCYY-MM-DD", with a time zone qualifier designated by appending -hh:00, where hh represents the number of hours the local time zone is behind Coordinated Universal Time (UTC).

Examples

```
<nc:Date>2018-01-25-07:00</nc:Date>
```

7.5.2 nc:ActivityDescriptionText

Standard Definition	A description of an activity.
AOC Usage Notes	This element provides additional description of an activity or event. When used as part of: • An application for Provisional Remedy Order request, this element must contain the value "Application for Provisional Remedy Order". • An Evidence of Service submission, this element optionally contains a description of the documents served, such as "Complaint and Summons Served". If used in the context of Provisional Remedy Order requests or Evidence of Service submissions, implementers are encouraged, but not required to use this element. If this element is used in any other context, FRMDEs and CRMDEs are free to ignore this element's value.
Examples	Example 1: Application for Provisional Remedy Order. <nc:ActivityDescriptionText>Application for Provisional Remedy Order</nc:ActivityDescriptionText> Example 2: Evidence of Service submission. <nc:ActivityDescriptionText>Complaint and Summons Served</nc:ActivityDescriptionText>

7.5.3 nc:ActivityStatus

Standard Definition	A status of an activity.
AOC Usage Notes	This element provides additional description of an activity. This element is optional per schema; however, in the context if Evidence of Service, if the date of service is illegible, not available, or otherwise cannot be provided, then this element MUST be used along with nc:StatusText. When used as part of: • Evidence of Service submissions, this complex element communicates that a service-related issue occurred. nc:ActivityStatus provides a

referenceable s:id attribute and contains the type of issue that occurred (located within nc:StatusText).

Examples

```
Example 1:  Service document contains an illegible date of service.
nc:ActivityStatus s:id="e12f51d1-d554-476f-b198-5711c2e8a738">
        <nc:StatusText >Illegible</nc:StatusText>
</nc:ActivityStatus>

Example 2:  Service document does not include a date of service.
nc:ActivityStatus s:id="e12f51d1-d554-476f-b198-5711c2e8a738">
        <nc:StatusText >NotAvailable</nc:StatusText>
</nc:ActivityStatus>

Example 3:  Service of a document (e.g., Summons) was unsuccessfuly executed. (FUTURE CONSIDERATION)
nc:ActivityStatus s:id="e12f51d1-d554-476f-b198-5711c2e8a738">
        <nc:StatusText >Unsuccessful</nc:StatusText>
</nc:ActivityStatus>
```

7.5.3.1 nc:StatusText

Standard Definition	A status or condition of something or someone.
AOC Usage Notes	This element provides additional description of a status. When used as part of Evidence of Service submissions, this element describes an issue associated with the date of service. Only AOC-approved values can be used.

Value	Description
Illegible	The date of service on a service document was unreadable.
Unavailable	The date of service was not provided on the service document.

At this time, if this element is used in any other context, FRMDEs and CRMDEs are free to ignore the value.

Examples

```
<nc:StatusText >Unavailable</nc:StatusText>
```

7.5.4 j:CourtEventJudge

Standard Definition	A judge associated with a court event.
AOC Usage Notes	Identifies the judge assigned to this case in the Clerk Review function.

Examples

```
<j:CourtEventJudge>
        <nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>
</j:CourtEventJudge>
```

7.5.4.1 nc:RoleOfPersonReference

Standard Definition	A person of whom the role object is a function.

AOC Usage Notes	Identifies the person who is the judge. If the person who is the judge is elaborated in the CoreFilingMessage (CFM), then the s:ref attribute should reference the CFM person (e.g. CaseParticipant). However, if the person who is the judge is NOT elaborated in the CFM, then the s:ref attribute should reference the person identified in the RecordDocketingMessage (RDM) CaseParticipant element.
Examples	<nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>

7.5.5 j:CourtEventSchedule

Standard Definition	Details about a scheduled event.
AOC Usage Notes	Information about the hearing entered by the clerk within the Clerk Review function.
Examples	<j:CourtEventSchedule> <nc:ScheduleActivityText>Hearing</nc:ScheduleActivityText> <nc:ScheduleDate> <nc:Date>2011-05-31-07:00</nc:Date> </nc:ScheduleDate> <nc:ScheduleDayStartTime>11:00:00.000-07:00</nc:ScheduleDayStartTime> </j:CourtEventSchedule>

7.5.5.1 nc:ScheduleActivityText

Standard Definition	An activity planned to occur on a certain date and time.
AOC Usage Notes	This element contains a codified value that identifies the type of activity which is scheduled. AOC-approved list of values, subject to change without notice; • Hearing •
Examples	<nc:ScheduleActivityText>Hearing</nc:ScheduleActivityText>

7.5.5.2 nc:ScheduleDate

Standard Definition	A date for which an activity is scheduled.
AOC Usage Notes	Contains the date for the scheduled activity.
Examples	<nc:ScheduleDate> <nc:Date>2011-05-31-07:00</nc:Date> </nc:ScheduleDate>

7.5.5.2.1 nc:Date

Standard Definition	A full date.

AOC Usage Notes	Calendar date values should be expressed as “CCYY-MM-DD”, with a time zone qualifier designated by appending -hh:00, where hh represents the number of hours the local time zone is behind Coordinated Universal Time (UTC).
Examples	<nc:Date>2011-05-31-07:00</nc:Date>

7.5.5.3 nc:ScheduleDayStartTime

Standard Definition	Time without date.
AOC Usage Notes	Time values should be expressed as “hh:mm:ss.sss” with a time zone designated by appending -hh:00, where hh represents the number of hours the local time zone is behind Coordinated Universal Time (UTC).
Examples	<nc:ScheduleDayStartTime>08:30:00.000-07:00</nc:ScheduleDayStartTime>

7.5.6 j:CourtEventEnteredOnDocketDate

Standard Definition	Date of entry into the court record.
AOC Usage Notes	This element is required; however, it is not used. Although this element is not used and since this element is not nillable, an empty sub-element is required.
Examples	<ecf:CourtEventEnteredOnDocketDate> <nc:DateTime xsi:nil="true"/> </ecf:CourtEventEnteredOnDocketDate>

7.5.6.1 nc:DateTime

Standard Definition	A full date and time.
AOC Usage Notes	This element is required per schema, although a value is not provided in this context. Hence, the xsi:nil attribute must be present and must have the value “true”.
Examples	<nc:DateTime xsi:nil="true"/>

7.5.7 j:CourtEventTypeCode

Standard Definition	Code to categorize the docket entry. E.g. motion, hearing, complaint. Allowable value set forth in court policy.
AOC Usage Notes	This element is required per the schema. This element is not needed and should be included as an empty element.
Examples	<ecf:CourtEventTypeCode/>

7.5.8 ecf:CourtEventDocument (not used)

Standard Definition	The pleading, motion, or order that is the subject of this docket entry.
AOC Usage Notes	This element is not expected to be used at this time and should never be used when the CourtEventDocument is a filing lead document or connected document within the message that contains this case element. Instead, use ecf:CourtEventDocumentReference.
Examples	This element is not used.

7.5.9 ecf:CourtEventDocumentReference

Standard Definition	A reference to a pleading, motion, or order that is the subject of this docket entry.
AOC Usage Notes	This element is required per business requirements when elaborating Case Court Events (e.g., Evidence of Service). This element is used to point to the document contained in this message, e.g., filing lead document or filing connected document. See Special Topics “Evidence of Service” for additional information.
Examples	<ecf:CourtEventDocumentReference s:ref="ab4092171-1665-4b47-8ae3-0278e7d069d7"/>

7.5.10ecf:CourtEventActor

Standard Definition	The actor who performed action as set forth on the docket entry. E.g. The person who filed the document. Does not include the name of the court clerk composing the docket entry.
AOC Usage Notes	This element is required per business requirements. This element is used to reference: 1. Special Case Usage: Property (aka Item) in a Request for Provisional Remedy; 2. Special Case Usage: A case participant (Person, Organization) in Evidence of Service; or 3. General Usage: A Person, Organization, or Property (aka Item). See Special Topics “Request for Provisional Remedy” and “Evidence of Service” for additional details.
Examples	Example 1: Reference to an Item (aka Property) in a Request for Provisional Remedy submission. <ecf:CourtEventActor> <nc:RoleOfItemReference s:ref="ItemGUID"/> </ecf:CourtEventActor>

```
Example 2:  Reference to a Person in an Evidence of Service submission.
<ecf:CourtEventActor>
        <nc:RoleOfPersonReference s:ref="PersonGUID"/>
</ecf:CourtEventActor>

Example 3:  Reference to an Organization in an Evidence of Service submission.
<ecf:CourtEventActor>
        <nc:RoleOfOrganizationReference s:ref="OrganizationGUID"/>
</ecf:CourtEventActor>

Example 4:  Reference to an Item (aka Property) in an Evidence of Service submission.
<ecf:CourtEventActor>
        <nc:RoleOfItemReference s:ref="ItemGUID"/>
</ecf:CourtEventActor>
```

7.5.10.1 nc:RoleOfItemReference

Standard Definition	An entity of whom the role object is a function.
AOC Usage Notes	This element is required per business requirements when an Item (aka Property) is referenced. This element points to the Item entity (aka Property) found elsewhere in this message, such as within aoc:CaseParticipant. See Special Topics “Request for Provisional Remedy” for additional details.
Examples	`<nc:RoleOfItemReference s:ref="ItemGUID"/>`

7.5.10.2 nc:RoleOfPersonReference

Standard Definition	A person of whom the role object is a function.
AOC Usage Notes	This element is required per business requirements when a Person is referenced. This element points to the Person entity case participant found elsewhere in the message, such as within aoc:CaseParticipant. In the context of Evidence of Service, this is the reference to the Person entity case participant who was served with court documents. See Special Topics “Evidence of Service” for additional details.
Examples	`<nc:RoleOfPersonReference s:ref="PersonGUID"/>`

7.5.10.3 nc:RoleOfOrganizationReference

Standard Definition	An organization of whom the role object is a function.
AOC Usage Notes	This element is required per business requirements when an Organization is referenced.

	This element points to the Organization entity case participant found elsewhere in the message, such as within aoc:CaseParticipant. In the context of Evidence of Service, this is the reference to the Organization entity case participant that was served with court documents. See Special Topics "Evidence of Service" for additional details.
Examples	<nc:RoleOfOrganizationeference s:ref="OrganizationGUID"/>

7.5.11ecf:CourtEventLocationCode

Standard Definition	A code referring to the location in a court of the calendar event. Allowable values set forth in court polity.
AOC Usage Notes	Only values defined in Court Policy may be used (e.g. Court Room B).
Examples	<ecf:CourtEventLocationCode>CR12</ecf:CourtEventLocationCode>

7.5.12ecf:CourtEventLocationText

Standard Definition	A textual description of the location in a court of the calendar event.
AOC Usage Notes	A human-understandable location description.
Examples	<ecf:CourtEventLocationText>Court Room 12</ecf:CourtEventLocationText>

7.5.13aoc:ActivityCategoryText

Standard Definition	A kind of activity.
AOC Usage Notes	A codified value which characterizes the type of event (e.g., Event Code) associated with a submission. When used in the context of Evidence of Service, implementers are encouraged, but not required to use this element to identify the types of documents served (e.g., Complaint). Only AOC-approved statewide event type codes and associated descriptions are permitted to be used in this element. In the example below, COMS represents a Complaint that was served, where the operative word is "served". Note: A document type is NOT the same as event type. The act of issuing a Summons, for example, is a different type of event than the act of serving a Summons. As such, the act of issuing and serving a Summons must be be assigned different event type codes, i.e., one for issuing and one for serving.
Examples	Example 1: Complaint served. <aoc:ActivityCategoryText>COMS</aoc:ActivityCategoryText>

Example 2: Complaint and Summons served.
<aoc:ActivityCategoryText>COMS</aoc:ActivityCategoryText>
<aoc:ActivityCategoryText>SUMS</aoc:ActivityCategoryText>

7.5.14 nc:ActivityReasonText

Standard Definition	A reason for an activity.
AOC Usage Notes	This element is used to provide a more descriptive description of ActivityCategoryText. Examples include, but are not limited to: Provisional Remedy • Defendant(s) was about to remove permanently from the state and refused to secure the debt. • Defendant(s) had secreted property for the purpose of defrauding creditors. • Defendant(s) had disposed of property, wholly or in part, with the intent to defraud creditors, or were about to dispose property with intent to defraud creditors. • The Plaintiff(s) claims the right of possession to Defendant's property under a purchase money security interest.
Examples	<nc:ActivityReasonText>Reason for Application</nc:ActivityReasonText>

7.5.15aoc:CourtEventCourt

Standard Definition	A court in which a court event occurs.
AOC Usage Notes	Identifies the location of the court room where the hearing has been scheduled and the court division conducting the hearing. The location may be described by an address, a well-known building name, or any other appropriate means.
Examples	<aoc:CourtEventCourt> <nc:OrganizationLocation> <nc:LocationName>110 West Congress Street, Tucson AZ 85701</nc:LocationName> </nc:OrganizationLocation> <aoc:CourtDivisionText>Civil Division</aoc:CourtDivisionText> </aoc:CourtEventCourt>

7.5.15.1 nc:OrganizationLocation

Standard Definition	A location of an organization.
AOC Usage Notes	Identifies where the organization can be found.
Examples	<nc:OrganizationLocation> <nc:LocationName>110 West Congress Street, Tucson AZ 85701</nc:LocationName> </nc:OrganizationLocation>

7.5.15.1.1 nc:LocationName

Standard Definition	A name of an organization.
AOC Usage Notes	Identifies the location of the court room where the hearing has been scheduled. The location may be described by an address, a well-known building name, or any other appropriate means.
Examples	<nc:LocationName>110 West Congress Street, Tucson AZ 85701</nc:LocationName>

7.5.15.2 aoc:CourtDivisionText

Standard Definition	An area of case processing and the law governing specific types of matters before the court and governed by distinct court rule and processes.
AOC Usage Notes	Identifies by name the division or department of the court that is conducting the hearing.
Examples	<aoc:CourtDivisionText>Civil Division</aoc:CourtDivisionText>

7.6 j:CaseDefendantParty (not used)

Standard Definition	An entity being charged or sued in a court of law.
AOC Usage Notes	This element is NOT used. Parties (e.g. litigants, either organizations, persons, or property) are introduced within j:CaseAugmentation as either a CaseInitiatingParty, a CaseRespondentParty, a CaseDefendantParty, or a FilingParty. This element must NOT be used in a case initiating submission. Instead, use j:CaseInitiatingParty and j:CaseRespondentParty for case initiating submissions. For a subsequent submission, use aoc:FilingParty if the party is the filer or is represented by the filing attorney. In a subsequent submission, parties in opposition to the filing party should be identified using j:CaseRespondentParty.
Examples	None. This element is not used.

7.7 j:CaseDefenseAttorney (not used)

Standard Definition	An attorney who defends a case.
AOC Usage Notes	The j:CaseDefenseAttorney element is not used to specify the filing attorney. Use aoc:FilingAttorney instead.
Examples	None. This element is not used.

7.8 j:CaseInitiatingParty

Standard Definition	An entity that brings charges or a suit against another in a court of law. Can be either a victim in a criminal case or a plaintiff in a civil case.
AOC Usage Notes	Parties (e.g. litigants, either organizations, persons, or property) are introduced within j:CaseAugmentation as either a CaseInitiatingParty, a CaseRespondentParty, a CaseDefendantParty, or a FilingParty. This element is used for case initiating submissions to identify the case parties initiating a case with this submission. This element is NOT used for subsequent submissions. This element MUST contain one and only one of the following sub-elements: nc:EntityPersonReference nc:OrganizationReference nc:ItemReference
Examples	<j:CaseInitiatingParty> <nc:EntityPersonReference s:ref="if18e165b-0ff6-4e78-82d9-6d645610f96d"/> </j:CaseInitiatingParty> **Example 1: Person Parties** <j:CaseInitiatingParty> <nc:EntityPersonReference s:ref="ibbd1f442-90e8-460f-9b88-f75099eb1aa4"/> </j:CaseInitiatingParty> <j:CaseInitiatingParty> <nc:EntityOrganizationReference s:ref="ifb37ec2b-6a2c-44f1-9060-48bc2a9085aa"/> </j:CaseInitiatingParty> **Example 2: Organization Party** <j:CaseInitiatingParty> <nc:EntityOrganizationReference s:ref="abba1f442-90e8-460f-9b88-f75099eb1ba5"/> </j:CaseInitiatingParty> **Example 3: Item Party (e.g. property)** <j:CaseInitiatingParty> <nc:EntityItemReference s:ref="acdc1f442-90e8-460f-9b88-f75099eb1ba5"/> </j:CaseInitiatingParty>

7.8.1 nc:EntityItemReference

Standard Definition	An item capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify an item party (e.g. property) elaborated elsewhere in this message. For case party items it will usually be aoc:CaseParticipant.
Examples	<nc:EntityItemReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.8.2 nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.

AOC Usage Notes	This element is used to identify an organization party elaborated elsewhere in this message. For case party organizations it will usually be aoc:CaseParticipant.
Examples	<nc:EntityOrganizationReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.8.3 nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify a person party elaborated elsewhere in this message. For case party persons it will usually be aoc:CaseParticipant.
Examples	<nc:EntityPersonReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.9 aoc:FilingParty

Standard Definition	None.
AOC Usage Notes	Parties (e.g. litigants, either organizations, persons, or property) are introduced within j:CaseAugmentation as either a CaseInitiatingParty, a CaseRespondentParty, a CaseDefendantParty, or a FilingParty. This element is NOT used for case initiating submissions. If this is a subsequent submission, then use aoc:FilingParty if the party is the filer or is represented by the filing attorney. In a subsequent submission, parties in opposition to the filing party should be identified using j:CaseRespondentParty. This element MUST contain one and only one of the following subelements: nc:EntityPersonReference nc:OrganizationReference nc:ItemReference
Examples	**Example 1: Person Parties** <aoc:FilingParty> <nc:EntityPersonReference s:ref="ibbd1f442-90e8-460f-9b88-f75099eb1aa4"/> </aoc:FilingParty> <aoc:FilingParty> <nc:EntityOrganizationReference s:ref="ifb37ec2b-6a2c-44f1-9060-48bc2a9085aa"/> </aoc:FilingParty> **Example 2: Organization Party** <aoc:FilingParty> <nc:EntityOrganizationReference s:ref="abba1f442-90e8-460f-9b88-f75099eb1ba5"/> </aoc:FilingParty> **Example 3: Item Party (e.g. property)** <aoc:FilingParty> <nc:EntityItemReference s:ref="acdc1f442-90e8-460f-9b88-f75099eb1ba5"/> </aoc:FilingParty>

7.9.1 nc:EntityItemReference

Standard Definition	An item capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify an item party (e.g. property) elaborated elsewhere in this message. For case party items it will usually be aoc:CaseParticipant.
Examples	<nc:EntityItemReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.9.2 nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify an organization party elaborated elsewhere in this message. For case party organizations it will usually be aoc:CaseParticipant.
Examples	<nc:EntityOrganizationReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.9.3 nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify a person party elaborated elsewhere in this message. For case party persons it will usually be aoc:CaseParticipant.
Examples	<nc:EntityPersonReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.10 j:CaseJudge

Standard Definition	A judicial official assigned to a case.
AOC Usage Notes	A judge or judicial officer assigned to a case should be introduced using j:CaseJudge in j:CaseAugmentation. If a judge or judicial officer has more than one role in a case then additional instances of j:CaseJudge should be used. The nc:RoleOfPersonReference and j:CaseOfficialRoleText elements are required subelements when using j:CaseJudge.
Examples	This example shows the same judge with two different roles in the same case. <j:CaseJudge> <nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <j:CaseOfficialRoleText>PresidingJudge</j:CaseOfficialRoleText> </j:CaseJudge> <j:CaseJudge> <nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <j:CaseOfficialRoleText>HearingJudge</j:CaseOfficialRoleText> </j:CaseJudge>

7.10.1nc:RoleOfPersonReference

Standard Definition	A person for whom the role object is a function.
AOC Usage Notes	This element points to the person who is a judge assigned to the case.
Examples	<nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>

7.10.2j:CaseOfficialRoleText

Standard Definition	A role a justice official played in a court case.
AOC Usage Notes	This element is required when using j:CaseJudge. This element describes the role of the judge in this case more precisely than simply "judge". If a judge has more than one role in a case then multiple instances of j:CaseJudge should be used. This element is only valid once within j:CaseJudge. Only values approved by the AOC may be used as content: • Arbitrator • HearingOfficer • JudgeProTem • Mediator • PresidingJudge • SentencingJudge • SpecialMaster
Examples	<j:CaseOfficialRoleText>PresidingJudge</j:CaseOfficialRoleText>

7.11 j:CaseOtherEntity

Standard Definition	A miscellaneous entity involved in a court case.
AOC Usage Notes	Used for entities who are not attorneys, judges, or case parties, such as transcriptionists, court reporters (see section 9.1.10), and subpoenaed persons.
Examples	<j:CaseOtherEntity> <nc:EntityPersonReference s:ref="ic1d76e82-0493-4177-a558-5bbd428b2f81"/> </j:CaseOtherEntity>

7.12 j:CaseProsecutionAttorney (not used)

Standard Definition	An attorney who prosecutes a case.
AOC Usage Notes	The j:CaseProsecutionAttorney element is not used to specify the filing attorney. Use aoc:FilingAttorney instead.
Examples	None. This element is not used.

7.13 j:CaseRespondentAttorney (not used)

Standard Definition	The attorney in a court case representing the party that is required to answer a petition for a court order or writ requiring the respondent to take some action, halt an activity or obey a courts direction. In such matters the moving party (the one filing the petition) is often called the "petitioner." Thus, the respondent is equivalent to a defendant in a lawsuit. On an appeal, the party who must respond to an appeal by the losing party in the trial court (called "appellant") in the appeals court. The accused in a domestic violence case or civil action; a person responding to a Request or Petition for Protection filed by a petitioner.
AOC Usage Notes	The j:CaseRespondentAttorney element is not used to specify the filing attorney. Use aoc:FilingAttorney instead.
Examples	None. This element is not used.

7.14 j:CaseRespondentParty

Standard Definition	An entity in a court case that is required to answer a petition for a court order or writ requiring the respondent to take some action, halt an activity or obey a courts direction. In such matters the moving party (the one filing the petition) is usually called the petitioner. Thus, the respondent is equivalent to a defendant in a lawsuit. On an appeal, the party who must respond to an appeal by the losing party in the trial court (called appellant) in the appeals court. The accused in a domestic violence case or civil action; a person responding to a Request or Petition for Protection filed by a petitioner.
AOC Usage Notes	This element is used for: • Case initiating submissions that identify the case parties who are in opposition to the case parties initiating the case (e.g., defendants, appellants); and • Subsequent submissions that identify the case parties who must act upon or respond to the case initiating submission. Note: Case parties who are not the filing parties or who are not the respondent parties MUST NOT be referenced using j:CaseRespondentParty. Cross, Counter, and Third-Party claims are instances of when the j:CaseRespondentParty element is used with subsequent submissions. For example: • When a Defendant in a case files a Cross Claim against another Defendant in the same case, the filing Defendant would also be identified as a Cross Claimant in the case. The Cross Claimant may not name all existing defendants in the Cross Claim. • When a Defendant in a case files a Counter Claim against a Plaintiff, the Defendant would also be identified as a Counter Plaintiff in the case. The Counter Plaintiff may not name all existing plaintiffs in the Counter Claim. • When a Defendant in a case files a Third-Party Complaint against a new party(s) that will be added to the same case, the Defendant would also

be identified as a Third-Party Plaintiff and the new parties would be identified as Third-Party Defendants.

A party is typically first encountered in the XML as a CaseInitiatingParty, CaseRespondentParty, CaseDefendantParty, or FilingParty. Note: Party litigants may be organizations, persons, or property. ECF also refers to property as items.

This element MUST contain one and only one of the following sub-elements:

- nc:EntityPersonReference
- nc:OrganizationReference
- nc:ItemReference

Examples

Example 1: Person Parties

```
<j:CaseRespondentParty>
        <nc:EntityPersonReference s:ref="ibbd1f442-90e8-460f-9b88-f75099eb1aa4"/>
</j:CaseRespondentParty>
<j:CaseRespondentParty>
        <nc:EntityOrganizationReference s:ref="ifb37ec2b-6a2c-44f1-9060-48bc2a9085aa"/>
</j:CaseRespondentParty>
```

Example 2: Organization Party

```
<j:CaseRespondentParty>
        <nc:EntityOrganizationReference s:ref="abba1f442-90e8-460f-9b88-f75099eb1ba5"/>
</j:CaseRespondentParty>
```

Example 3: Item Party (e.g. property)

```
<j:CaseRespondentParty>
        <nc:EntityItemReference s:ref="acdc1f442-90e8-460f-9b88-f75099eb1ba5"/>
</j:CaseRespondentParty>
```

7.14.1nc:EntityItemReference

Standard Definition	An item capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify an item party (e.g. property) elaborated elsewhere in this message. For case party items it will usually be aoc:CaseParticipant.
Examples	`<nc:EntityItemReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>`

7.14.2nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to identify an organization party elaborated elsewhere in this message. For case party organizations it will usually be aoc:CaseParticipant.
Examples	`<nc:EntityOrganizationReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>`

7.14.3nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.

AOC Usage Notes	This element is used to identify a person party elaborated elsewhere in this message. For case party persons it will usually be aoc:CaseParticipant.
Examples	<nc:EntityPersonReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>

7.15 j:CaseInitiatingAttorney (not used)

Standard Definition	The attorney in a court case representing the party that filed a petition for a court order or writ requiring the respondent to take some action, halt an activity or obey a courts direction. Representative of the party seeking action from the court. In such matters the moving party (the one filing the petition) is often called the "petitioner."; The attorney representing a person requesting for filing a Request or Petition for Protection filed by a petitioner.
AOC Usage Notes	The j:CaseInitiatingAttorney element is not used to specify the filing attorney. Use aoc:FilingAttorney instead.
Examples	None. This element is not used.

7.16 aoc:FilingAttorney

Standard Definition	None
AOC Usage Notes	The aoc:FilingAttorney element is to identify the filing attorney. The filing attorney is responsible for case submissions sent to a court.
Examples	Example 1: The DocumentFiler is an attorney. <aoc:FilingAttorney> <nc:RoleOfPersonReference s:ref="if340c7ad-ccba-43b5-b8a2-b4e918ec4ddc"/> <j:JudicialOfficialBarMembership> <j:JudicialOfficialBarIdentification> <nc:IdentificationID>024233</nc:IdentificationID> <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode> <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText> </j:JudicialOfficialBarIdentification> </j:JudicialOfficialBarMembership> </aoc:FilingAttorney>

7.16.1nc:RoleOfPersonReference

Standard Definition	A person of whom the role object is a function.
AOC Usage Notes	This element points to the person who is the filing attorney. Typically, this person is elaborated in aoc:CaseParticipant.
Examples	<nc:RoleOfPersonReference s:ref="if340c7ad-ccba-43b5-b8a2-b4e918ec4ddc"/>

7.16.2j:JudicialOfficialBarMembership

Standard Definition	Details about a legal capacity in which a judicial official is able to practice law.
AOC Usage Notes	This element contains other elements identify bar membership details.
Examples	<j:JudicialOfficialBarMembership>

```
        <j:JudicialOfficialBarIdentification>
            <nc:IdentificationID>024233</nc:IdentificationID>
            <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
            <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
        </j:JudicialOfficialBarIdentification>
</j:JudicialOfficialBarMembership>
```

7.16.2.1 j:JudicialOfficialBarIdentification

Standard Definition	An identifier assigned to a judicial official after meeting the requirement to practice law in a region.
AOC Usage Notes	This element contains other elements that identify bar number details.
Examples	<j:JudicialOfficialBarIdentification> <nc:IdentificationID>024233</nc:IdentificationID> <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode> <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText> </j:JudicialOfficialBarIdentification>

7.16.2.1.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This element contains the attorney bar number, if any.
Examples	<nc:IdentificationID>024233</nc:IdentificationID>

7.16.2.1.2 j:IdentificationJurisdictionNCICLISCode

Standard Definition	An area, region, or unit in which a unique identification is used.
AOC Usage Notes	This element describes the organization that issues a bar number and/or authorizes the attorney to practice law. This element is required to contain a value.
Examples	<j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>

7.16.2.1.3 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This element contains the name or description of the organization referenced in j:IdentificationJurisdictionNCICLISCode. This name must be the full and proper name of the bar association organization without abbreviation.
Examples	<nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>

8 aoc:CaseAugmentation in aoc:CivilCase

This section details the aoc:CaseAugmentation element introduced in Section 6.7. This element is used to provide additional case details. This section details sub-elements of aoc:CaseAugmentation.

8.1 ecf:Alias

Standard Definition	A name other than an official name used by a person or organization in this case.
AOC Usage Notes	The ecf:Alias element can occur many times per Entity reference (e.g. a person, organization, or item). An Entity can have more than one alias type description (i.e., if an Entity has more than one alternative name, then multiple ecf:Alias elements should be used).
Example:	<ecf:Alias> <ecf:AliasAlternateName>A-List Corporate Events & Catering LLC</ecf:AliasAlternateName> <ecf:AliasAlternateNameTypeCode>dba</ecf:AliasAlternateNameTypeCode> <nc:EntityReference s:ref="if94aa161-290a-41f8-ba33-33d01b8eceb7"/> </ecf:Alias>

8.1.1 ecf:AliasAlternateName

Standard Definition	An alternative name by which this person or organization is known.
AOC Usage Notes	The value in this element is subject to truncation by the CRMDE. This element must be used when ecf:alias is used.
Examples	<ecf:AliasAlternateName>A-List Corporate Events & Catering LLC</ecf:AliasAlternateName>

8.1.2 ecf:AliasAlternateNameTypeCode

Standard Definition	Used for Aliases of a case participant.
AOC Usage Notes	A codified value that describes or characterizes the type of alternate name. Allowable values set forth in Court Policy. This element must be used when ecf:alias is used. Only code values approved by the AOC may be used.

Code	Description	Comment
dba	doing business as	Can only be used to describe an organization entity
fka	formerly known as	Can be used to describe a person, organization, or item
aka	also known as	Can be used to describe a person, organization, or item
nka	now known as	Can be used to describe a person, organization, or item

Examples: <ecf:AliasAlternateNameTypeCode>dba</ecf:AliasAlternateNameTypeCode>

8.1.3 nc:EntityReference

Standard Definition	A person, organization, or thing capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element identifies the entity to which or to whom the alias applies. This element must be used when ecf:alias is used. The s:ref attribute MUST be used and MUST reference any entity type element, elaborated elsewhere in the message. The nc:EntityReference points to the EntityPerson, EntityOrganization, or EntityItem elements.
Examples	<nc:EntityReference s:ref="if94aa161-290a-41f8-ba33-33d01b8eceb7"/>

8.2 ecf:CaseOfficial

Standard Definition	The role played by an attorney in the case.
AOC Usage Notes	The ecf:CaseOfficial element from within aoc:CaseAugmentation is prescribed for use for two different purposes: • To identify attorneys other than the filing attorney (e.g., co-counsel, opposing counsel, etc.); • To identify case parties represented by an attorney; and • To communicate attorney bar numbers and bar affiliations for attorneys, judges, and others. When a case official has more than one role (i.e., j:CaseOfficialRoleText), then multiple ecf:CaseOfficial elements should be used. For more information see section 9.1.6.
Examples	The examples below illustrate the use of CaseOfficial for two purposes. Example 1: This example shows CaseOfficial being used to introduce an attorney who is not the filing attorney: <ecf:CaseOfficial> <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/> <j:JudicialOfficialBarMembership> <j:JudicialOfficialBarIdentification> <nc:IdentificationID>556678</nc:IdentificationID> <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode> <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText> </j:JudicialOfficialBarIdentification> </j:JudicialOfficialBarMembership> <ecf:CaseRepresentedPartyReference/> </ecf:CaseOfficial> Example 2: This example shows two attorneys who together as a team represent a group of litigants (e.g. 3 parties): <ecf:CaseOfficial> <nc:RoleOfPersonReference s:ref="ef5a6a57-7897-4340-bc8d-5a24574fac9e"></nc:RoleOfPersonReference> <j:JudicialOfficialBarMembership> <j:JudicialOfficialBarIdentification>

```
                    <nc:IdentificationID>013368</nc:IdentificationID>
                    <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                    <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
            </j:JudicialOfficialBarMembership>
            <j:CaseOfficialRoleText>RepresentsPetitoner</j:CaseOfficialRoleText>
            <ecf:CaseRepresentedPartyReference s:ref="afacc9ab-9bac-497d-80e1-ba4f611a0073"/>
            <ecf:CaseRepresentedPartyReference s:ref="idd9d4c7e-a2b3-4062-bb66-cea4b7fea8ac"/>
            <ecf:CaseRepresentedPartyReference s:ref="iee2bc585-4bc3-4428-b737-1d7036e5d30a"/>
        </ecf:CaseOfficial>
        <ecf:CaseOfficial>
            <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
            <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                    <nc:IdentificationID>011868</nc:IdentificationID>
                    <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                    <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
            </j:JudicialOfficialBarMembership>
            <ecf:CaseRepresentedPartyReference s:ref="afacc9ab-9bac-497d-80e1-ba4f611a0073"/>
            <ecf:CaseRepresentedPartyReference s:ref="idd9d4c7e-a2b3-4062-bb66-cea4b7fea8ac"/>
            <ecf:CaseRepresentedPartyReference s:ref="iee2bc585-4bc3-4428-b737-1d7036e5d30a"/>
        </ecf:CaseOfficial>
```

8.2.1 nc:RoleOfPersonReference

Standard Definition	A person of whom the role object is a function.
AOC Usage Notes	Identifies the person who is the attorney. If the person who is the attorney is elaborated in the CoreFilingMessage (CFM), then the s:ref attribute should reference the CFM person (e.g. CaseParticipant). However, if the person who is the attorney is NOT elaborated in the CFM, then the s:ref attribute should reference the person identified in the RecordDocketingMessage (RDM) CaseParticipant element.
Examples	`<nc:RoleOfPersonReference s:ref="fe1579b0-fb02-43da-8e49-c7f6c087e62e"/>`

8.2.2 j:JudicialOfficialBarMembership

Standard Definition	Details about a legal capacity in which a judicial official is able to practice law.
AOC Usage Notes	This element contains other elements identify bar membership details.

Examples	`<j:JudicialOfficialBarMembership>`
	`  <j:JudicialOfficialBarIdentification>`
	`  <nc:IdentificationID>024233</nc:IdentificationID>`
	`  <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>`
	`  <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>`
	`  </j:JudicialOfficialBarIdentification>`
	`</j:JudicialOfficialBarMembership>`

8.2.2.1 j:JudicialOfficialBarIdentification

Standard Definition	An identifier assigned to a judicial official after meeting the requirement to practice law in a region.
AOC Usage Notes	This element contains other elements that identify bar number details.
Examples	`<j:JudicialOfficialBarIdentification>`

```
        <nc:IdentificationID>024233</nc:IdentificationID>
        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
</j:JudicialOfficialBarIdentification>
```

8.2.2.1.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This element contains the attorney bar number, if any.
Examples	<nc:IdentificationID>024233</nc:IdentificationID>

8.2.2.1.2 j:IdentificationJurisdictionNCICLISCode

Standard Definition	An area, region, or unit in which a unique identification is used.
AOC Usage Notes	This element describes the organization that issues a bar number and/or authorizes the attorney to practice law. This element is required to contain a value.
Examples	<j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>

8.2.2.1.3 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This element contains the name or description of the organization referenced in j:IdentificationJurisdictionNCICLISCode. This name must be the full and proper name of the bar association organization without abbreviation.
Examples	<nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>

8.2.3 j:CaseOfficialRoleText

Standard Definition	A role a justice official played in a court case.
AOC Usage Notes	This element is optional. This element can be used to further describe the role of the **attorney** in this case. When a case official has more than one role (i.e., j:CaseOfficialRoleText), then multiple ecf:CaseOfficial elements should be used. Only role code values approved by the AOC may be used as content. **Attorney** • AdvisoryCounsel • AppointedCounsel – Court-appointed attorney • AttorneyGeneral – Works for State Attorneys General

- Co-Counsel
- CountyAttorney
- GuardianAdLitem
- LocalCounsel
- ProBonoCounsel
- PrivateAttorney – Does not work for a government organization
- ProHacVice – An out-of-state attorney
- RetainedCounsel
- Rule32Counsel
- SubstituteCounsel
- RepresentsDefendant*
- RepresentsPlaintiff*
- RepresentsPetitioner*
- RepresentsRespondent*
- RepresentsAppellate*

* Represents… values to be used only when the represented parties are not elaborated in the message.

Examples

Example 1: Example of an attorney who is *pro hac vice*.

```
<j:CaseOfficialRoleText>ProHacVice</j:CaseOfficialRoleText>
```

Example 2: Example of an attorney who represents respondent parties when the respondent parties are not identified elsewhere in the message.

```
<j:CaseOfficialRoleText>RepresentsRespondent</j:CaseOfficialRoleText>
```

8.2.4 ecf:CaseRepresentedPartyReference

Standard Definition	A reference to a person, organization, or property represented by an attorney.
AOC Usage Notes	This element points to the Entity, which is the case party. This is a reference to an entity element (e.g. ecf:EntityPerson, ecf:EntityOrganization, or ecf:EntityItem) within aoc:CaseParticipant. When ecf:CaseOfficial is used to identify case parties represented by attorneys, then this element is required. When an attorney represents more than one case party, then multiple ecf:CaseRepresentedPartyReference elements should be used within ecf:CaseOfficial. However, if the attorney has multiple ecf:CaseOfficial elements because the attorney has multiple roles in a case, it is not necessary to repeat ecf:CaseRepresentedPartyReference elements within the additional ecf:CaseOfficial element(s).
Examples	`<ecf:CaseRepresentedPartyReference s:ref="Appellant1"/>` `<ecf:CaseRepresentedPartyReference s:ref="Appellant2"/>`

8.3 aoc:CaseParticipant

Standard Definition	Information about a case participant.

CivilCase

AOC Usage Notes

This element is used to describe the role of an entity that participates in a case. These entities may be persons, organization, or items (e.g., property). Typically, the entity is elaborated within this element. The role of every case participant MUST be described with an ecf:CaseParticipantRoleCode. If an individual entity has multiple roles in a case, then multiple aoc:CaseParticipant elements should be used.

Example 1: This example shows John C. Donut as a plaintiff in this case.

```
<aoc:CaseParticipant>
    <ecf:EntityPerson s:id="Person1">
        <nc:PersonBirthDate>
            <nc:Date>1979-11-05</nc:Date>
        </nc:PersonBirthDate>
        <nc:PersonName>
            <nc:PersonNamePrefixText>Mr.</nc:PersonNamePrefixText>
            <nc:PersonGivenName>John</nc:PersonGivenName>
            <nc:PersonMiddleName>C.</nc:PersonMiddleName>
            <nc:PersonSurName>Donut</nc:PersonSurName>
            <nc:PersonFullName>John C. Donut</nc:PersonFullName>
        </nc:PersonName>
    </ecf:EntityPerson>
    <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Example 2: This example shows Hon. Kelly Thomas as a judge.

```
<aoc:CaseParticipant>
    <ecf:EntityPerson s:id="Judge1">
        <nc:PersonName>
            <nc:PersonFullName>Hon. Kelly Thomas</nc:PersonFullName>
        </nc:PersonName>
    </ecf:EntityPerson>
    <ecf:CaseParticipantRoleCode>Judge</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Example 3: This example shows Tree Care Inc. as a defendant.

```
<aoc:CaseParticipant>
    <aoc:EntityOrganization s:id="Org2">
        <nc:OrganizationName>Tree Care Inc.</nc:OrganizationName>
    </aoc:EntityOrganization>
    <ecf:CaseParticipantRoleCode>Defendant</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Example 4: This example shows John C. Donut as a plaintiff and a third-party defendant in this case.

```
<aoc:CaseParticipant>
    <ecf:EntityPerson s:id="Person1">
        <nc:PersonBirthDate>
            <nc:Date>1979-11-05</nc:Date>
        </nc:PersonBirthDate>
        <nc:PersonName>
            <nc:PersonNamePrefixText>Mr.</nc:PersonNamePrefixText>
            <nc:PersonGivenName>John</nc:PersonGivenName>
            <nc:PersonMiddleName>C.</nc:PersonMiddleName>
            <nc:PersonSurName>Donut</nc:PersonSurName>
            <nc:PersonFullName>John C. Donut</nc:PersonFullName>
        </nc:PersonName>
    </ecf:EntityPerson>
    <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
<aoc:CaseParticipant>
    <nc:EntityPersonReference s:ref="Person1">
    <ecf:CaseParticipantRoleCode>Third Party Defendant</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

8.3.1 ecf:EntityPerson

Standard Definition	A person capable of bearing legal rights and responsibility
AOC Usage Notes	This element is used when the person who performs case participant role is elaborated within the aoc:CaseParticipant element. Use this element when the entity is a person and NOT when the entity is an organization or an item. When the person is elaborated elsewhere in the message (e.g., DocumentSubmitter), then use nc:EntityPersonReference instead. The s:id value must be unique in the message in which the Civil Case element appears. The s:id attribute MUST be used and must be a UUID. Note: In XML, the first character of an attribute value is NOT permitted to be a digit.
Examples	<ecf:EntityPerson s:id="f172b2ec-2a10-419c-912b-18f3dd1f1a15"> <nc:PersonName> <nc:PersonGivenName>John</nc:PersonGivenName> <nc:PersonMiddleName/> <nc:PersonSurName>Smith</nc:PersonSurName> <nc:PersonFullName>John Smith</nc:PersonFullName> </nc:PersonName> <nc:PersonOtherIdentification> <nc:IdentificationID>2177</nc:IdentificationID> <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText> </nc:PersonOtherIdentification> <nc:PersonOtherIdentification> <nc:IdentificationID>3593220</nc:IdentificationID> <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText> <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText> </nc:PersonOtherIdentification> </ecf:EntityPerson>

8.3.1.1 nc:PersonBirthDate

Standard Definition	A date a person was born.
AOC Usage Notes	This element contains a person's birthdate.
Examples	<nc:PersonBirthDate> <nc:Date>2015-04-16</nc:Date> </nc:PersonBirthDate>

8.3.1.2 nc:Date

Standard Definition	A full date.
AOC Usage Notes	This element contains a full date.
Examples	<nc:Date>2015-04-16</nc:Date>

8.3.1.3 nc:PersonCapability

Standard Definition	A capacity or ability of a person for something.
AOC Usage Notes	This element is used to describe or characterize additional person traits. See section 9.1.9 for additional information.
Examples	<nc:PersonCapability> <nc:CapabilityDescriptionText>Minor</nc:CapabilityDescriptionText> </nc:PersonCapability>

8.3.1.3.1 nc:CapabilityDescriptionText

Standard Definition	A description of a capacity or ability.
AOC Usage Notes	Only AOC-approved values may be used: • GuardianAdLitem • GuardianConservator • IncapacitatedPerson • IncapacitatedProtectedPerson • Minor • Parent • SelfRepresentedLitigant
Examples	<nc:CapabilityDescriptionText>Minor</nc:CapabilityDescriptionText>

8.3.1.4 nc:PersonName

Standard Definition	PersonName is a combination of names and/or titles by which a person is known.
AOC Usage Notes	This is the name of the case participant person.
Examples	<nc:PersonName> <nc:PersonNamePrefixText>Hon.</nc:PersonNamePrefixText> <nc:PersonGivenName>John</nc:PersonGivenName> <nc:PersonMiddleName>McCarthy</nc:PersonMiddleName> <nc:PersonSurName>Smith</nc:PersonSurName> <nc:PersonNameSuffixText>Jr</nc:PersonNameSuffixText> <nc:PersonFullName>Hon. John McCarthy Smith, Jr</nc:PersonFullName> </nc:PersonName>

8.3.1.4.1 nc:PersonNamePrefixText

Standard Definition	A title or honorific used by a person.
AOC Usage Notes	Only AOC approved values may be used.
Examples	<nc:PersonNamePrefixText>Hon.</nc:PersonNamePrefixText>

8.3.1.4.2 nc:PersonGivenName

Standard Definition	PersonGivenName is the first name of the person.

AOC Usage Notes	Names which exceed the space allowance within the local CRMDE are subject to truncation, abbreviation or any other form of reduction.
Examples	<nc:PersonGivenName>John</nc:PersonGivenName>

8.3.1.4.3 nc:PersonMiddleName

Standard Definition	PersonMiddleName is the middle name of a person.
AOC Usage Notes	This is optional. Names which exceed the space allowance within the local CRMDE are subject to truncation, abbreviation or any other form of reduction.
Examples	<nc:PersonMiddleName>Wesley</nc:PersonMiddleName>

8.3.1.4.4 nc:PersonSurName

Standard Definition	PersonSurName is the last name of the person.
AOC Usage Notes	This element must be present and must have content. Organization names do not belong in this element. Names which exceed the space allowance within the local CRMDE are subject to truncation, abbreviation or any other form of reduction.
Examples	<nc:PersonSurName>Smith</nc:PersonSurName>

8.3.1.4.5 nc:PersonNameSuffixText

Standard Definition	A term appended after the family name that qualifies a name.
AOC Usage Notes	
Examples	<nc:PersonNameSuffixText>Jr</nc:PersonNameSuffixText>

8.3.1.4.6 nc:PersonFullName

Standard Definition	PersonFullName is a complete name of a person.
AOC Usage Notes	This element must be present and must have content. [Prefix First Middle Last Suffix]
Examples	<nc:PersonFullName>John Smith</nc:PersonFullName>

8.3.1.5 nc:PersonOtherIdentification

Standard Definition	Information about an identifier with a kind that is not explicitly defined in the standard that refers to a person within a certain domain.

AOC Usage Notes	This element is used to communicate identifiers for a person. These may be local court CRMDE identifiers, EFSP account identifiers, etc. This element may be used to communicate local CRMDE identifiers for person participants. This is referred to as "participant matching." The "SourceParticipantID" value within the nc:IdentificationCategoryText element marks this nc:PersonOtherIdentification element as containing CRMDE participant matching values.
Examples	<nc:PersonOtherIdentification> <nc:IdentificationID>3593220</nc:IdentificationID> <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText> <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText> </nc:PersonOtherIdentification>

8.3.1.5.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This element contains the person unique identifier. For "participant matching", this element contains the source CRMDE's unique identifier value for this person. With respect to participant matching the source CRMDE is the system from which the person's unique identifier was obtained. Typically, this information is provided in a GetCaseResponse. When used to communicate an EFSP (aka FAMDE) account identifier, this element contains the person's account number. Note: Depending on the CRMDE, it may become necessary to confirm the lengths of these unique identifiers from participating EFSPs.
Examples	<nc:IdentificationID>147697</nc:IdentificationID>

8.3.1.5.2 nc:IdentificationCategoryText

Standard Definition	A kind of identification.
AOC Usage Notes	This element clarifies the type of identifier provided above and when used in a "participant matching" context, this element must contain the literal text "SourceParticipantID". When used in the "eFilerID" context, this value must be 'eFilerID'. When this element is used to characterize a person as an "unknown" or "obfuscated" placeholder person, such as John or Jane Doe, then the value of this element should be "UnknownParticipant". See section 9.1.5.2.1 for additional information about this topic. When this element is used to communicate a Court Reporter or Transcriptionist's certification number, then the value of this element should be

	"CourtReporterCertificationNumber". See section 9.1.10 for additional information on this topic.
Examples	Example 1: <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText> Example 2: <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>

8.3.1.5.3 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This value is defined by the CRMDE source system which provided the participant information and identifies the type of identification value in nc:IdentificationID. This element may be used by the source CRMDE to identify the database table in which the value in nc:IdentificationID is a unique key value. When used to communicate an EFSP account identifier, then this element is absent.
Examples	<nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>

8.3.1.6 nc:PersonOtherIdentification

Standard Definition	Information about an identifier with a kind that is not explicitly defined in the standard that refers to a person within a certain domain.
AOC Usage Notes	This element is used to communicate identifiers for a person. These may be local court CRMDE identifiers, EFSP account identifiers, etc. This element is used to communicate an attorney Bar Number for a case participant who has an attorney Bar Number, but is not acting in the capacity of an attorney in this case.
Examples	<nc:PersonOtherIdentification> <nc:IdentificationID>024233</nc:IdentificationID> <nc:IdentificationCategoryText>AttorneyBarNumber</nc:IdentificationCategoryText> <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode> <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText> </nc:PersonOtherIdentification>

8.3.1.6.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This element contains the person's attorney Bar Number.
Examples	<nc:IdentificationID>024233</nc:IdentificationID>

8.3.1.6.2 nc:IdentificationCategoryText

Standard Definition	A kind of identification.
AOC Usage Notes	This element clarifies that the type of identifier provided above is an attorney Bar Number. Only AOC approved values may be used: • AttorneyBarNumber
Examples	<nc:IdentificationCategoryText>AttorneyBarNumber</nc:IdentificationCategoryText>

8.3.1.6.3 j:IdentificationJurisdictionNCICLISCode

Standard Definition	An area, region, or unit in which a unique identification is used.
AOC Usage Notes	This element describes the organization that issues a bar number and/or authorizes the attorney to practice law. This element is required to contain a value.
Examples	<j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>

8.3.1.6.4 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This element contains the name or description of the organization referenced in j:IdentificationJurisdictionNCICLISCode. This name must be the full and proper name of the bar association organization without abbreviation.
Examples	<nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>

8.3.1.7 ecf:PersonAugmentation

Standard Definition	Additional information about a person.
AOC Usage Notes	This element is used when identifying contact information for a person.
Examples	<ecf:PersonAugmentation> <nc:ContactInformation> <!-- Skipped --> </nc:ContactInformation> </ecf:PersonAugmentation>

8.3.1.7.1 nc:ContactInformation

Standard Definition	Details about how to contact a person or an organization.

AOC Usage Notes	This element contains contact information.
Examples	<nc:ContactInformation> <nc:ContactMailingAddress> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>501 W. Pontiac St. #3</nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName>Phoenix</nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85027</nc:LocationPostalCode> </nc:StructuredAddress> </nc:ContactMailingAddress> <nc:ContactTelephoneNumber> <nc:FullTelephoneNumber> <nc:TelephoneNumberFullID>6024711514</nc:TelephoneNumberFullID> </nc:FullTelephoneNumber> </nc:ContactTelephoneNumber> </nc:ContactInformation>

8.3.1.7.1.1 nc:ContactEmailID

Standard Definition	An electronic mailing address by which a person or organization may be contacted.
AOC Usage Notes	This must be a valid e-mail address.
Examples	<nc:ContactEmailID>Jamie.Katelman@azag.gov</nc:ContactEmailID>

8.3.1.7.1.2 nc:ContactMailingAddress

Standard Definition	A postal address by which a person or organization may be contacted.
AOC Usage Notes	This must be a valid mailing address.
Examples	<nc:ContactMailingAddress> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>501 W. Pontiac St. #3</nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName>Phoenix</nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85027</nc:LocationPostalCode> </nc:StructuredAddress> </nc:ContactMailingAddress>

8.3.1.7.1.2.1 nc:StructuredAddress

Standard Definition	An address.
AOC Usage Notes	This complex element contains valid address details.
Examples	<nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>

```
        </nc:LocationStreet>
        <nc:LocationCityName>Fountain Hills</nc:LocationCityName>
        <nc:LocationStateName>AZ</nc:LocationStateName>
        <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
        <nc:LocationPostalCode>85266</nc:LocationPostalCode>
</nc:StructuredAddress>
```

8.3.1.7.1.2.1.1 nc:LocationStreet

Standard Definition	A road, thoroughfare or highway.
AOC Usage Notes	This element contains the street address of the location.

Examples	`<nc:LocationStreet>`
	`  <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>`
	`</nc:LocationStreet>`

8.3.1.7.1.2.1.1.1 nc:StreetFullText

Standard Definition	A complete reference for a street.
AOC Usage Notes	This element value is the complete street address of the location, including suite numbers, floor numbers, apartment numbers, etc. Only one address line is permitted. If the presentation of the contents of this element should be presented on more than one display/printed line, then the formatting character should be included in the element value.

Examples	Example 1: The address is displayed in a single line.
	`<nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>`
	
	Example 2: Multi-line display.
	`<nc:StreetFullText>5 Plain St.`
	`Apt. 5</nc:StreetFullText>`

8.3.1.7.1.2.1.2 nc:LocationCityName

Standard Definition	A name of a city or town.
AOC Usage Notes	This element is used to identify the city in which a court location exists.
Examples	`<nc:LocationCityName>Fountain Hills</nc:LocationCityName>`

8.3.1.7.1.2.1.3 nc:LocationStateName

Standard Definition	A state, commonwealth, province, or other such geopolitical subdivision of a country.
AOC Usage Notes	This element is used to identify the postal code of the state in which a court location exists. Note: Even though ECF provides an nc:LocationStateUSPostalServiceCode element, this element is not used since the companion xsd file

	(../niem/ups_states/2.0/ups_states.xsd) only contains US states, territories, and armed forces names.
Examples	<nc:LocationStateName>AZ</nc:LocationStateName>

8.3.1.7.1.2.1.4 nc:LocationCountryFIPS10-4Code

Standard Definition	A country, territory, dependency, or other such geopolitical subdivision of a location.
AOC Usage Notes	This element is used to identify the country of the state in which a court location exists. The values placed in this element must conform to FIPS 10-4 standard. (See Wikipedia: http://en.wikipedia.org/wiki/List_of_FIPS_country_codes)
Examples	<nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>

8.3.1.7.1.2.1.5 nc:LocationPostalCode

Standard Definition	An identifier of a post-office-assigned zone for an address.
AOC Usage Notes	This element must contain a valid postal code (e.g. US Postal Service ZIP Code)
Examples	<nc:LocationPostalCode>85266</nc:LocationPostalCode>

8.3.1.7.1.3 nc:ContactTelephoneNumber

Standard Definition	A telephone number for a telecommunication device by which a person or organization may be contacted.
AOC Usage Notes	This element contains a contact telephone number.
Examples	<nc:ContactTelephoneNumber> <nc:FullTelephoneNumber> <nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID> </nc:FullTelephoneNumber> </nc:ContactTelephoneNumber>

8.3.1.7.1.3.1 nc:FullTelephoneNumber

Standard Definition	A full telephone number.
AOC Usage Notes	This element contains the contact telephone number, including area code, prefix, and number, and without formatting characters (e.g. parentheses, hypens, periods, spaces, etc.). Only numbers that are dialed are to be used.
Examples	<nc:FullTelephoneNumber> <nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID> </nc:FullTelephoneNumber>

8.3.1.7.1.3.1.1 nc:TelephoneNumberFullID

Standard Definition	A complete telephone number.
AOC Usage Notes	Do not include any formatting characters; only include digits or other symbols which must be dialed to make the call.
Examples	<nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>

8.3.1.7.1.3.1.2 nc:TelephoneSuffixID

Standard Definition	Additional numbers to be entered after a call connects to be directed to the appropriate place.
AOC Usage Notes	Record extension numbers in this element. Do not include any formatting characters; only include digits or other symbols which must be dialed to make the call.
Examples	<nc:TelephoneSuffixID>1234</nc:TelephoneSuffixID>

8.3.1.7.1.3.2 nc:InternationalTelephoneNumber

Standard Definition	An international telephone number.
AOC Usage Notes	This element contains separate elements that represent international telephone number. This element contains the contact telephone number, including country code (nc:TelephoneCountryCodeID), prefix (nc:TelephoneNumberID), and number (nc:TelephoneSuffixID), and without formatting characters (e.g. parentheses, hypens, periods, spaces, etc.). Only numbers that are dialed are to be used.
Examples	<nc:InternationalTelephoneNumber> <nc:TelephoneCountryCodeID></nc:TelephoneCountryCodeID> <nc:TelephoneNumberID></nc:TelephoneNumberID> <nc:TelephoneSuffixID></nc:TelephoneSuffixID> </nc:InternationalTelephoneNumber>

8.3.1.7.1.3.2.1 nc:TelephoneCountryCodeID

Standard Definition	An international dialing code for a country.
AOC Usage Notes	This element contains the international country code ID. A reference for international telephone number country codes is Country.Code.org (http://countrycode.org/)
Examples	<nc:TelephoneCountryCodeID></nc:TelephoneCountryCodeID>

8.3.1.7.1.3.2.2 nc:TelephoneNumberID

Standard Definition	A telephone number.
AOC Usage Notes	Do not include extensions.

Examples	<nc:TelephoneNumberID></nc:TelephoneNumberID>

8.3.1.7.1.3.2.3 nc:TelephoneSuffixID

Standard Definition	Additional numbers to be entered after a call connects to be directed to the appropriate place.
AOC Usage Notes	This element contains the extension ID associated with a telephone number.
Examples	<nc:TelephoneSuffixID></nc:TelephoneSuffixID>

8.3.2 aoc:EntityOrganization

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used when the organization that performs the case participant role is elaborated within the aoc:CaseParticipant element. Use this element when the entity is an organization and NOT when the entity is a person or an item. When the organization is elaborated elsewhere in the message (e.g., DocumentSubmitter), then use nc:EntityOrganizationReference instead. The s:id value must be unique in the message in which the Civil Case element appears. The s:id attribute MUST be used and must be a UUID. Note: In XML, the first character of an attribute value is NOT permitted to be a digit.
Examples	Example 1: An organization known only by its organization name. <aoc:EntityOrganization s:id="d3995be9-0563-4a73-82b1-7b7d961174a0"> <nc:OrganizationName>Tree Care Inc.</nc:OrganizationName> </aoc:EntityOrganization> Example 2: An organization where additional details about the organization are known. <aoc:EntityOrganization s:id="d3995be9-0563-4a73-82b1-7b7d961174a0"> <nc:OrganizationIdentification> <nc:IdentificationID>2310676</nc:IdentificationID> <nc:IdentificationCategoryText>eFilerID </nc:IdentificationCategoryText> </nc:OrganizationIdentification> <nc:OrganizationName>JohnB Law Offices </nc:OrganizationName> <ecf:OrganizationAugmentation> -<nc:ContactInformation> <nc:ContactEntity> <ecf:EntityPerson s:id="b889c696-d3b2-49a5-b716-3795efcd656c"> <nc:PersonName> <nc:PersonGivenName>John</nc:PersonGivenName> <nc:PersonSurName>Brown</nc:PersonSurName> <nc:PersonFullName>John Brown </nc:PersonFullName> </nc:PersonName> <ecf:PersonAugmentation> <nc:ContactInformation> <nc:ContactEmailID>attorney@panandhook.com </nc:ContactEmailID> </nc:ContactInformation>

```
                    </ecf:PersonAugmentation>
                </ecf:EntityPerson>
            </nc:ContactEntity>
        </nc:ContactInformation>
    </ecf:OrganizationAugmentation>
</aoc:EntityOrganization>
```

8.3.2.1 nc:OrganizationIdentification

Standard Definition	OrganizationIdentification is a court identifier that references the court and is assigned by the court administrator and is universally unique to a court (but not necessarily to a particular court house, branch or subunit of a court).
AOC Usage Notes	This element should only be used for identification of an organization. An organization identification is an 'Identifier' which is used to uniquely label organizations. These may be local court CRMDE identifiers, EFSP account identifiers, etc. If this entity is the DocumentSubmitter identified within the CoreFilingMessage (CFM) and the "eFilerID" was not defined under DocumentSubmitter, then at least one nc:OrganizationIdentification name-value pair is required to describe the "eFilerID". This element may be used to communicate local CRMDE identifiers for organization participants. This is referred to as "participant matching." The "SourceParticipantID" value within the nc:IdentificationCategoryText element marks this nc:OrganizationIdentification element as containing CRMDE participant matching values. This element must not be used to specify an attribute or trait of an organization. **It <u>must NOT</u> be used to:** 1. Identify the role or type of organization 2. Describe an action performed such as document signer, or answer submitter. 3. Identify a requested action such as a default request, a dismissal request, or a deferral or waiver request. 4. Identify the role of an organization or an action taken by an organization within a case such as a counter claimant, counter sued, answer submitter, or order of protection requestor or filer. 5. Identify that an organization represents a fee exempt party or is filing on behalf of a fee exempt party or Federal Court. 6. Identify the party role type of an organization or represented organization. 7. Describe actions taken in the submission such as the addition of a new party. 8. Specify a service date.
Examples	Example 1: An organization that has an eFilerID but does not have a SourceParticipantID.

```
<nc:OrganizationIdentification>
    <nc:IdentificationID>2310676</nc:IdentificationID>
    <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
</nc:OrganizationIdentification>

Example 2:  An organization that has a SourceParticipantID.
<nc:OrganizationIdentification>
            <nc:IdentificationID>3593220</nc:IdentificationID>
            <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>
            <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>
</nc:OrganizationIdentification>

Example 3:  An organization that has both SourceParticipantID and an eFilerID.
<nc:OrganizationIdentification>
    <nc:IdentificationID>2310676</nc:IdentificationID>
    <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
</nc:OrganizationIdentification>
<nc:OrganizationIdentification>
            <nc:IdentificationID>3593220</nc:IdentificationID>
            <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>
            <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>
</nc:OrganizationIdentification>
```

8.3.2.1.1 nc:IdentificationID

Standard Definition	IdentificationID is a value that identifies something.
AOC Usage Notes	When used to describe an “eFilerID”, then this is the unique ID value of the account profile used by the EFSP. Note: Depending on the CRMDE, it may become necessary to confirm the lengths of these unique identifiers from participating EFSPs. For “participant matching”, this element contains the source CRMDE’s unique identifier value for this organization. With respect to participant matching the source CRMDE is the system from which the organization’s unique identifier was obtained. Typically, this information is provided in a GetCaseResponse.
Examples	<nc:IdentificationID>125647351</nc:IdentificationID>

8.3.2.1.2 nc:IdentificationCategoryText

Standard Definition	IdentificationCategoryText is a kind of identification.
AOC Usage Notes	This element clarifies the type of identifier provided above and when used in a “participant matching” context, this element must contain the literal text “SourceParticipantID”. When used in the “eFilerID” context, this value must be ‘eFilerID’.
Examples	Example 1: <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText> Example 2: <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>

8.3.2.1.3 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This value is defined by the CRMDE source system which provided the participant information and identifies the type of identification value in nc:IdentificationID. This element may be used by the source CRMDE to identify the database table in which the value in nc:IdentificationID is a unique key value. When used to communicate an EFSP account identifier, then this element is absent.
Examples	<nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>

8.3.2.2 nc:OrganizationLocation (Not Used)

Standard Definition	A location of an organization.
AOC Usage Notes	This element describes the physical location of an organization. nc:OrganizationLocation is derived from nc:LocationType.
Examples	<nc:OrganizationLocation> <nc:LocationName>Court of Appeals Division 1, 1501 W Washington St, Phoenix AZ 85007</nc:LocationName> </nc:OrganizationLocation>

8.3.2.2.1 nc:LocationAddress (Not Used, See nc:ContactMailingAddress Section 8.3.2.5.1.2)

Standard Definition	A geophysical location described by postal information.
AOC Usage Notes	This element is a physical address of the location.
Examples	<nc:LocationAddress> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName>Fountain Hills</nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85266</nc:LocationPostalCode> </nc:StructuredAddress> </nc:LocationAddress>

8.3.2.2.1.1 nc:StructuredAddress (Not Used)

Standard Definition	An address.
AOC Usage Notes	This element contains the address of the location.
Examples	<nc:StructuredAddress> <nc:LocationStreet>

```
            <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>
        </nc:LocationStreet>
        <nc:LocationCityName>Fountain Hills</nc:LocationCityName>
        <nc:LocationStateName>AZ</nc:LocationStateName>
        <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
        <nc:LocationPostalCode>85266</nc:LocationPostalCode>
</nc:StructuredAddress>
```

8.3.2.2.1.1.1 nc:LocationStreet (Not Used)

Standard Definition	A road, thoroughfare or highway.
AOC Usage Notes	This element contains the street address of the location.

Examples	`<nc:LocationStreet>`
	`  <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>`
	`</nc:LocationStreet>`

8.3.2.2.1.1.1.1 nc:StreetFullText (Not Used)

Standard Definition	A complete reference for a street.
AOC Usage Notes	This element value is the complete street address of the location, including suite numbers, floor numbers, apartment numbers, etc. Only one address line is permitted. If the presentation of the contents of this element should be presented on more than one display/printed line, then the formatting character should be included in the element value.

Examples	Example 1: The address is displayed in a single line.
	`<nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText>`
	
	Example 2: Multi-line display.
	`<nc:StreetFullText>5 Plain St.`
	`Apt. 5</nc:StreetFullText>`

8.3.2.2.1.1.2 nc:LocationCityName (Not Used)

Standard Definition	A name of a city or town.
AOC Usage Notes	This element is used to identify the city in which a court location exists.
Examples	`<nc:LocationCityName>Fountain Hills</nc:LocationCityName>`

8.3.2.2.1.1.3 nc:LocationStateName (Not Used)

Standard Definition	A state, commonwealth, province, or other such geopolitical subdivision of a country.
AOC Usage Notes	This element is used to identify the postal code of the state in which a court location exists.

	Note: Even though ECF provides an nc:LocationStateUSPostalServiceCode element, this element is not used since the companion xsd file (../niem/ups_states/2.0/ups_states.xsd) only contains US states, territories, and armed forces names.
Examples	<nc:LocationStateName>AZ</nc:LocationStateName>

8.3.2.2.1.1.4 nc:LocationCountryFIPS10-4Code (Not Used)

Standard Definition	A country, territory, dependency, or other such geopolitical subdivision of a location.
AOC Usage Notes	This element is used to identify the country of the state in which a court location exists. The values placed in this element must conform to FIPS 10-4 standard. (See Wikipedia: http://en.wikipedia.org/wiki/List_of_FIPS_country_codes)
Examples	<nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>

8.3.2.2.1.1.5 nc:LocationPostalCode (Not Used)

Standard Definition	
AOC Usage Notes	This element must contain a valid postal code (e.g. US Postal Service ZIP Code)
Examples	<nc:LocationPostalCode>85266</nc:LocationPostalCode>

8.3.2.3 nc:OrganizationName

Standard Definition	A name of an organization.
AOC Usage Notes	Organization names which exceed the space allowance within the local CRMDE are subject to truncation.
Examples	<nc:OrganizationName>Anderson Smile Studio</nc:OrganizationName>

8.3.2.4 nc:OrganizationUnitName

Standard Definition	A name of a high-level division of an organization.
AOC Usage Notes	This element is used to describe a division or branch office location of an organization.
Examples	<nc:OrganizationName>Dewey, Cheatem and How, LLC</nc:OrganizationName> <nc:OrganizationUnitName>Tucson Office</nc:OrganizationUnitName>

8.3.2.5 ecf:OrganizationAugmentation

Standard Definition	Additional information about an organization.
AOC Usage Notes	This element is used to provide additional information about an organization, such as contact information.

Examples

```
<ecf:OrganizationAugmentation>
    <nc:ContactInformation>
        <nc:ContactMailingAddress>
            <nc:StructuredAddress>
                <nc:LocationStreet>
                    <nc:StreetFullText>501 W. Pontiac St. #3</nc:StreetFullText>
                </nc:LocationStreet>
                <nc:LocationCityName>Phoenix</nc:LocationCityName>
                <nc:LocationStateName>AZ</nc:LocationStateName>
                <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
                <nc:LocationPostalCode>85027</nc:LocationPostalCode>
            </nc:StructuredAddress>
        </nc:ContactMailingAddress>
        <nc:ContactTelephoneNumber>
            <nc:FullTelephoneNumber>
            <nc:TelephoneNumberFullID>6024711514</nc:TelephoneNumberFullID>
            </nc:FullTelephoneNumber>
        </nc:ContactTelephoneNumber>
    </nc:ContactInformation>
</ecf:OrganizationAugmentation>
```

8.3.2.5.1 nc:ContactInformation

Standard Definition	Details about how to contact a person or an organization.
AOC Usage Notes	This element contains contact information.
Examples	

```
<nc:ContactInformation>
    <nc:ContactMailingAddress>
        <nc:StructuredAddress>
            <nc:LocationStreet>
                <nc:StreetFullText>501 W. Pontiac St. #3</nc:StreetFullText>
            </nc:LocationStreet>
            <nc:LocationCityName>Phoenix</nc:LocationCityName>
            <nc:LocationStateName>AZ</nc:LocationStateName>
            <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
            <nc:LocationPostalCode>85027</nc:LocationPostalCode>
        </nc:StructuredAddress>
    </nc:ContactMailingAddress>
    <nc:ContactTelephoneNumber>
        <nc:FullTelephoneNumber>
            <nc:TelephoneNumberFullID>6024711514</nc:TelephoneNumberFullID>
        </nc:FullTelephoneNumber>
    </nc:ContactTelephoneNumber>
</nc:ContactInformation>
```

8.3.2.5.1.1 nc:ContactEmailID

Standard Definition	An electronic mailing address by which a person or organization may be contacted.
AOC Usage Notes	This must be a valid e-mail address.
Examples	<nc:ContactEmailID>Jamie.Katelman@azag.gov</nc:ContactEmailID>

8.3.2.5.1.2 nc:ContactMailingAddress

Standard Definition	A postal address by which a person or organization may be contacted.

AOC Usage Notes	This must be a valid mailing address.
Examples	<nc:ContactMailingAddress> <nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>501 W. Pontiac St. #3</nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName>Phoenix</nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85027</nc:LocationPostalCode> </nc:StructuredAddress> </nc:ContactMailingAddress>

8.3.2.5.1.2.1 nc:StructuredAddress

Standard Definition	An address.
AOC Usage Notes	This complex element contains valid address details.
Examples	<nc:StructuredAddress> <nc:LocationStreet> <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText> </nc:LocationStreet> <nc:LocationCityName>Fountain Hills</nc:LocationCityName> <nc:LocationStateName>AZ</nc:LocationStateName> <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code> <nc:LocationPostalCode>85266</nc:LocationPostalCode> </nc:StructuredAddress>

8.3.2.5.1.2.1.1 nc:LocationStreet

Standard Definition	A road, thoroughfare or highway.
AOC Usage Notes	This element contains the street address of the location.
Examples	<nc:LocationStreet> <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText> </nc:LocationStreet>

8.3.2.5.1.2.1.1.1 nc:StreetFullText

Standard Definition	A complete reference for a street.
AOC Usage Notes	This element value is the complete street address of the location, including suite numbers, floor numbers, apartment numbers, etc. Only one address line is permitted. If the presentation of the contents of this element should be presented on more than one display/printed line, then the formatting character should be included in the element value.
Examples	Example 1: The address is displayed in a single line. <nc:StreetFullText>5 Plain St. Apt. 5</nc:StreetFullText> Example 2: Multi-line display. <nc:StreetFullText>5 Plain St.

Apt. 5</nc:StreetFullText>

8.3.2.5.1.2.1.2 nc:LocationCityName

Standard Definition	A name of a city or town.
AOC Usage Notes	This element is used to identify the city in which a court location exists.
Examples	<nc:LocationCityName>Fountain Hills</nc:LocationCityName>

8.3.2.5.1.2.1.3 nc:LocationStateName

Standard Definition	A state, commonwealth, province, or other such geopolitical subdivision of a country.
AOC Usage Notes	This element is used to identify the postal code of the state in which a court location exists. Note: Even though ECF provides an nc:LocationStateUSPostalServiceCode element, this element is not used since the companion xsd file (../niem/ups_states/2.0/ups_states.xsd) only contains US states, territories, and armed forces names.
Examples	<nc:LocationStateName>AZ</nc:LocationStateName>

8.3.2.5.1.2.1.4 nc:LocationCountryFIPS10-4Code

Standard Definition	A country, territory, dependency, or other such geopolitical subdivision of a location.
AOC Usage Notes	This element is used to identify the country of the state in which a court location exists. The values placed in this element must conform to FIPS 10-4 standard. (See Wikipedia: http://en.wikipedia.org/wiki/List_of_FIPS_country_codes)
Examples	<nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>

8.3.2.5.1.2.1.5 nc:LocationPostalCode

Standard Definition	An identifier of a post-office-assigned zone for an address.
AOC Usage Notes	This element must contain a valid postal code (e.g. US Postal Service ZIP Code)
Examples	<nc:LocationPostalCode>85266</nc:LocationPostalCode>

8.3.2.5.1.3 nc:ContactTelephoneNumber

Standard Definition	A telephone number for a telecommunication device by which a person or organization may be contacted.
AOC Usage Notes	This element contains a contact telephone number.

Examples

```
<nc:ContactTelephoneNumber>
    <nc:FullTelephoneNumber>
        <nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>
    </nc:FullTelephoneNumber>
</nc:ContactTelephoneNumber>
```

8.3.2.5.1.3.1 nc:FullTelephoneNumber

Standard Definition	A full telephone number.
AOC Usage Notes	This element contains the contact telephone number, including area code, prefix, and number, and without formatting characters (e.g. parenthesis, hypens, periods, spaces, etc.). Only numbers that are dialed are to be used.

Examples	`<nc:FullTelephoneNumber>`
	`  <nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>`
	`</nc:FullTelephoneNumber>`

8.3.2.5.1.3.1.1 nc:TelephoneNumberFullID

Standard Definition	A complete telephone number.
AOC Usage Notes	Do not include any formatting characters; only include digits or other symbols which must be dialed to make the call.
Examples	`<nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>`

8.3.2.5.1.3.1.2 nc:TelephoneSuffixID

Standard Definition	Additonal numbers to be entered after a call connects to be directed to the appropriate place.
AOC Usage Notes	Record extension numbers in this element. Do not include any formatting characters; only include digits or other symbols which must be dialed to make the call.
Examples	`<nc:TelephoneSuffixID>1234</nc:TelephoneSuffixID>`

8.3.2.5.1.3.2 nc:InternationalTelephoneNumber

Standard Definition	An international telephone number.
AOC Usage Notes	This element contains an international telephone number.

Examples	`<nc:InternationalTelephoneNumber>`
	`  <nc:TelephoneCountryCodeID></nc:TelephoneCountryCodeID>`
	`  <nc:TelephoneNumberID></nc:TelephoneNumberID>`
	`  <nc:TelephoneSuffixID></nc:TelephoneSuffixID>`
	`</nc:InternationalTelephoneNumber>`

8.3.2.5.1.3.2.1 nc:TelephoneCountryCodeID

Standard Definition	An international dialing code for a country.

AOC Usage Notes	This element contains the international country code ID. A reference for international telephone number country codes is Country.Code.org (http://countrycode.org/)
Examples	<nc:TelephoneCountryCodeID></nc:TelephoneCountryCodeID>

8.3.2.5.1.3.2.2 nc:TelephoneNumberID

Standard Definition	A telephone number.
AOC Usage Notes	Do not include extensions.
Examples	<nc:TelephoneNumberID></nc:TelephoneNumberID>

8.3.2.5.1.3.2.3 nc:TelephoneSuffixID

Standard Definition	Additional numbers to be entered after a call connects to be directed to the appropriate place.
AOC Usage Notes	This element contains the extension ID associated with a telephone number.
Examples	<nc:TelephoneSuffixID></nc:TelephoneSuffixID>

8.3.2.6 aoc:OrganizationCategoryText

Standard Definition	A kind or functional type of organization.
AOC Usage Notes	This element characterizes the kind of organization. Only AOC-approved values may be used: **Organization Category** Agency Bank BarAssociation Business Corporation CourtOfLaw LawFirm LawSchool LimitedLiabilityCompany Partnership PublicEntity
Examples	<aoc:OrganizationCategoryText>Business</aoc:OrganizationCategoryText>

8.3.3 ecf:EntityItem

Standard Definition	A property capable of bearing legal rights and responsibilities.

AOC Usage Notes	This element is used when the item (e.g., property) that performs the case participant role is elaborated within the aoc:CaseParticipant element. Use this element when the entity is an item and NOT when the entity is a person or an organization. When the item is elaborated elsewhere in the message, then use ecf:EntityItemReference instead. The s:id value must be unique in the message in which the Civil Case element appears. The s:id attribute MUST be used and must be a UUID. Note: In XML, the first character of an attribute value is NOT permitted to be a digit.
Examples	<ecf:EntityItem s:id="ice8b5a21-675f-4eab-96c7-d439744b0254"> <nc:ItemDescriptionText>In Rem Property Description</nc:ItemDescriptionText> </ecf:EntityItem>

8.3.3.1 nc:ItemDescriptionText

Standard Definition	A description of an item.
AOC Usage Notes	This element is used to describe an item, e.g., property.
Examples	<nc:ItemDescriptionText>1983 Pink Cadillac Automobile</nc:ItemDescriptionText>

8.3.3.2 nc:ItemOtherIdentification

Standard Definition	An identification assigned to an item.
AOC Usage Notes	This element should only be used for identification of an item, e.g., property. An item identification is an 'Identifier' which is used to uniquely label items. These may be local court CRMDE identifiers, EFSP account identifiers, etc. This element may be used to communicate local CRMDE identifiers for item participants. This is referred to as "participant matching." The "SourceParticipantID" value within the nc:IdentificationCategoryText element marks this nc:EntityOtherIdentification element as containing CRMDE participant matching values.
Examples	Example: An item that has a SourceParticipantID. <nc:ItemOtherIdentification> <nc:IdentificationID>3593220</nc:IdentificationID> <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText> <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText> </nc:ItemOtherIdentification>

8.3.3.2.1 nc:IdentificationID

Standard Definition	IdentificationID is a value that identifies something.

AOC Usage Notes	For "participant matching", this element contains the source CRMDE's unique identifier value for this item. With respect to participant matching the source CRMDE is the system from which the item's unique identifier was obtained. Typically, this information is provided in a GetCaseResponse.
Examples	<nc:IdentificationID>125647351</nc:IdentificationID>

8.3.3.2.2 nc:IdentificationCategoryText

Standard Definition	IdentificationCategoryText is a kind of identification.
AOC Usage Notes	This element clarifies the type of identifier provided above and when used in a "participant matching" context, this element must contain the literal text "SourceParticipantID".
Examples	<nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>

8.3.3.2.3 nc:IdentificationSourceText

Standard Definition	A person, organization, or locale which issues an identification.
AOC Usage Notes	This value is defined by the CRMDE source system which provided the participant information and identifies the type of identification value in nc:IdentificationID. This element may be used by the source CRMDE to identify the database table in which the value in nc:IdentificationID is a unique key value.
Examples	<nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>

8.3.3.3 nc:ItemValue

Standard Definition	An evaluation of the monetary worth of an item.
AOC Usage Notes	This element is used to describe the value of an item in United States currency. Do not include formatting characters (e.g. $ or ,)
Examples	<nc:ItemValue>1234.56</nc:ItemValue>

8.4 nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used when the person that performs the case participant role is elaborated elsewhere within the message. Use this element when the entity is a person and NOT when the entity is an item or an organization. When the person is elaborated within the aoc:CaseParticipant element, then use ecf:EntityPerson instead.

	The s:ref value must reference a valid person entity elaborated elsewhere in the message in which the Civil Case element appears.
Examples	<nc:EntityPersonReference s:ref=" ifb392a24-9218-4aeb-9b5c-c269a0f4b6fe"/>

8.5 nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used when the organization that performs the case participant role is elaborated elsewhere within the message. Use this element when the entity is an organization and NOT when the entity is an item or a person. When the organization is elaborated within the aoc:CaseParticipant element, then use aoc:EntityOrganization instead. The s:ref value must reference a valid organization entity elaborated elsewhere in the message in which the Civil Case element appears.
Examples	<nc:EntityOrganizationReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41cb4fc" />

8.6 ecf:EntityItemReference

Standard Definition	A property capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used when the item (e.g., property) that performs the case participant role is elaborated elsewhere within the message. Use this element when the entity is an item and NOT when the entity is a person or an organization. When the item is elaborated within the aoc:CaseParticipant element, then use ecf:EntityItem instead. The s:ref value must reference a valid item entity elaborated elsewhere in the message in which the Civil Case element appears.
Examples	<nc:EntityItemReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce" />

8.7 ecf:CaseParticipantRoleCode

Standard Definition	A code that describes the role played by a person or organization in a case. Allowed values are set forth in Court Policy. Examples: Lead attorney, guardian ad litem, friend of court, court appointed special advocate (CASA), caseworker, probation officer, bail bondsman, interested person, interested party, intervenor, heir, trustee or beneficiary (e.g., of a trust).

AOC Usage Notes

This element characterizes the function or manner of participation of an entity in a case. An entity MAY have multiple roles in a case. When this occurs, multiple aoc:CaseParticipant elements **must** be used.

Only values approved by the AOC may be used. These values are defined by two sources: 1) an AOC-defined domain of valid choices; or 2) a source CRMDE set of valid choices.

For a list of AOC-approved values, see Appendix: Valid CaseParticipantRoleCode Values in section 10.

RULE: For a case participant, use a valid CaseParticipantRoleCode as defined in the section referenced above; otherwise use a valid local CRMDE party role type description (e.g., Trustee, Will Filer).

1. If the value exists in the master list referenced above, use the value from the master list before using a local value.
2. If the value does not exist in the master list referenced above, you may use the local value.

Examples

Example 1: Judge case participant role code.

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce">
                <nc:PersonName>
                <nc:PersonFullName>Judge Kelly Thomas</nc:PersonFullName>
                </nc:PersonName>
        </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>Judge</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Example 2: Defendant case participant role code.

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="ic1676ac3-a5fd-4370-be5b-e85bb41f21ab">
                <nc:PersonName>
                <nc:PersonFullName>Kelly Thomas</nc:PersonFullName>
                </nc:PersonName>
        </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>D</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Example 3: Defendant is also a Counter-Plaintiff. A second CaseParticipant node is added that references the original Case Participant. NOTE: The original Case Participant's s:id may refer back to the DocumentSubmitter or DocumentFiler.

```
<aoc:CaseParticipant>
    <ecf:EntityPerson s:id="if8d69e1b-6eef-4063-82c8-03870487e719">
        <nc:PersonName>
                <nc:PersonGivenName>Ronald</nc:PersonGivenName>
                <nc:PersonSurName>Tucek</nc:PersonSurName>
                <nc:PersonFullName>Ronald Tucek</nc:PersonFullName>
        </nc:PersonName>
        <ecf:PersonAugmentation>
                <nc:ContactInformation>
                        <nc:ContactMailingAddress>
                                <nc:StructuredAddress>
                                        <nc:LocationStreet>
                                                <nc:StreetFullText>99 E Baseline Rd</nc:StreetFullText>
                                        </nc:LocationStreet>
```

```
                                        <nc:LocationCityName>Tempe</nc:LocationCityName>
                                        <nc:LocationStateName>AZ</nc:LocationStateName>
                                        <nc:LocationCountryFIPS10-
4Code>US</nc:LocationCountryFIPS10-4Code>
                                        <nc:LocationPostalCode>85280</nc:LocationPostalCode>
                                    </nc:StructuredAddress>
                                </nc:ContactMailingAddress>
                            </nc:ContactInformation>
                        </ecf:PersonAugmentation>
                    </ecf:EntityPerson>
                    <ecf:CaseParticipantRoleCode>Defendant</ecf:CaseParticipantRoleCode>
                    <aoc:CasePartySelfRepresentationIndicator>true</aoc:CasePartySelfRepresentationIndicator>
                </aoc:CaseParticipant>

                <aoc:CaseParticipant>
                    <nc:EntityPersonReference s:ref="if8d69e1b-6eef-4063-82c8-03870487e719"/>
                    <ecf:CaseParticipantRoleCode>Counter-Plaintiff</ecf:CaseParticipantRoleCode>
                </aoc:CaseParticipant>
```

8.8 aoc:CasePartySelfRepresentationIndicator

Standard Definition	True, if a case party waived the right to be represented by an attorney and is representing him or herself; false otherwise.
AOC Usage Notes	This element is used to identify a litigant as self-represented. This is valid for person or organization type entities, but not item type entities (e.g., property). If a case participant is marked as self-representing, then this entity typically does not have attorney associations in this message. However, some self-represented litigants do have advisory counsel. (see Special Topics section 9.1.5.2.2) Must be either “true” or “false”.
Examples	`<aoc:CasePartySelfRepresentationIndicator>true</aoc:CasePartySelfRepresentationIndicator>`

8.9 ecf:CaseShortTitleText

Standard Definition	The abbreviated name of a case.
AOC Usage Notes	This is the ‘short caption’ of the case. The length of the CaseShortTitleText element content may be truncated by the receiving MDE, if the value exceeds the storage capacity limits of the receiving MDE. Also see nc:CaseTitleText element. (see section 6.3)
Examples	`<nc:CaseTitleText>LEROW v. NICOL</nc:CaseTitleText>`

8.10 ecf:OrganizationAssociation

Standard Definition	The relationship between two organizations. Example: subsidiary corporation.
AOC Usage Notes	This element is used to define a relationship of one organization to another organization.

Examples

```
<ecf:OrganizationAssociation>
        <nc:OrganizationReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce"/>
        <nc:OrganizationReference s:ref="bd167ad4-a5fd-4370-be5b-e85b41b21ab"/>
        <ecf:EntityAssociationTypeCode>ActiveGeneralPartner</ecf:EntityAssociationTypeCode>
</ecf:OrganizationAssociation>
```

8.10.1nc:OrganizationReference

Standard Definition	A unit which conducts some sort of business or operations.
AOC Usage Notes	This element points to the organization entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. At least two organizations must be identified. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	`<nc:OrganizationReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce"/>` `<nc:OrganizationReference s:ref="bd167ad4-a5fd-4370-be5b-e85b41b21ab"/>`

8.10.2ecf:EntityAssociationTypeCode

Standard Definition	A code for the type of relationship between two persons, between two organizations, or between a person and an organization in a case. Allowable values are set forth in Court Policy. Examples include parent/child, subsidiary corporation, and chief executive officer.
AOC Usage Notes	This element characterizes the type of relationship that exists between organizations. Only AOC-approved values may be used. The list of approved values is subject to change without notice.

Value	Description
ContractorSubcontractor	Describes a relationship in which one company is contracted to the other
Partnership	Describes a temporary alliance between two or more organizations
SubsidiaryParent	Describes a relationship in which one company controls the other
Other	Any relationship between organizations that is not a Parent-Subsidiary relationship

Examples

```
<ecf:EntityAssociationTypeCode>SubsidiaryParent</ecf:EntityAssociationTypeCode>
```

8.11 ecf:PersonAssociation

Standard Definition	The relationship of a person to another person in a case. Allowable values set forth in Court Policy.

AOC Usage Notes	This element characterizes the type of relationship that exists between persons. This element is optional and not currently in use. When used, only AOC-approved values may be used. At that time, a list of valid values will be defined.
Examples	<ecf:PersonAssociation> <nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <nc:PersonReference s:ref="if59c5163-3dd1-44e1-ac25-c4d600d0cf94"/> <ecf:EntityAssociationTypeCode>BusinessPartner</ecf:EntityAssociationTypeCode> </ecf:PersonAssociation>

8.11.1nc:PersonReference

Standard Definition	A human being.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. At least two persons must be identified. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce"/> <nc:PersonReference s:ref="bd167ad4-a5fd-4370-be5b-e85b41b21ab"/>

8.11.2 ecf:EntityAssociationTypeCode

Standard Definition	A code for the type of relationship between two persons, between two organizations, or between a person and an organization in a case. Allowable values are set forth in Court Policy. Examples include parent/child, subsidiary corporation, and chief executive officer.
AOC Usage Notes	This element characterizes the type of relationship that exists between persons. This element is required. Only AOC-approved values may be used. The list of approved values is subject to change without notice.

Value	Description
ActiveGeneralPartner	Co-owner of an unincorporated business (LLC, etc.)
BusinessPartner	Co-owner of an incorporated business (Corp., Inc., Ltd., etc.)
Other	Any other person-person association

Examples

```
<ecf:EntityAssociationTypeCode>BusinessPartner</ecf:EntityAssociationTypeCode>
```

8.12 ecf:PersonOrganizationAssociation

Standard Definition	The relationship between a person and an organization.
AOC Usage Notes	This element characterizes the type of relationship that exists between persons and an organization. At least one person must be referenced within this element. One and only one organization must also be referenced. Only AOC-approved values may be used. See EntityAssociationTypeCode for the list of approved values.
Examples	<ecf:PersonOrganizationAssociation> <nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/> <nc:OrganizationReference s:ref="if59c5163-3dd1-44e1-ac25-c4d600d0cf94"/> <ecf:EntityAssociationTypeCode>ActiveGeneralPartner</ecf:EntityAssociationTypeCode> </ecf:PersonOrganizationAssociation>

8.12.1nc:PersonReference

Standard Definition	A human being.
AOC Usage Notes	This element points to the person entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. At least one person must be identified. Additional persons with the same type of association to the same organization may also be identified. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:PersonReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce"/>

8.12.2nc:OrganizationReference

Standard Definition	A unit which conducts some sort of business or operations.
AOC Usage Notes	This element points to the organization entity elaborated elsewhere in this message, typically within aoc:CaseParticipant. At least one and at most one organization must be identified. The s:ref attribute value must contain the s:id attribute value of the source elaborated entity.
Examples	<nc:OrganizationReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41f43ce"/>

8.12.3ecf:EntityAssociationTypeCode

Standard Definition	A code for the type of relationship between two persons, between two organizations, or between a person and an organization in a case.

	Allowable values are set forth in Court Policy. Examples include parent/child, subsidiary corporation, and chief executive officer.
AOC Usage Notes	This element characterizes the type of relationship that exists between persons and an organization. This element is required. Only AOC-approved values may be used. The list of approved values is subject to change without notice.

Value	Description
ActiveGeneralPartner	Co-owner of an unincorporated business, aka a limited partnership
AttorneyAgency	Attorneys that work for a government agency
AttorneyLawFirm	Attorneys that work for a law firm
AttorneyOrganization	Attorneys that work for an organization other than law firms and government agencies
CourtReporterOrganization	Court Reporters that work for a specific Court Reporter organization
Other	Any other person-organization association

Examples

```
<ecf:EntityAssociationTypeCode>AttorneyLawFirm</ecf:EntityAssociationTypeCode>
```

8.13 aoc:Item

Standard Definition	An article or a thing.
AOC Usage Notes	aoc:Item extends nc:Item which NIEM defines as “an article or a thing.” aoc:Item is used to describe property. The extended element is used to include aoc:ItemOwner and aoc:Obligation XML elements. Every nc:Item must have an s:id attribute whose value must be a UUID. Note: In XML, the first character of an attribute value is NOT permitted to be a digit.

Examples

```
<aoc:Item s:id="b38888d5-a87f-414a-af96-82c4e95404a7">
        <nc:ItemDescriptionText>1983 Pink Cadillac Automobile</nc:ItemDescriptionText>
        <nc:ItemOwner>
                <nc:EntityOrganizationReference s:ref="ifebf4ba7-c41b-4762-8957-cc141416e5ab"/>
        </nc:ItemOwner>
        <aoc:Obligation>
                <nc:ObligationDueAmount nc:currencyCode=”USD”>1500.00</nc:ObligationDueAmount>
                <nc:ObligationCategoryText>DebtAmount</nc:ObligationCategoryText>
        </aoc:Obligation>
        <aoc:Obligation>
                <nc:ObligationDueAmount nc:currencyCode=”USD”>100.00</nc:ObligationDueAmount>
                <nc:ObligationCategoryText>LateChargesAmount</nc:ObligationCategoryText>
        </aoc:Obligation>
        <aoc:Obligation>
                <nc:ObligationDueAmount nc:currencyCode=”USD”>200.00</nc:ObligationDueAmount>
                <nc:ObligationCategoryText>InterestAmount</nc:ObligationCategoryText>
        </aoc:Obligation>
        <aoc:Obligation>
                <nc:ObligationDueAmount nc:currencyCode=”USD”>500.00</nc:ObligationDueAmount>
                <nc:ObligationCategoryText>AttorneyFeesAmount</nc:ObligationCategoryText>
```

```
        </aoc:Obligation>
</aoc:Item>
```

8.14 nc:ItemDescriptionText

Standard Definition	A description of an item.
AOC Usage Notes	This element is used to describe an item, e.g., property.
Examples	<nc:ItemDescriptionText>1983 Pink Cadillac Automobile</nc:ItemDescriptionText>

8.15 aoc:ItemOwner

Standard Definition	An entity which owns a property item.
AOC Usage Notes	This element is derived from nc:EntityType. aoc:ItemOwner must not be EntityItem, EntityItemReference, or Item.
Examples	<aoc:ItemOwner> <nc:EntityOrganizationReference s:ref="ifebf4ba7-c41b-4762-8957-cc141416e5ab"/> </aoc:ItemOwner>

8.15.1.1 nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to point to the person who owns the item, e.g., property. The person should be elaborated elsewhere in the message, e.g., aoc:CaseParticipant. Use this element when the entity is a person and NOT when the entity is an item or an organization. The s:ref value must reference a valid person entity elaborated elsewhere in the message in which the Civil Case element appears.
Examples	<nc:EntityPersonReference s:ref="ifb392a24-9218-4aeb-9b5c-c269a0f4b6fe"/>

8.15.1.2 nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element is used to point to the organization that owns the item, e.g., property. The organization should be elaborated elsewhere in the message, e.g., aoc:CaseParticipant. Use this element when the entity is an organization and NOT when the entity is an item or a person. The s:ref value must reference a valid organization entity elaborated elsewhere in the message in which the Civil Case element appears.

Examples

```
<nc:EntityOrganizationReference s:ref="ifb392a24-9218-4aeb-9b5c-c269a0f4b6fe"/>
```

8.16 aoc:Obligation

Standard Definition: A thing that is owed to someone else.

AOC Usage Notes: This element is used additional information about the obligation.

aoc:Obligation elements are used to communicate the various claimed amounts owed (e.g. debt amount, late charge amount, interest amount, and attorney fees amount). Each individual claim amount is communicated in a separate Obligation element. Within aoc:Obligation, three sub-elements are used:

- aoc:ObligationDueAmount identifies the amount owed (in U.S. currency)
- nc:ObligationCategoryText identifies the type of claim
- nc:ObligationEntity identifies the entity responsible for the obligation

The Obligations are tied to the property (e.g. 'Item') through an extension which permits Obligation elements to be included within 'Item'. The aoc:CaseAugmentation element is extended to include 'Item'.

Also see section 9.2 for additional information.

Examples

```
<aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">1500.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>DebtAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
</aoc:Obligation>
<aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">100.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>LateChargesAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
</aoc:Obligation>
<aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">200.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>InterestAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
</aoc:Obligation>
<aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">500.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>AttorneyFeesAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
</aoc:Obligation>
```

8.16.1nc:ObligationDueAmount

Standard Definition	An amount of a payment obligation that has not been made.
AOC Usage Notes	aoc:ObligationDueAmount identifies the amount owed (in United States of America currency). Do not include formatting characters (e.g. $ or ,). The nc:currencyCode attribute must be used and must contain the value "USD".
Examples	<nc:ObligationDueAmount nc:currencyCode="USD">1500.00</nc:ObligationDueAmount>

8.16.2nc:ObligationCategoryText

Standard Definition	A kind of obligation.
AOC Usage Notes	nc:ObligationCategoryText identifies the obligation type (e.g., receivable type). Only AOC approved values may be used. The current AOC-approved list is as follows: **Code** — **Description** AttorneyFeesAmount — Amount of money owed or claimed for attorney's fees. DebtAmount — Amount of money owed or claimed for the item/property. InterestAmount — Amount of money owed or claimed for the interest on the debt. LateChargesAmount — Amount of money owed or claimed for late charges on the debt.
Examples	<nc:ObligationCategoryText>DebtAmount</nc:ObligationCategoryText>

8.16.3nc:ObligationEntity

Standard Definition	An entity that must fulfill an obligation.
AOC Usage Notes	This element identifies the entity responsible for the obligation. When multiple entities are responsible for the obligation, then use multiple instances of this element.
Examples	Example 1: One debtor. <nc:ObligationEntity> <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/> </nc:ObligationEntity> Example 2: Multiple debtors. <nc:ObligationEntity> <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/> <nc:EntityPersonReference s:ref="abc4f6ec-3abd-4351-a6a6-593123e00ab1"/> </nc:ObligationEntity>

8.16.3.1 nc:EntityOrganizationReference

Standard Definition	An organization capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element identifies the entity responsible for the obligation.
Examples	<nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>

8.16.3.2 nc:EntityPersonReference

Standard Definition	A person capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element identifies the entity responsible for the obligation.
Examples	<nc:EntityPersonReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>

8.16.3.3 nc:EntityItemReference

Standard Definition	An item capable of bearing legal rights and responsibilities.
AOC Usage Notes	This element identifies the entity responsible for the obligation. It would be rare for an item (property) to be responsible for an obligation; however, some valid examples may include estates and trusts.
Examples	<nc:EntityItemReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>

8.17 aoc:LitigantGroup

Standard Definition	Defines a collection of litigants who have common legal representation in a case and their legal representatives.
AOC Usage Notes	This complex element is available as an alternative to ecf:CaseOfficial within aoc:CaseAugmentation. This element permits easy and concise identification of all case parties and their common attorneys. The s:id attribute is required and must be a UUID. Note: In XML, the first character of an attribute value is NOT permitted to be a digit. For additional information about Litigant Groups see section 9.1.6.
Examples	Multiple attorneys representing the same multiple parties using aoc:LitigantGroup. <aoc:LitigantGroup s:id="b172b2ec-2a10-419c-912b-18f3dd1f1a15“> <aoc:AttorneyReference s:ref="ief0d4f2f-87df-4823-bf4e-adc945c4a277"/> <aoc:AttorneyReference s:ref="idad46ea0-5d08-4a7b-8352-2e3f8a44ccfc"/> <ecf:CaseRepresentedPartyReference s:ref="ia5e80fc4-2ed2-46e6-b7d9-80253cc58287"/> <ecf:CaseRepresentedPartyReference s:ref="ia08f3141-3ef6-40e9-93e1-c57d90125da9"/> <ecf:CaseRepresentedPartyReference s:ref="ib415aa39-7d0c-4125-a1cd-5d39d84b49de"/> <ecf:CaseRepresentedPartyReference s:ref="ia4bacba4-2638-4dfd-835e-5d4b74d9cf0c"/> <ecf:CaseRepresentedPartyReference s:ref="ie03e93b9-f077-43ba-8fa5-c88bc8cb8897"/> <aoc:LitigantGroupIdentification> <nc:IdentificationID>125996</nc:IdentificationID> <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText> <nc:IdentificationSourceText>LitigantGroupID</nc:IdentificationSourceText> </aoc:LitigantGroupIdentification> <aoc:LitigantGroupName>Name or Description of the Litigant Group</aoc:LitigantGroupName> </aoc:LitigantGroup>

8.17.1aoc:AttorneyReference

Standard Definition	A reference to an attorney.
AOC Usage Notes	This element points to an attorney, who either individually or collectively with other attorneys, represents the collective case represented parties within the Litigant Group. This attorney is elaborated elsewhere within the message, e.g., aoc:CaseParticipant. The s:ref attribute MUST be used. The entity referenced must be a person type entity and must NOT be an organization type or item entity. Furthermore, the person MUST be an attorney.
Examples	<aoc:AttorneyReference s:ref="ief0d4f2f-87df-4823-bf4e-adc945c4a277"/> <aoc:AttorneyReference s:ref="idad46ea0-5d08-4a7b-8352-2e3f8a44ccfc"/>

8.17.2aoc:CaseRepresentedPartyReference

Standard Definition	A reference to a person or organization represented by an attorney.
AOC Usage Notes	This element points to an entity that is the case party. At least one aoc:CaseRepresentedPartyReference element is required. The s:ref attribute is required.
Examples	<ecf:CaseRepresentedPartyReference s:ref="ia5e80fc4-2ed2-46e6-b7d9-80253cc58287"/>

8.17.3aoc:LitigantGroupIdentification

Standard Definition	Identifies an instance of a Litigant Group.
AOC Usage Notes	This element provides a unique identifier for a Litigant Group.
Examples	<aoc:LitigantGroupIdentification> <nc:IdentificationID>125996</nc:IdentificationID> <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText> <nc:IdentificationSourceText>LitigantGroupID</nc:IdentificationSourceText> </aoc:LitigantGroupIdentification>

8.17.3.1 nc:IdentificationID

Standard Definition	A value that identifies something.
AOC Usage Notes	This element contains the Litigant Group unique identifier. For “participant matching”, this element contains the source CRMDE’s unique identifier value for this Litigant Group. With respect to participant matching the source CRMDE is the system from which the Litigant Group’s unique identifier was obtained. Typically, this information is provided in a GetCaseResponse.
Examples	<nc:IdentificationID>125996</nc:IdentificationID>

8.17.3.2 nc:IdentificationCategoryText

Standard Definition	A kind of identification.
AOC Usage Notes	This element clarifies the type of identifier provided above and when used in a "participant matching" context, this element must contain the literal text "SourceParticipantID".
Examples	<nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>

8.17.3.3 nc:IdentificationSourceText

Standard Definition	A kind of identification.
AOC Usage Notes	This value is defined by the CRMDE source system which provided the participant information and identifies the type of identification value in nc:IdentificationID. This element may be used by the source CRMDE to identify the database table in which the value in nc:IdentificationID is a unique key value.
Examples	<nc:IdentificationSourceText>LitigantGroupID</nc:IdentificationSourceText>

8.17.4aoc:LitigantGroupName

Standard Definition	The moniker by which a Litigant Group is known or referred.
AOC Usage Notes	This element contains a name of a Litigant Group.
Examples	<aoc:LitigantGroupName>Name or Description of the Litigant Group</aoc:LitigantGroupName>

9 Special Topics

9.1 Participant Markup

This section details the XML mark-up for participant information.

An e-filing submission contains information about various entities. Entity types may be persons, organizations, and items (e.g. property). This information identifies the entity and describes its involvement within the submission and within a case, as well as other information such as entity characteristics and relationships to other entities.

A single entity (e.g. a person) may have a variety of information that collectively or individually may be regarded as entity role information. For example, a person may be a party to a case in one or more capacities (e.g. a defendant and a counter-claimant), may also be a parent or guardian of a minor or incapacitated person, may be married to another person, may be a member of an organization, may be a filing fee payer, and may also be a document signer, as well as the submission preparer.

9.1.1 Entity and Entity Type

Within NIEM an entity (nc:Entity) is defined as: ”A person, organization, or thing capable of bearing legal rights and responsibilities.” When defining participants, there are four rules which should be followed:

Rule 1: All participants must be an nc:EnityType; specifically participants must either be persons, organizations or property.

> The XML element utilized will identify the entity type. For example, nc:EntityPerson or nc:EntityPersonReference identify a person type entity. XML elements aoc:EntityOrganization or nc:EntityOrganizationReference identify an organization type entity; nc:EnityItem and nc:EntityItemReference identify property.

Rule 2: A given entity should only be “elaborated” one time in an XML communication. “Elaboration” in this context means to identify using the s:id attribute. Other referrals to the entity within the XML should be done using reference attributes (i.e. s:ref).

Rule 3: All case participant entities should be elaborated as an aoc:CaseParticipant within aoc:CaseAugmentation. Entities which are submission participants only, and not case participants may be elaborated outside of aoc:CaseParticipant.

Rule 4: The first reference to an entity (i.e. introduction) within a message (e.g. an XML document, such as the RecordFilingRequest message) when the XML is read sequentially, need not be the entity ‘elaboration’. For example, see ‘Filing Attorney’ below.

9.1.2 Participant Elaboration

Rules 2 and 3 above prescribe that all case participants should be ‘elaborated’ a single time and in a single location within the XML message. These rules benefit both the XML producer and XML

consumers. In the absence of these rules, consumers must be prepared for all possible permutations when parsing messages.

The following example illustrates participant elaboration within aoc:CaseAugmentation:

```
<aoc:CaseAugmentation>
	<aoc:CaseParticipant>
		<aoc:EntityOrganization s:id="ic1676ac3-a5fd-4370-be5b-e85bb41cb4fc">
			<nc:OrganizationIdentification>
				<nc:IdentificationID>83781342</nc:IdentificationID>
				<nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
			</nc:OrganizationIdentification>
			<nc:OrganizationName>Arizona Attorney General's Office</nc:OrganizationName>
			<ecf:OrganizationAugmentation>
				<nc:ContactInformation>
					<nc:ContactMailingAddress>
						<nc:StructuredAddress>
							<nc:LocationStreet>
								<nc:StreetFullText>1275 W. Washington</nc:StreetFullText>
							</nc:LocationStreet>
							<nc:LocationCityName>Phoenix</nc:LocationCityName>
							<nc:LocationStateName>AZ</nc:LocationStateName>
							<nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
							<nc:LocationPostalCode>85007</nc:LocationPostalCode>
						</nc:StructuredAddress>
					</nc:ContactMailingAddress>
					<nc:ContactEntity>
						<nc:EntityPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
					</nc:ContactEntity>
				</nc:ContactInformation>
			</ecf:OrganizationAugmentation>
		</aoc:EntityOrganization>
		<ecf:CaseParticipantRoleCode>AttorneyLawFirm</ecf:CaseParticipantRoleCode>
	</aoc:CaseParticipant>
	<aoc:CaseParticipant>
		<ecf:EntityPerson s:id="ib26cc29d-3f33-43ff-bc28-ca002b96076f">
			<nc:PersonName>
				<nc:PersonGivenName>Jamie</nc:PersonGivenName>
				<nc:PersonMiddleName>R</nc:PersonMiddleName>
				<nc:PersonSurName>Katelman</nc:PersonSurName>
				<nc:PersonFullName>Jamie R Katelman</nc:PersonFullName>
			</nc:PersonName>
			<nc:PersonOtherIdentification>
				<nc:IdentificationID>83783594</nc:IdentificationID>
				<nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
			</nc:PersonOtherIdentification>
			<ecf:PersonAugmentation>
				<nc:ContactInformation>
					<nc:ContactEmailID>Jamie.Katelman@azag.gov</nc:ContactEmailID>
					<nc:ContactTelephoneNumber>
						<nc:FullTelephoneNumber>
							<nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>
						</nc:FullTelephoneNumber>
					</nc:ContactTelephoneNumber>
				</nc:ContactInformation>
			</ecf:PersonAugmentation>
		</ecf:EntityPerson>
		<ecf:CaseParticipantRoleCode>Attorney</ecf:CaseParticipantRoleCode>
	</aoc:CaseParticipant>
	<ecf:PersonOrganizationAssociation>
		<nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
		<nc:OrganizationReference s:ref="ic1676ac3-a5fd-4370-be5b-e85bb41cb4fc"/>
		<ecf:EntityAssociationTypeCode>AttorneyLawFirm</ecf:EntityAssociationTypeCode>
	</ecf:PersonOrganizationAssociation>
</aoc:CaseAugmentation>
```

9.1.3 Participant Roles

What is meant by 'role'?

The New Merriam-Webster Dictionary defines role as "an assigned or assumed character; also a part played (as by an actor)".

In general NIEM considers a role (e.g. scr:PersonRole) to be "a part played by a Person".

In the court's e-filing system, roles are accounted for and accommodated in various ways. In some circumstances, the role(s) are identified by the value within elements associated with the entity (e.g. a person, organization, or property), such as CaseParticipantRoleCode identifying a case participant as a "defendant". There are also several other elements or combinations of elements that can be used to describe participant roles. In some circumstances, the role of the participant is established by the usage of specific elements, rather than the value placed into the element. For example, if a case participant is referenced by the aoc:FilingAttorney element, then this participant should be an attorney.

Participants can play many roles within a submission and a case. A submitter can be an attorney, a document signer, represent parties and be a payer.

9.1.3.1 Submission Participation Type

A Submission Participation Type is a specific genre of roles characterized by the way in which an entity participates in the creation, assembly, submittal and review of an e-filing submission.

Submission participation is distinguished from **case** participation. For example, an attorney's assistant may assemble all documents for an e-filing submission and perform the filing assembly function for the attorney. The assistant in this example is a submission participant, but is not a case participant (e.g. is not a party, an attorney, a judge, or a witness, etc.). Many times a submission participant is also a case participant.

The following Submission Participation Types are recognized:

- Customer/Account Holder - This is an EFSP **registered user** (i.e., EFSP account holder) and should identify the person who is actually performing the e-filing function, i.e., the person at the keyboard interacting with the EFSP application. This person may not be the filing attorney or person (e.g. Filer/Submitter/Preparer), but instead may be an assistant (e.g. legal secretary).

- Submission Signer/Asserter – As stated above, the Submission Signer/Asserter person should be the Customer/Account Holder. However, when the Customer/Account Holder information does not identify the Submission Signer/Asserter **person**, then the Submission Signer/Asserter must be identified separately. Some EFSP implementations may not require individual personal user accounts. If this is the situation, additional information is **required** to separately identify the actual person who is asserting to the correctness, accuracy, and truthfulness of the submission.

- Filer/Submitter/Preparer – This is the person responsible for submitting the e-filing to the court; typically an attorney but may be a pro per person (e.g. self-represented litigant). Often the Filer/Submitter/Preparer and the Customer are the same person, but not always. Note that this

definition of 'Filer' coincides well with the LegalXML ECF definition, which is: *An attorney or a pro se (self-represented) litigant acting as an individual who assembles and submits one or more filings (combinations of data and documents).*

- Document Signer – This is the person who actually signs the submitted document. Some EFSPs may not solicit the Document Signer identity (e.g. name) unless needed for an EFSP produced form, and instead assume that the Submission Filer/Submitter/Preparer (see above) is also the Document Signer for all documents delivered from the EFSP. This is not always the case; sometimes there are multiple different document signers for the documents within a submission. Often the document signer is also a case participant (e.g. an attorney or self-represented litigant). However, it is not unheard of when the signer of a document is not a case participant. Therefore, "Document Signer' is listed as a Submission Participation rather than a Case Participation.

- Payer/Payor – The name of the person or organization who paid the filing fees. This name need not be the same as the 'Filer/Submitter/Preparer', the 'Customer/Account Holder', or the 'Submission Signer/Asserter'.

- Clerk Reviewer – The person who performs a clerk review function. This function may include setting a **document status** (e.g. to "Filed" or "Reject"), or concludes an **entire submission** (e.g. performing final acceptance).

- Court Document Attacher – The person who attaches a new Court or Agency-Originated Document to a submission that has not been concluded in Clerk Review or Judge Review.

9.1.4 Submission Participation Mark-up

Submission participation types are described in this section.

9.1.4.1 Customer

Information that identifies the EFSP customer/account holder must be provided with the submission.

In the RFR XML, the customer is identified in the CoreFilingMessage, within the nc:DocumentSubmitter element. This nc:DocumentSubmitter element will contain, at most, a single entity.

The entity type of the customer entity may depend upon the type of EFSP account used. If the account type is "Individual," then this will be a person type entity; otherwise, it may be an organization type entity. For non "Individual" type accounts, a person type entity MUST be found within nc:ContactEntity which is located within the aoc:EntityOrganization element for the Business/Law Firm/Agency. The FAMDE account number (i.e., eFilerID) must be provided for each Customer/Account Holder.

In the following XML fragment, the Customer account is an individual person account that belongs to Peter Pan and was used to submit a filing, i.e., Peter Pan is the person at the keyboard who interacted with the EFSP application.

```
<nc:DocumentSubmitter>
        <ecf:EntityPerson s:id="aef01255-24c8-4ba3-b0ec-9e684bff7e41">
                <nc:PersonName>
                        <nc:PersonGivenName>Peter</nc:PersonGivenName>
```

```
                <nc:PersonSurName>Pan</nc:PersonSurName>
                <nc:PersonFullName>Peter Pan</nc:PersonFullName>
            </nc:PersonName>
            <nc:PersonIdentification>
                <nc:IdentificationID>4703555</nc:IdentificationID>
                <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
            </nc:PersonIdentification>
        </ecf:EntityPerson>
</nc:DocumentSubmitter>
```

9.1.4.2 Submission Signer/Asserter

Information identifying the Signer/Asserter person may be provided in the submission.

The nc:DocumentSubmitter element is used **exclusively** to identify the EFSP registrant account holder responsible for assembling a filing submission destined to a local court, i.e., the Customer/Account Holder. If the EFSP allows for the identification of another person who is asserting to the correctness of the submission or the Customer/Account Holder does not clearly identify this person, then that person's identification information must be included in the message.

In the following XML fragment the Attorney/Law Firm account of "Pan & Hook Associates at Law" (e.g. an organization type account) was used to submit a filing. Peter Pan, an assistant, did the keyboard button-pushing within the EFSP application and "signed" the submission at the conclusion of the submission assembly process:

```
<nc:DocumentSubmitter>
        <aoc:EntityOrganization s:id="c8ad2796-7f93-4678-8b8b-b0497af43226">
            <nc:OrganizationIdentification>
                <nc:IdentificationID>4703837</nc:IdentificationID>
                <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
            </nc:OrganizationIdentification>
            <nc:OrganizationName>Pan &amp;amp; Hook Associates at Law</nc:OrganizationName>
            <ecf:OrganizationAugmentation>
                <nc:ContactInformation>
                    <nc:ContactEntity>
                        <ecf:EntityPerson s:id="aef01255-24c8-4ba3-b0ec-9e684bff7e41">
                            <nc:PersonName>
                                <nc:PersonGivenName>Peter</nc:PersonGivenName>
                                <nc:PersonSurName>Pan</nc:PersonSurName>
                                <nc:PersonFullName>Peter Pan</nc:PersonFullName>
                            </nc:PersonName>
                        </ecf:EntityPerson>
                    </nc:ContactEntity>
                </nc:ContactInformation>
            </ecf:OrganizationAugmentation>
        </aoc:EntityOrganization>
</nc:DocumentSubmitter>
```

9.1.4.3 Filer/Submitter/Preparer

This is the responsible person who prepares the documents to be submitted to a local court. This person may or may not be the same person who interacts with the EFSP application. For example, an attorney may prepare the documents and a legal assistant performs the EFSP work (person at the keyboard) for the attorney. In this circumstance, the attorney is the Filer/Submitter/Preparer, and the legal assistant is the Customer/Account Holder and/or Signer/Asserter.

When multiple persons (e.g. attorneys) collectively prepare multiple documents for a single submission, one of these attorneys must be identified as the Filer/Submitter/Preparer (e.g. the **lead** attorney).

The Filer/Submitter/Preparer is identified by the aoc:DocumentFiler element in CoreFilingMessage. There should at most only one aoc:DocumentFiler element within the CoreFilingMessage. The aoc:DocumentFiler element MUST identify a person type entity (must not identify an organization or item type entity).

NOTE: The aoc:DocumentFiler element extends the OASIS ECF specification to accommodate submissions filed by Self-Represented Litigants. When the submission is filed by an attorney, the person identified by the aoc:DocumentFiler element will be the very same person as the person identified by the aoc:FilingAttorney element.

Example:

```
<core:CoreFilingMessage>
        <!-- skipped -->
        <nc:DocumentSubmitter>
                <!-- skipped -->
        </nc:DocumentSubmitter>
        <aoc:DocumentFiler>
                <nc:EntityPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
        </aoc:DocumentFiler>
        <!-- skipped -->
        <aoc:CivilCase>
                <!-- skipped -->
                <aoc:FilingAttorney>
                        <nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
                        <j:JudicialOfficialBarMembership>
                                <j:JudicialOfficialBarIdentification>
                                        <nc:IdentificationID>023930</nc:IdentificationID>
                                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                                </j:JudicialOfficialBarIdentification>
                        </j:JudicialOfficialBarMembership>
                </aoc:FilingAttorney>
        </j:CaseAugmentation>
        <aoc:CaseAugmentation>
                <aoc:CaseParticipant>
                        <ecf:EntityPerson s:id="ib26cc29d-3f33-43ff-bc28-ca002b96076f">
                                <nc:PersonName>
                                        <nc:PersonGivenName>Jamie</nc:PersonGivenName>
                                        <nc:PersonMiddleName>R</nc:PersonMiddleName>
                                        <nc:PersonSurName>Katelman</nc:PersonSurName>
                                        <nc:PersonFullName>Jamie R Katelman</nc:PersonFullName>
                                </nc:PersonName>
                                <ecf:PersonAugmentation>
                                        <nc:ContactInformation>
                                                <nc:ContactEmailID>Jamie.Katelman@azag.gov</nc:ContactEmailID>
                                                <nc:ContactTelephoneNumber>
                                                        <nc:FullTelephoneNumber>
```

```
                                        <nc:TelephoneNumberFullID>6025428251</nc:TelephoneNumberFullID>
                                    </nc:FullTelephoneNumber>
                                </nc:ContactTelephoneNumber>
                            </nc:ContactInformation>
                        </ecf:PersonAugmentation>
                    </ecf:EntityPerson>
                    <ecf:CaseParticipantRoleCode>Attorney</ecf:CaseParticipantRoleCode>
                </aoc:CaseParticipant>
            <!-- skipped -->
```

9.1.4.4 Document Signer

Each document in the CoreFilingMessage (CFM) individually identifies its 'filer' (e.g., by 'FilingAttorneyID' element). As such, when the CFM contains multiple documents, they need not all have the same filer. Actual production efiling submissions in Arizona reveal that **on occasion** there are multiple document 'signers' within a single submission.

For example, on a production efiling for 1 CA CV-10-0619, there is one lead document (Notice of Appearance) associated with one connected document (Certificate of Service). The lead document is signed by Debi Garrett, and the connected document is signed by Jeff Siatta. However, the filing attorney (Sarah Selzer) is neither of these Document Signer persons.

Some EFSPs may not solicit the Document Signer identity unless it is needed by an EFSP to produce a form. Instead these EFSPs may assume that the submission Document Filer (aoc:DocumentFiler) is also the Document Signer for all documents delivered by the EFSP.

An appropriate element could not be found within NIEM 2.1 for Document Signer. The closest matches are: DocumentAuthor, DocumentCreator, and DocumentSubmitter. Therefore, this XML specification has been extended to define a new aoc:DocumentSigner element.

Note that the aoc:DocumentSigner MUST be a person entity and must NOT be an organization or item type entity.

In the following example, the filing attorney (aoc:FilingAttorneyID) is the same person as the Document Signer (aoc:DocumentSigner).

```
<aoc:FilingLeadDocument s:id="aef7734a4-e04e-4da8-88e1-93b5029b6bed">
    <nc:DocumentDescriptionText>Notice No Objection to Motion for Remand</nc:DocumentDescriptionText>
    <nc:DocumentIdentification>
        <nc:IdentificationID>ef7734a4-e04e-4da8-88e1-93b5029b6bed</nc:IdentificationID>
        <nc:IdentificationCategoryText>DocumentID</nc:IdentificationCategoryText>
    </nc:DocumentIdentification>
    <nc:DocumentSequenceID>1</nc:DocumentSequenceID>
    <nc:DocumentTitleText>Notice No Objection to Motion for Remand</nc:DocumentTitleText>
    <aoc:DocumentMetadata>
        <j:RegisterActionDescriptionText/>
        <aoc:FilingAttorneyID s:ref="ic5fd16b2-b64d-4f2e-b49f-d89705b2f376"/>
        <aoc:FilingPartyID/>
        <ecf:RedactionRequiredIndicator>false</ecf:RedactionRequiredIndicator>
        <aoc:DocumentSigner>
            <nc:EntityPersonReference s:ref="ic5fd16b2-b64d-4f2e-b49f-d89705b2f376"/>
        </aoc:DocumentSigner>
    </aoc:DocumentMetadata>
    <aoc:DocumentRendition>
        <nc:DocumentApplicationName>Adobe Acrobat</nc:DocumentApplicationName>
        <nc:DocumentFileControlID>Notice No Objection to Motion for Remand.pdf</nc:DocumentFileControlID>
```

```
                    <nc:DocumentIdentification>
                        <nc:IdentificationID>r-ef7734a4-e04e-4da8-88e1-93b5029b6bed</nc:IdentificationID>
                        <nc:IdentificationCategoryText>DocumentRenditionID</nc:IdentificationCategoryText>
                    </nc:DocumentIdentification>
                    <ecf:DocumentRenditionMetadata>
                        <ecf:DocumentAttachment>
                            <nc:BinaryDescriptionText>application/pdf</nc:BinaryDescriptionText>
                            <nc:BinarySizeValue>47</nc:BinarySizeValue>
                            <ecf:AttachmentSequenceID>2</ecf:AttachmentSequenceID>
                        </ecf:DocumentAttachment>
                    </ecf:DocumentRenditionMetadata>
                </aoc:DocumentRendition>
                <aoc:DocumentCategoryID>MSA32f</aoc:DocumentCategoryID>
                <aoc:DocumentCategoryName>MOTION - Other</aoc:DocumentCategoryName>
            </aoc:FilingLeadDocument>
```

9.1.4.5 Payer or Payor

The name of the person or organization who paid the filing fees. This name need not be the same as the 'Filer/Submitter/Preparer', the 'Customer/Account Holder', or the 'Submission Signer/Asserter'. When the 'Payer' is the same person as the 'Filer/Submitter/Preparer', there is no means in the RFR XML to identify this other than by name match or name similarity (e.g. no identifier based referencing is permitted). The payer should be identified within the PayerName element within the PaymentMessage.

Example:

```
<payment:PaymentMessage>
        <payment:FeeExceptionReasonCode/>
        <payment:FeeExceptionSupportingText/>
        <payment:PayerName>Lori Vopel</payment:PayerName>
        <aoc:AllowanceCharge>
```

9.1.4.6 Clerk Reviewer

In the RecordDocketingMessage, the Clerk Reviewer is the DocumentSubmitter person who is considered to have 'concluded' the review and processing of lead documents in a submission (e.g., approved the clerk-acceptance of a lead document in a submission).

A Clerk Reviewer role is also played by persons who review individual lead and connected documents (e.g. ReviewedLeadDocument and ReviewedConnectedDocuments elements). For a document, the EntityPerson element within DocumentSubmitter element is the person who selects the appropriate document directives (e.g. 'Filed', 'Rejected', 'Issued', etc.) for a given document.

When the very same person is the Clerk Reviewer for multiple RecordFilingRequests (RFR) and/or multiple reviewed documents, each occurrence should not have an individual s:id attribute. When a person is the Clerk Reviewer for multiple RecordFilingRequests within a single RFR message, then there should be a single s:id attribute (the other references to the very same Clerk Reviewer person should use s:ref).

For example, the following RecordFilingRequest snippet shows that deputy clerk Lea Rudd was the Clerk Reviewer for the RecordDocketingMessage (RDM), and was also the Clerk Reviewer for the ReviewedLeadDocument within the RDM. The Clerk Reviewer is 'elaborated' once as the RDM DocumentSubmitter, and referenced as the ReviewedLeadDocument DocumentSubmitter.

```
<?xml version="1.0" encoding="UTF-8"?>
<rfr:RecordFilingRequest>
```

```
<nc:DocumentIdentification>
        <nc:IdentificationID>s-a504eab3-6524-4b7b-b930-e79a8c182ca6</nc:IdentificationID>
        <nc:IdentificationCategoryText>ReviewedSubmissionID</nc:IdentificationCategoryText>
</nc:DocumentIdentification>
<nc:DocumentPostDate>
        <nc:DateTime>2012-08-10T16:17:11.000-07:00</nc:DateTime>
</nc:DocumentPostDate>
<nc:DocumentSubmitter>
        <ecf:EntityPerson s:id="b4054abd-9201-434f-8ed3-caeae4e357d5">
                <nc:PersonName>
                        <nc:PersonGivenName>Lea</nc:PersonGivenName>
                        <nc:PersonMiddleName/>
                        <nc:PersonSurName>Rud</nc:PersonSurName>
                        <nc:PersonFullName>Lea Rud</nc:PersonFullName>
                </nc:PersonName>
                <nc:PersonOtherIdentification>
                        <nc:IdentificationID>5366</nc:IdentificationID>
                        <nc:IdentificationCategoryText>eFilerID</nc:IdentificationCategoryText>
                </nc:PersonOtherIdentification>
        </ecf:EntityPerson>
</nc:DocumentSubmitter>
<!-- skipped -->
<nc:CaseTrackingID>CV-12-0279</nc:CaseTrackingID>
<aoc:ReviewedLeadDocument s:id="a504eab3-6524-4b7b-b930-e79a8c182ca6" s:ref="aecfee5eb-3de8-4d5c-b0f3-b2b17745bf14">
        <nc:DocumentIdentification>
                <nc:IdentificationID>a504eab3-6524-4b7b-b930-e79a8c182ca6</nc:IdentificationID>
                <nc:IdentificationCategoryText>DocumentID</nc:IdentificationCategoryText>
        </nc:DocumentIdentification>
        <nc:DocumentStatus>
                <nc:StatusText>accepted</nc:StatusText>
                <nc:StatusDate>
                        <nc:DateTime>2012-08-10T16:14:40.000-07:00</nc:DateTime>
                </nc:StatusDate>
        </nc:DocumentStatus>
        <nc:DocumentSubmitter>
                <nc:EntityPersonReference s:ref="b4054abd-9201-434f-8ed3-caeae4e357d5"/>
        </nc:DocumentSubmitter>
```

This same method should be used within a single RFR when there are multiple RecordDocketingMessages (RDMs). An individual Clerk Reviewer should be 'elaborated' only once, even if the Clerk Reviewer person appears in multiple RDMs.

9.1.4.7 Court Document Attacher

Also in Clerk or Judge Review, a new document **may** be able to be added to the submission, such as a Court or Agency Originated Document. When this occurs, an extension element aoc:DocumentFiler (substitutes for nc:DocumentSubmitter) should be used:

```
<aoc:DocumentFiler>
        <ecf:EntityPerson><!-- skipped --></ecf:EntityPerson>
</aoc:DocumentFiler>
```

9.1.5 Case Participation Mark-up

Case participants are persons, organizations or items (e.g. properties) which have a direct involvement within a case, such as attorneys, litigants, judges, prosecutors, special masters, court reporters, witnesses, etc. Each case participant should be recorded using a CaseParticipant element within aoc:CaseAugmentation.

9.1.5.1 Attorney Mark-up

This section describes mark-up for attorneys:

9.1.5.1.1 Filing Attorney Mark-up

A filing attorney is a Filer/Submitter/Preparer person who is filing in the capacity of an attorney. The filing attorney should be introduced in aoc:DocumentFiler in the CoreFilingMessage (CFM), then elaborated within the Case element within j:CaseAugmentation as the aoc:FilingAttorney as shown in the fragment below.

NOTE: The aoc:DocumentFiler element extends the OASIS ECF specification to accommodate submissions filed by Self-Represented Litigants. When the submission is filed by an attorney, the person identified by the aoc:DocumentFiler element will be the very same person as the person identified by the aoc:FilingAttorney element.

```
<!-- skipped -->
<nc:DocumentSubmitter>
        <!-- skipped -->
</nc:DocumentSubmitter>
<aoc:DocumentFiler>
        <nc:EntityPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
</aoc:DocumentFiler>
<!-- skipped -->
<aoc:CivilCase>
        <!-- skipped -->
        <aoc:FilingAttorney>
                <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
                <j:JudicialOfficialBarMembership>
                        <j:JudicialOfficialBarIdentification>
                                <nc:IdentificationID>019025</nc:IdentificationID>
                                <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                                <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                        </j:JudicialOfficialBarIdentification>
                </j:JudicialOfficialBarMembership>
        </aoc:FilingAttorney>
```

9.1.5.1.2 Other Attorney Mark-up

If the attorney is not the filing attorney, then the attorney should be introduced in an ecf:CaseOfficial element within aoc:CaseAugmentation. The nc:RoleOfPersonReference element should point to the ecf:EntityPerson element within aoc:CaseParticipant.

Example:

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"></nc:RoleOfPersonReference>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>556678</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <ecf:CaseRepresentedPartyReference/>
</ecf:CaseOfficial>
```

9.1.5.1.3 Attorney Type

The actual attorney person must be identified (by s:id) as a case participant (i.e., aoc:CaseParticipant). Typically this attorney CaseParticipant will have a CaseParticipantRoleCode value of “Attorney”. However, if the attorney is a *pro hac vice* attorney, then the CaseParticipantRoleCode value will be “ProHacVice”. If the attorney is the supervising attorney (e.g. local counsel) for an out of state attorney appearing *pro hac vice*, then the CaseParticipantRoleCode value will be “LocalCounsel” The Arizona AOC may add values for use with attorney participants in the future.

Persons are not attorneys just because they have a CaseParticipantRoleCode element value of “Attorney”. Persons are understood to be attorneys because they have a referencing Attorney Type element (e.g. aoc:FilingAttorney, ecf:CaseOfficial, etc.). For attorney participants, CaseParticipantRoleCode element can be used to further qualify the type of attorney.

9.1.5.1.4 Pro Hac Vice Attorney

First, a Pro Hac Vice attorney is an attorney, so the same elements used to describe an attorney are also used to describe a Pro Hac Vice attorney.

Second, additional elements are needed to fully describe Pro Hac Vice attorneys. This additional information includes the fact that the attorney is Pro Hac Vice and may also include information about the supervising attorney (e.g. local counsel), and information about the pro hac vice attorney’s application to the bar association (e.g. State Bar of Arizona).

Just as any case attorney person should be identified in aoc:CaseParticipant, then so should the *pro hac vice* attorney. However, instead of the ecf:CaseParticipantRoleCode element value of ‘Attorney’, it should be ‘ProHacVice’. For example:

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="ie8903b4b-bb2c-4aa4-a90b-978e81537578">
                <nc:PersonName>
                        <nc:PersonGivenName>Ralph</nc:PersonGivenName>
                        <nc:PersonMiddleName>E</nc:PersonMiddleName>
                        <nc:PersonSurName>Newmann</nc:PersonSurName>
                        <nc:PersonFullName>Ralph E Newmann</nc:PersonFullName>
                </nc:PersonName>
        </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>ProHacVice</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

The date of the application approval or renewal is in the nc:Date element within nc:IdentificationEffectiveDate within j:JudicalOfficialRegistrationIdentification; this will appear within either aoc:FilingAttorney or ecf:CaseOfficial. For example:

```
<aoc:FilingAttorney>
        <nc:RoleOfPersonReference s:ref="ie8903b4b-bb2c-4aa4-a90b-978e81537578"/>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>512345</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>CA</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>California State Bar</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <j:CaseOfficialRoleText >ProHacVice</j:CaseOfficialRoleText>
```

```
        <j:JudicialOfficialRegistrationIdentification>
                <nc:IdentificationEffectiveDate>
                        <nc:Date>2010-07-28-07:00</nc:Date>
                </nc:IdentificationEffectiveDate>
        </j:JudicialOfficialRegistrationIdentification>
</aoc:FilingAttorney>
```

The **local counsel** (i.e., supervising attorney) is identified as:

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7">
                <nc:PersonName>
                        <nc:PersonNamePrefixText>Ms.</nc:PersonNamePrefixText>
                        <nc:PersonGivenName>Denise</nc:PersonGivenName>
                        <nc:PersonMiddleName>J.</nc:PersonMiddleName>
                        <nc:PersonSurName>Feinstein</nc:PersonSurName>
                        <nc:PersonFullName>Ms. Denise J. Feinstein</nc:PersonFullName>
                </nc:PersonName>
                <ecf:PersonAugmentation>
        </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>LocalCounsel</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Of course the local counsel (i.e., supervising attorney) should be introduced either with aoc:FilingAttorney or ecf:CaseOfficial, depending upon whether or not the local counsel attorney is the filing attorney. When the local counsel is not the filing attorney, then ecf:CaseOfficial within aoc:CaseAugmentation should be used.

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref=" ie8903b4b-bb2c-4aa4-a90b-978e81537578"></nc:RoleOfPersonReference>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>556678</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <ecf:CaseRepresentedPartyReference/>
</ecf:CaseOfficial>
```

The relationship between the *pro hac vice* attorney and the local counsel is established with:

```
  <ecf:PersonAssociation>
          <nc:PersonReference s:ref="ie8903b4b-bb2c-4aa4-a90b-978e81537578"/>
          <nc:PersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
          <ecf:EntityAssociationTypeCode>LocalCounsel</ecf:EntityAssociationTypeCode>
  </ecf:PersonAssociation>
```

The *pro hac vice* attorney should be listed first and the local counsel attorney listed second.

9.1.5.1.5 Attorney Firm/Organization

If the attorney is affiliated with a law firm or other organization, this firm/organization is identified by PersonOrganizationAssociation element within aoc:CaseAugmentation:

```
<ecf:PersonOrganizationAssociation>
        <nc:PersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
        <nc:OrganizationReference s:ref="id9510139-6840-49c6-ac53-5ef1eb3b5023"/>
        <ecf:EntityAssociationTypeCode>AttorneyLawFirm</ecf:EntityAssociationTypeCode>
```

```
</ecf:PersonOrganizationAssociation>
```

Whenever an attorney is affiliated with a law firm, agency or other organization while representing submitters on a case (e.g., parties, intervenors, etc.) the affiliation relationship between the attorney and the firm must be expressed in the ecf:PersonOrganizationAssociation element, even if other XML mark-up may already express a person and organization relationship (e.g., such as the contact relationship within the nc:DocumentSubmitter submitter).

The organization referenced in this attorney and law firm association can be found (e.g., will be elaborated) as an aoc:CaseParticipant element within aoc:CaseAugmentation:

```
<aoc:CaseParticipant>
        <aoc:EntityOrganization s:id="id9510139-6840-49c6-ac53-5ef1eb3b5023">
                <nc:OrganizationName>Arizona Attorney General's Office</nc:OrganizationName>
                <ecf:OrganizationAugmentation>
                        <nc:ContactInformation>
                                <nc:ContactMailingAddress>
                                        <nc:StructuredAddress>
                                                <nc:LocationStreet>
                                                        <nc:StreetFullText>400 W Congress St</nc:StreetFullText>
                                                </nc:LocationStreet>
                                                <nc:LocationCityName>Tucson</nc:LocationCityName>
                                                <nc:LocationStateName>AZ</nc:LocationStateName>
                                                <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
                                                <nc:LocationPostalCode>85701</nc:LocationPostalCode>
                                        </nc:StructuredAddress>
                                </nc:ContactMailingAddress>
                        </nc:ContactInformation>
                </ecf:OrganizationAugmentation>
        </aoc:EntityOrganization>
        <ecf:CaseParticipantRoleCode>AttorneyLawFirm</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

The Attorney Firm/Organization should have a CaseParticipantRoleCode element value of "AttorneyLawFirm".

9.1.5.2 Case Party Mark-up

Parties (i.e., litigants who are organizations, persons, or property) are introduced within j:CaseAugmentation as a CaseInitiatingParty, a CaseRespondentParty, a CaseDefendantParty, or a FilingParty element.

If the submission is a case initiation filing, then j:CaseInitiatingParty and j:CaseRespondentParty should be used:

```
<j:CaseInitiatingParty>
        <nc:EntityPersonReference s:ref="if18e165b-0ff6-4e78-82d9-6d645610f96d"/>
</j:CaseInitiatingParty>
<j:CaseRespondentParty>
        <nc:EntityPersonReference s:ref="ibbd1f442-90e8-460f-9b88-f75099eb1aa4"/>
</j:CaseRespondentParty>
<j:CaseRespondentParty>
        <nc:EntityOrganizationReference s:ref="ifb37ec2b-6a2c-44f1-9060-48bc2a9085aa"/>
</j:CaseRespondentParty>
```

If the submission is a subsequent filing, then use aoc:FilingParty, if the party is the filer or if the party is represented by the filing attorney. In a subsequent submission, parties who are identified by the filer, who must act upon or respond to this submission MUST be identified using j:CaseRespondentParty.

Other parties not identified as j:CaseFilingParty or j:CaseRespondentParty within j:CaseAugmentation and were not referenced within the context of this submission (perhaps known from a GetCaseResponse message) MUST NOT be referenced within any party element (e.g., j:CaseInitiatingParty, j:CaseDefendantParty, j:CaseRespondentParty, and aoc:FilingParty) within j:CaseAugmentation.

```
<aoc:FilingParty>
        <nc:EntityPersonReference s:ref="icef0dd6b-beb8-4f46-a0dd-63d452f3230c"/>
</aoc:FilingParty>
<j:CaseRespondentParty>
        <nc:EntityPersonReference s:ref="ibbd1f442-90e8-460f-9b88-f75099eb1aa4"/>
</j:CaseRespondentParty>
```

The actual person or organization is identified as an aoc:CaseParticipant within aoc:CaseAugmentation:

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="if18e165b-0ff6-4e78-82d9-6d645610f96d">
                <nc:PersonName>
                        <nc:PersonGivenName>Phillip</nc:PersonGivenName>
                        <nc:PersonSurName>Governale</nc:PersonSurName>
                        <nc:PersonFullName>Phillip Governale</nc:PersonFullName>
                </nc:PersonName>
                <ecf:PersonAugmentation>
                        <nc:ContactInformation>
                                <nc:ContactMailingAddress>
                                        <nc:StructuredAddress>
                                                <nc:LocationStreet>
                                                        <nc:StreetFullText>8777 N. Gainey Ctr. Dr., #181</nc:StreetFullText>
                                                </nc:LocationStreet>
                                                <nc:LocationCityName>Scottsdale</nc:LocationCityName>
                                                <nc:LocationStateName>AZ</nc:LocationStateName>
                                                <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
                                                <nc:LocationPostalCode>85258</nc:LocationPostalCode>
                                        </nc:StructuredAddress>
                                </nc:ContactMailingAddress>
                        </nc:ContactInformation>
                </ecf:PersonAugmentation>
        </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>appellant</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

Note: The CaseParticipantRoleCode should identify the party role.

9.1.5.2.1 Unknown or Obfuscated Parties and Participants

When the actual identity of a party is not known or is unclear, then this is an Unknown or Obfuscated Party or Participant. Frequently, pseudonyms, such as “John Doe” or “Jane Doe”, are used to refer to these Unknown or Obfuscated Parties and Participants.

Use nc:PersonOtherIdentification to identify Unknown or Obfuscated Parties and Participants. The nc:IdentificationID element could have the values, such as “John Doe” or “Jane Doe”, and the corresponding IdentificationCategoryText element value should be “UnknownParticipant”.

Example:

```
<aoc:CaseParticipant>
        <ecf:EntityPerson s:id="ia751a532-fb8d-485b-8c0e-3df72e4642d6">
                <nc:PersonName>
                        <nc:PersonGivenName>John</nc:PersonGivenName>
                        <nc:PersonSurName>Doe</nc:PersonSurName>
                </nc:PersonName>
                 <nc:PersonOtherIdentification>
                        <nc:IdentificationID>678012</nc:IdentificationID>
                        <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>
                        <nc:IdentificationSourceText>PartyID</nc:IdentificationSourceText>
                </nc:PersonOtherIdentification>
                <nc:PersonOtherIdentification>
                         <nc:IdentificationID>John Doe</nc:IdentificationID>
                        <nc:IdentificationCategoryText>UnknownParticipant</nc:IdentificationCategoryText>
                 </nc:PersonOtherIdentification>
         </ecf:EntityPerson>
        <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
</aoc:CaseParticipant>
```

9.1.5.2.2 Self-Represented Litigants

Parties who are self-represented litigants (aka *pro se* or *pro per*) must be explicitly declared as such in the XML. The absence of an Attorney-to-Party relationship does not denote that a party is self-represented, nor does the presence of Attorney-to-Party relationship indicate that the party is not self-represented. The attorney representing a party can be identified in the XML through: (1) ecf:CaseRepresentedPartyReference in ecf:CaseOfficial within j:CaseAugmentation; or (2) as aoc:AttorneyReference within aoc:LitigantGroup. So, if a party identified in j:CaseAugmentation does not have an ecf:CaseRepresentedPartyReference, does this make the party a self-represented litigant? The answer is no. This situation can arise for varied reasons: (1) in a case initiation RFR, typically the opposing parties are identified, but the attorneys for the opposing parties are not specified. This should not be interpreted that the opposing parties are self-represented; (2) self-represented litigants can have attorneys (e.g., advisory counsel).

Currently ECF does not provide an adequate means to identify self-represented litigants. (see ECF section 3.3.1.7 for additional information) Additionally, there does not appear to be any specific element within LegalXML for this purpose. A search of NIEM yielded:

> **j:CaseDefendantSelfRepresentationIndicator** (niem-xsd:Boolean)
> True if a defendant waived the right to have a defense attorney and is representing him or herself; false otherwise.

This NIEM element would be right on the mark if it were it not case party type role specific (i.e., defendant) and there are no other similar party role type specific elements in NIEM (e.g., CasePlaintiffRepresentationIndicator, CaseAppellantSelfRepresentationIndicator, etc.). Therefore, the AOC standard is to use a new to 2.0 extension element that does not contain a party role type in its name and can therefore be used for any and all self-represented litigants regardless of party role type. This new element is:

> **aoc:CasePartySelfRepresentationIndicator** (niem-xsd:boolean)
> True if a case party waived the right to be represented by an attorney and is representing him or herself; false otherwise.

Example: Within the Case element (e.g., aoc:AppellateCase):

```
<j:CaseAugmentation>
        <j:CaseInitiatingParty>
                <nc:EntityPersonReference s:ref="icc0aaa97-d68c-4dec-8590-0e83232a7453"/>
        </j:CaseInitiatingParty>
</j:CaseAugmentation>
<aoc:CaseAugmentation>
        <aoc:CaseParticipant>
                <ecf:EntityPerson s:id="icc0aaa97-d68c-4dec-8590-0e83232a7453">
                        <nc:PersonName>
                                <nc:PersonGivenName>Donna</nc:PersonGivenName>
                                <nc:PersonSurName>Jackson</nc:PersonSurName>
                                <nc:PersonFullName>Donna Jackson</nc:PersonFullName>
                        </nc:PersonName>
                </ecf:EntityPerson>
                <ecf:CaseParticipantRoleCode>Respondent</ecf:CaseParticipantRoleCode>
                <aoc:CasePartySelfRepresentationIndicator>true</aoc:CasePartySelfRepresentationIndicator>
        </aoc:CaseParticipant>
</aoc:CaseAugmentation>
```

9.1.6 Attorney – Party Relationships

The attorney or attorneys that represent the party or parties can be identified within ecf:CaseOfficial within aoc:CaseAugmention. Alternatively, the aoc:LitigantGroup complex element may also be used.

Within both ecf:CaseOfficial and aoc:LitigantGroup, the ecf:CaseRepresentedPartyReference identifies the party. If there are multiple parties represented by the attorney, then there will be multiple ecf:CaseRepresentedPartyReference elements.

Within ecf:CaseOfficial the nc:RoleOfPersonReference element identifies the attorney. When using aoc:LitigantGroup, aoc:AttorneyReference element is used to identify attorneys representing the group of case parties. If there are multiple attorneys representing the very same parties, then with aoc:LitigantGroup, there can be multiple aoc:AttorneyReference elements. However, when using ecf:CaseOfficial, then multiple ecf:CaseOfficial elements must be used to describe multiple attorneys representing the very same case parties, i.e., one ecf:CaseOfficial per attorney.

Example1: Simple Attorney-to-Party relationship using **ecf:CaseOfficial**:

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="ie8903b4b-bb2c-4aa4-a90b-978e81537578"/>
        <ecf:CaseRepresentedPartyReference s:ref="if18e165b-0ff6-4e78-82d9-6d645610f96d"/>
</ecf:CaseOfficial>
```

Example 2: Multiple attorneys representing the same multiple parties using **ecf:CaseOfficial**:

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="ief0d4f2f-87df-4823-bf4e-adc945c4a277"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia5e80fc4-2ed2-46e6-b7d9-80253cc58287"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia08f3141-3ef6-40e9-93e1-c57d90125da9"/>
        <ecf:CaseRepresentedPartyReference s:ref="ib415aa39-7d0c-4125-a1cd-5d39d84b49de"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia4bacba4-2638-4dfd-835e-5d4b74d9cf0c"/>
        <ecf:CaseRepresentedPartyReference s:ref="ie03e93b9-f077-43ba-8fa5-c88bc8cb8897"/>
</ecf:CaseOfficial>
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="idad46ea0-5d08-4a7b-8352-2e3f8a44ccfc"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia5e80fc4-2ed2-46e6-b7d9-80253cc58287"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia08f3141-3ef6-40e9-93e1-c57d90125da9"/>
        <ecf:CaseRepresentedPartyReference s:ref="ib415aa39-7d0c-4125-a1cd-5d39d84b49de"/>
        <ecf:CaseRepresentedPartyReference s:ref="ia4bacba4-2638-4dfd-835e-5d4b74d9cf0c"/>
        <ecf:CaseRepresentedPartyReference s:ref="ie03e93b9-f077-43ba-8fa5-c88bc8cb8897"/>
</ecf:CaseOfficial>
```

Example 3: Multiple attorneys representing the same multiple parties using **aoc:LitigantGroup** (equivalent to Example 2):

```
<aoc:LitigantGroup s:id="f172b2ec-2a10-419c-912b-18f3dd1f1a15“ >
        <aoc:AttorneyReference s:ref="ief0d4f2f-87df-4823-bf4e-adc945c4a277"/>
        <aoc:AttorneyReference s:ref="idad46ea0-5d08-4a7b-8352-2e3f8a44ccfc"/>
            <ecf:CaseRepresentedPartyReference s:ref="ia5e80fc4-2ed2-46e6-b7d9-80253cc58287"/>
            <ecf:CaseRepresentedPartyReference s:ref="ia08f3141-3ef6-40e9-93e1-c57d90125da9"/>
            <ecf:CaseRepresentedPartyReference s:ref="ib415aa39-7d0c-4125-a1cd-5d39d84b49de"/>
            <ecf:CaseRepresentedPartyReference s:ref="ia4bacba4-2638-4dfd-835e-5d4b74d9cf0c"/>
            <ecf:CaseRepresentedPartyReference s:ref="ie03e93b9-f077-43ba-8fa5-c88bc8cb8897"/>
        <aoc:LitigantGroupIdentification>
                <nc:IdentificationID>125996</nc:IdentificationID>
                <nc:IdentificationCategoryText>SourceParticipantID</nc:IdentificationCategoryText>
                <nc:IdentificationSourceText>LitigantGroupID</nc:IdentificationSourceText>
        </aoc:LitigantGroupIdentification>
        <aoc:LitigantGroupName>Name or Description of the Litigant Group</aoc:LitigantGroupName>
</aoc:LitigantGroup>
```

As noted in ecf:CaseOfficial previously within this document, the ecf:CaseOfficial element is used for three purposes: (1) to identify attorneys other than the filing attorney (e.g., co-counsel, opposing counsel, etc.); (2) to identify parties represented by an attorney; and 3) to communicate attorney bar numbers and bar affiliations for attorneys, judges, and others. A single ecf:CaseOfficial element can be used for both purposes simultaneously in the simplest circumstance where a single attorney represents one or more parties. This is shown in the example below.

Example 1: Single attorney-to-party relationship:

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="ef5a6a57-7897-4340-bc8d-5a24574fac9e"></nc:RoleOfPersonReference>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>013368</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <j:CaseOfficialRoleText>AdvisoryCounsel</j:CaseOfficialRoleText>
        <ecf:CaseRepresentedPartyReference s:ref=" ia08f3141-3ef6-40e9-93e1-c57d90125da9"/>
</ecf:CaseOfficial>
```

When using ecf:CaseOfficial, and when two or more attorneys represent the same parties, use multiple ecf:CaseOfficial elements for party representation.

Example 2: Two attorneys represent the same three parties:

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="ef5a6a57-7897-4340-bc8d-5a24574fac9e"></nc:RoleOfPersonReference>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>013368</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <j:CaseOfficialRoleText>AdvisoryCounsel</j:CaseOfficialRoleText>
        <ecf:CaseRepresentedPartyReference s:ref="afacc9ab-9bac-497d-80e1-ba4f611a0073"/>
        <ecf:CaseRepresentedPartyReference s:ref="idd9d4c7e-a2b3-4062-bb66-cea4b7fea8ac"/>
        <ecf:CaseRepresentedPartyReference s:ref="iee2bc585-4bc3-4428-b737-1d7036e5d30a"/>
</ecf:CaseOfficial>
```

```
<ecf:CaseOfficial>
        <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>011868</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <ecf:CaseRepresentedPartyReference s:ref="afacc9ab-9bac-497d-80e1-ba4f611a0073"/>
        <ecf:CaseRepresentedPartyReference s:ref="idd9d4c7e-a2b3-4062-bb66-cea4b7fea8ac"/>
        <ecf:CaseRepresentedPartyReference s:ref="iee2bc585-4bc3-4428-b737-1d7036e5d30a"/>
</ecf:CaseOfficial>
```

When parties are identified as CaseInitiatingParty, CaseRespondentParty, or CaseDefendantParty, then the case side type (e.g. plaintiff, defendant, appellant, appellee, etc.) associated with the case represented by the attorney can generally[1] be derived from the party's CaseParticipantRoleCode.

When there are no parties specifically identified within the message, then the attorney's case side type is determined from the j:CaseOfficialRoleText element within aoc:FilingAttorney. In the example below, j:CaseOfficialRoleText "RepresentsAppellant" identifies that this attorney represents one or more Appellant parties. The j:CaseOfficialRoleText code value is derived by appending the word "Represents" to the description of the party role type codes that are valid in the CRMDE for case litigants. In this case, the valid CRMDE value is Appellant, therefore, the code value is "RepresentsAppellant".

Example:

```
<aoc:FilingAttorney>
        <nc:RoleOfPersonReference s:ref="iced5c7bd-05b7-4ccd-8347-d7c1fe7acbf7"/>
        <j:JudicialOfficialBarMembership>
                <j:JudicialOfficialBarIdentification>
                        <nc:IdentificationID>019025</nc:IdentificationID>
                        <j:IdentificationJurisdictionNCICLISCode>AZ</j:IdentificationJurisdictionNCICLISCode>
                        <nc:IdentificationSourceText>State Bar of Arizona</nc:IdentificationSourceText>
                </j:JudicialOfficialBarIdentification>
        </j:JudicialOfficialBarMembership>
        <j:CaseOfficialRoleText>RepresentsAppellant</j:CaseOfficialRoleText>
</aoc:FilingAttorney>
```

9.1.6.1 Self-Represented Litigant Groups

As contradictory as this may sound, these situations do occur. For example, a husband and wife may be collectively self-represented. In the Court of Appeals Division One case below, multiple members of the same family are collectively self-represented:

1 CA	CV-02-0291	Richard Lawrence Morales, Jr
		Sarah Ann Morales
		Danielle Morales
		Ana Lea Morales

The collection of parties which have collective legal representation (i.e., a litigant group) is necessary knowledge for the appropriate application of filing fees in some courts. When parties are represented by attorneys, the ecf:CaseOfficial element (described above), which contains

[1] If the attorney represents more than one party, it is possible that the party role type for each party in CaseParticipantRoleCode is different. Additionally, an individual case party may have multiple party role types.

ecf:CaseRepresentedPartyReference elements, is used for this purpose. However since the definition of CaseOfficial is “the role played by an attorney in this case”, this element is not suited for the purpose of describing litigant groups composed entirely of self-represented litigants (and who are not attorneys). No other suitable element alternatives can be found in LegalXML or NIEM.

Therefore, the aoc:LitigantGroup complex element should be used. When the multiple self-represented parties have no attorneys, then this would appear as:

```
<aoc:LitigantGroup s:id="LitigantGroup_125996“ >
        <ecf:CaseRepresentedPartyReference s:ref="afacc9ab-9bac-497d-80e1-ba4f611a0073"/>
        <ecf:CaseRepresentedPartyReference s:ref="idd9d4c7e-a2b3-4062-bb66-cea4b7fea8ac"/>
        <ecf:CaseRepresentedPartyReference s:ref="iee2bc585-4bc3-4428-b737-1d7036e5d30a"/>
        <aoc:LitigantGroupName>Name or Description of the Litigant Group</aoc:LitigantGroupName>
</aoc:LitigantGroup>
```

9.1.7 Husband and Wife

Expressing the marriage relationship between two persons will require another schema extension(s) to utilize the NIEM nc:MarriageAssociation element. This element is already available in ecf:DomesticCase, where it has been extended to include scr:MarriageAssociationAugmentation.

Example:

```
<aoc:MarriageAssociation>
        <nc:PersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
        <nc:PersonReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/>
        <scr:MarriageAssociationAugmentation xmlns:scr="http://niem.gov/niem/domains/screening/2.0"/>
<aoc:MarriageAssociation/>
```

This relationship does not identify which person is the husband and which is the wife. The husband should be listed first followed by the wife.

9.1.8 Parent or Guardian and Family

The AOC, through schema extensions, is extending the use of NIEM elements designed for the specific purposes of describing parent, or guardian and family relationships, namely nc:ImmediateFamilyAssociation, aoc:GuardianAssociation, nc:PersonParentReference, nc:PersonChildReference, nc:PersonGuardianReference, and nc:PersonDependentReference.

GuardianAssociation is already available in ecf:JuvenileCase.

Example: This example shows that Conrad J. Fallstaff is the parent of Trevor Mathew Fallstaff, and they are in the same family.

```
<aoc:CaseAugmentation>
        <aoc:CaseParticipant>
                <ecf:EntityPerson s:id="ib26cc29d-3f33-43ff-bc28-ca002b96076f">
                        <nc:PersonName>
                                <nc:PersonGivenName>Conrad</nc:PersonGivenName>
                                <nc:PersonMiddleName>J.</nc:PersonMiddleName>
                                <nc:PersonSurName>Fallstaff</nc:PersonSurName>
                                <nc:PersonFullName>Conrad J. Fallstaff</nc:PersonFullName>
                        </nc:PersonName>
                </ecf:EntityPerson>
                <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
```

```
        </aoc:CaseParticipant>
        <aoc:CaseParticipant>
                <ecf:EntityPerson s:id="dd581f24-63b1-4eb7-9865-6d128db19cc4">
                        <nc:PersonCapability>
                                <nc:CapabilityDescriptionText>Minor</nc:CapabilityDescriptionText>
                        </nc:PersonCapability>
                        <nc:PersonName>
                                <nc:PersonGivenName>Trevor</nc:PersonGivenName>
                                <nc:PersonMiddleName>Mathew</nc:PersonMiddleName>
                                <nc:PersonSurName>Fallstaff</nc:PersonSurName>
                                <nc:PersonFullName>Trevor Mathew Fallstaff</nc:PersonFullName>
                        </nc:PersonName>
                </ecf:EntityPerson>
                <ecf:CaseParticipantRoleCode>Plaintiff</ecf:CaseParticipantRoleCode>
        </aoc:CaseParticipant>
        <aoc:GuardianAssociation >
                <nc:PersonGuardianReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
                <nc:PersonDependentReference s:ref="dd581f24-63b1-4eb7-9865-6d128db19cc4"/>
                <ecf:GuardianAssociationTypeCode>Parent</ecf:GuardianAssociationTypeCode>
        </aoc:GuardianAssociation>
</aoc:CaseAugmentation>
```

9.1.9 Minor, Incompetent Person, and Other Person Traits

Many (perhaps most) person characteristics, attributes or traits are already defined in LegalXML through the use of specialized elements, such as: PersonEyeColor or PersonHairColor. However, there are some personal traits or attributes which have not been accommodated, such as whether the person is a minor or an incompetent person.

Additional person traits not already accommodated in LegalXML should be communicated in nc:PersonCapability.

```
        <nc:PersonCapability>
                <nc:CapabilityDescriptionText>Minor</nc:CapabilityDescriptionText>
        </nc:PersonCapability>
```

Only use “PersonCability” when a trait specific element is not already available in LegalXML (e.g. PersonEyeColor or PersonHairColor).

Valid values for this particular use are;

- Minor
- IncapacitatedPerson

9.1.10Court Reporters and Transcriptionists

Court reporters and transcriptionists typically file transcripts but may also file other types of filings, such as motions for extension of time. Court reporters and transcriptionists are not parties in the case. Therefore court reporters and transcriptionists are not introduced with a party element in j:CaseAugmentation and are also not introduced with an attorney element. Instead, court reporters and transcriptionists should be introduced with j:CaseOtherEntity within j:CaseAugmentation.

Example:

```
<j:CaseAugmentation>
        <j:CaseCourt>
```

```
                <nc:OrganizationIdentification>
                        <nc:IdentificationID>courts.az.gov:0001</nc:IdentificationID>
                </nc:OrganizationIdentification>
                <nc:OrganizationLocation>
                        <nc:LocationName>Arizona Supreme Court, 1501 W. Washington, Phoenix, 87005 AZ</nc:LocationName>
                </nc:OrganizationLocation>
                <j:CourtName>Arizona Supreme Court</j:CourtName>
        </j:CaseCourt>
        <j:CaseOtherEntity>
                <nc:EntityPersonReference s:ref="ic1d76e82-0493-4177-a558-5bbd428b2f81"></nc:EntityPersonReference>
        </j:CaseOtherEntity>
</j:CaseAugmentation>
```

The nc:EntityPersonReference element within j:CaseOtherEntity should reference the court reporter or transcriptionist person elaboration as an aoc:CaseParticipant element within aoc:CaseAugmentation.

Example:

```
<aoc:CaseAugmentation>

        <aoc:CaseParticipant>
                <ecf:EntityPerson s:id="ic1d76e82-0493-4177-a558-5bbd428b2f81">
                        <nc:PersonName>
                                <nc:PersonGivenName>Tara</nc:PersonGivenName>
                                <nc:PersonSurName>Kramer</nc:PersonSurName>
                                <nc:PersonFullName>Tara Kramer</nc:PersonFullName>
                        </nc:PersonName>
                        <nc:PersonOtherIdentification>
                                <nc:IdentificationID>50439</nc:IdentificationID>
                                <nc:IdentificationCategoryText>CourtReporterCertificationNumber</nc:IdentificationCategoryText>
                        </nc:PersonOtherIdentification>
                        <ecf:PersonAugmentation>
                                <nc:ContactInformation>
                                        <nc:ContactEmailID>kramert@superiorcourt.maricopa.gov</nc:ContactEmailID>
                                        <nc:ContactMailingAddress>
                                                <nc:StructuredAddress>
                                                        <nc:LocationStreet>
                                                                <nc:StreetFullText>201 W. Jefferson, #13A</nc:StreetFullText>
                                                        </nc:LocationStreet>
                                                        <nc:LocationCityName>Phoenix</nc:LocationCityName>
                                                        <nc:LocationStateName>AZ</nc:LocationStateName>
                                                        <nc:LocationCountryFIPS10-4Code>US</nc:LocationCountryFIPS10-4Code>
                                                        <nc:LocationPostalCode>85003</nc:LocationPostalCode>
                                                </nc:StructuredAddress>
                                        </nc:ContactMailingAddress>
                                        <nc:ContactTelephoneNumber>
                                                <nc:FullTelephoneNumber>
                                                        <nc:TelephoneNumberFullID>6025064122</nc:TelephoneNumberFullID>
                                                </nc:FullTelephoneNumber>
                                        </nc:ContactTelephoneNumber>
                                </nc:ContactInformation>
                        </ecf:PersonAugmentation>
                </ecf:EntityPerson>
                <ecf:CaseParticipantRoleCode>CourtReporter</ecf:CaseParticipantRoleCode>
        </aoc:CaseParticipant>
```

When the court reporter or transcriptionist is affiliated with an organization, then the organization should be elaborated as an aoc:CaseParticipant with an ecf:CaseParticipantRoleCode of either “CourtReporterOrganization” or “TranscriptionistOrganization” as appropriate. Example:

```
        <aoc:CaseParticipant>
                <aoc:EntityOrganization s:id="iff69a7d0-c583-421e-8078-bded00aff8e6">
```

```
                    <nc:OrganizationName>Maricopa County Superior Court</nc:OrganizationName>
                </aoc:EntityOrganization>
                <ecf:CaseParticipantRoleCode>CourtReporterOrganization</ecf:CaseParticipantRoleCode>
            </aoc:CaseParticipant>
```

The relationship between the court reporter or transcriptionist and their organization of affiliation should be defined using ecf:PersonOrganizationAssociation. The ecf:EntityAssociationType should be the same as the ecf:CaseParticipantRoleCode used for the organization.

Example:

```
<ecf:PersonOrganizationAssociation>
    <nc:PersonReference s:ref="ic1d76e82-0493-4177-a558-5bbd428b2f81"/>
    <nc:OrganizationReference s:ref="iff69a7d0-c583-421e-8078-bded00aff8e6"/>
    <ecf:EntityAssociationTypeCode>CourtReporterOrganization</ecf:EntityAssociationTypeCode>
</ecf:PersonOrganizationAssociation>
```

9.1.11Judges and Judicial Officers

Generally, judges and judicial officers assigned to a case should be introduced using j:CaseJudge in j:CaseAugmentation. However, judges assigned to the case or identified as a hearing judge during the Clerk Review function should be introduced as j:CourtEventJudge in aoc:CaseCourtEvent within the RecordDocketingMessage of the RFR.

The Judge should be elaborated in aoc:CaseParticipant.

Example 1: Judge assigned to the case.

```
<j:CaseAugmentation>
    <j:CaseCourt>
        <nc:OrganizationIdentification>
            <nc:IdentificationID>courts.az.gov:0002</nc:IdentificationID>
        </nc:OrganizationIdentification>
        <nc:OrganizationLocation>
            <nc:LocationName>Court of Appeals Division 1, 1501 West Washington, Phoenix AZ 85007</nc:LocationName>
        </nc:OrganizationLocation>
        <j:CourtName>Court of Appeals Division 1</j:CourtName>
    </j:CaseCourt>
    <j:CaseJudge>
        <nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
        <j:CaseOfficialRoleText>PresidingJudge</j:CaseOfficialRoleText>
    </j:CaseJudge>
    </j:CaseAugmentation>
```

Example 2: Hearing Judge assigned during Clerk Review (in RecordDocketingMessage).

```
<aoc:CaseCourtEvent>
        <j:CourtEventJudge>
            <nc:RoleOfPersonReference s:ref=" ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
        </j:CourtEventJudge>
        <j:CourtEventSchedule>
            <nc:ScheduleActivityText>Hearing</nc:ScheduleActivityText>
            <nc:ScheduleDate>
                <Date>2010-12-06-07:00</Date>
            </nc:ScheduleDate>
            <nc:ScheduleDayStartTime>08:30:00.000-07:00</nc:ScheduleDayStartTime>
            <ecf:CourtEventEnteredonDocketDate/>
            <ecf:CourtEventTypeCode/>
```

```
                    <ecf:CourtEventLocationCode>Location12</ecf:CourtEventLocationCode>
                    <ecf:CourtEventLocationText>Room 100</ecf:CourtEventLocationText>
                <j:CourtEventCourt>
                    <nc:OrganizationIdentification>
                        <nc:IdentificationID>0708</nc:IdentificationID>
                    </nc:OrganizationIdentification>
                    <nc:OrganizationLocation>
                        <nc:LocationName>Dreamy Draw, 18380 N. 40th Street, Phoenix AZ 85032</nc:LocationName>
                    </nc:OrganizationLocation>
                        <j:CourtDivisionText>Department B</j:CourtDivisionText>
                        <j:CourtName>Maricopa County Justice Court</j:CourtName>
                    </j:CourtEventCourt>
            </aoc:CaseCourtEvent>
```

Example 3: Judge assigned to lower court case referenced from an appellate case.

```
<aoc:AppellateCase>
        <nc:ActivityIdentification>
            <nc:IdentificationID>Subsequent</nc:IdentificationID>
            <nc:IdentificationCategoryText>FilingType</nc:IdentificationCategoryText>
        </nc:ActivityIdentification>
        <nc:CaseTitleText>State vs. e-Filing Vendor</nc:CaseTitleText>
        <nc:CaseTrackingID>CV-07-0045</nc:CaseTrackingID>
        <nc:CaseDocketID/>
        <aoc:AppellateCaseOriginalCase>
            <nc:CaseTrackingID>V1300CV201600011</nc:CaseTrackingID>
            <nc:CaseDocketID/>
            <j:CaseAugmentation>
                <aoc:CaseCourt>
                    <nc:OrganizationIdentification>
                        <nc:IdentificationID>courts.az.gov:1300</nc:IdentificationID>
                    </nc:OrganizationIdentification>
                    <j:CourtName>Yavapai County – Verde Valley</j:CourtName>
                    <aoc:LocationAugmentation>
                        <aoc:LocationIdentification>
                            <nc:IdentificationID>1301</nc:IdentificationID>
                        </aoc:LocationIdentification>
                    </aoc:LocationAugmentation>
                </aoc:CaseCourt>

                <j:CaseJudge>
                    <nc:RoleOfPersonReference s:ref="ib26cc29d-3f33-43ff-bc28-ca002b96076f"/>
                    <j:CaseOfficialRoleText>PresidingJudge</j:CaseOfficialRoleText>
                </j:CaseJudge>
            </j:CaseAugmentation>
            <aoc:CaseAugmentation>
                <aoc:CaseParticipant>
                    <ecf:EntityPerson s:id="ib26cc29d-3f33-43ff-bc28-ca002b96076f">
                        <nc:PersonName>
                            <nc:PersonGivenName>Howard</nc:PersonGivenName>
                            <nc:PersonMiddleName>S.</nc:PersonMiddleName>
                            <nc:PersonSurName>Hindsight</nc:PersonSurName>
                            <nc:PersonFullName>Howard S. Hindsight</nc:PersonFullName>
                        </nc:PersonName>
                    </ecf:EntityPerson>
                    <ecf:CaseParticipantRoleCode>SentencingJudge</j:CaseOfficialRoleText>
                </aoc:CaseParticipant>
            </aoc:CaseAugmentation>
        </aoc:AppellateCaseOriginalCase>
```

9.1.12Arbitrator, Mediator, Judge Pro Tem, and Special Master

Arbitrators, Mediators, Judge Pro Tems, and Special Masters should be marked up the same as Judges and Judicial Officers, except that j:CaseOfficialRoleText value should be “Arbitrator”, “Mediator”, “JudgeProTem”, or “SpecialMaster” as appropriate.

9.1.13Subpoenaed Person

This is the person who is the target of a subpoena (i.e., will be served).

Persons who are to be served a subpoena are not necessarily parties in the case. Therefore, subpoenaed persons are not introduced with a party element in j:CaseAugmentation and are also not introduced with an attorney element (this does not mean that a person who is an attorney will never be the target of a subpoena). Instead, subpoenaed persons should be introduced with j:CaseOtherEntity within j:CaseAugmentation[2]. The subpoenaed person should be elaborated in aoc:CaseParticipant with an ecf:CaseParticipantRoleCode value of “SubpoenaedEntity”.

Example:

```
<j:CaseAugmentation>
        <j:CaseCourt>
                <nc:OrganizationIdentification>
                        <nc:IdentificationID>courts.az.gov:0001</nc:IdentificationID>
                </nc:OrganizationIdentification>
                <nc:OrganizationLocation>
                        <nc:LocationName>Arizona Supreme Court, 1501 W. Washington, Phoenix, 87005 AZ</nc:LocationName>
                </nc:OrganizationLocation>
                <j:CourtName>Arizona Supreme Court</j:CourtName>
        </j:CaseCourt>

        <j:CaseOtherEntity>
                <nc:EntityPersonReference s:ref="ic1d76e82-0493-4177-a558-5bbd428b2f8q"></nc:EntityPersonReference>
        </j:CaseOtherEntity>
</j:CaseAugmentation>


<aoc:CaseAugmentation>
        <aoc:CaseParticipant>
                <ecf:EntityPerson s:id="ic1d76e82-0493-4177-a558-5bbd428b2f8q">
                        <nc:PersonName>
                                <nc:PersonGivenName>Conrad</nc:PersonGivenName>
                                <nc:PersonMiddleName>J.</nc:PersonMiddleName>
                                <nc:PersonSurName>Fallstaff</nc:PersonSurName>
                                <nc:PersonFullName>Conrad J. Fallstaff</nc:PersonFullName>
                        </nc:PersonName>
                </ecf:EntityPerson>
                <ecf:CaseParticipantRoleCode>SubpoenaedEntity</j:CaseOfficialRoleText>
        </aoc:CaseParticipant>
</aoc:CaseAugmentation>
```

[2] NIEM contains element j:CourtOrderDesignatedSubject - *A person to which a court order applies. The subject could be a Protected Party, the recipient of a Summons or Subpoena, the subject of a Warrant, etc.*

Note: the above example is abbreviated – it is expected that contact information would also be provided for the subpoenaed person.

9.1.14XML Referential Consistency

There needs to be a few rules just to keep things orderly and understandable. For example, if the document filer is an attorney, then the appropriate element should be used to identify the attorney. Specifically, if the document filer is an attorney, then aoc:FilingAttorneyID within ecf:DocumentMetadata should be used and the s:ref attribute should correspond to a CaseParticipant which is also referenced using the same s:ref value from an aoc:FilingAttorney element within j:CaseAugmentation.

In other words, only use attorney reference elements, such as aoc:FilingAttorneyID and aoc:FilingAttorney if the case participant is an attorney. Likewise, only use case party reference elements (e.g. aoc:FilingParty, aoc:FilingPartyID) if the case participant is a case party.

Also, never intermix these entity references; e.g., **do not** use aoc:FilingAttorneyID and aoc:FilingParty for the same case participant.

So if j:CaseAugmentation contained an aoc:FilingParty (or j:CaseInitiatingParty or j:CaseRespondentParty) and did not contain aoc:FilingAttorney, then we should expect FilingAttorneyID for a document to be empty, and the document's FilingPartyID to be present and reference the aoc:FilingParty person.

9.2 Request for Provisional Remedy

Standard Definition	XML structure to define the property in question, the obligation, and the parties involved in a request for a provisional remedy.
AOC Usage Notes	Communicating information contained within a request for provisional remedy involves multiple elements within the case element. AOC extensions to ECF were required. aoc:Obligation elements are used to communicate the various claimed amounts owed (e.g. debt amount, late charge amount, interest amount, and attorney fees amount). Each individual claim amount is communicated in a separate Obligation element. Within aoc:Obligation, two sub-elements are used – aoc:ObligationDueAmount identifies the amount owed (in U.S. currency) and nc:ObligationCategoryText identifies the type of claim. The property that the plaintiff is seeking to remove is identified by the NIEM 'Item' element and is referenced from aoc:CaseCourtEvent using ecf:CourtEventActor. In NIEM an 'Item' is 'an article or a thing'. An 'Item' is 'property' in its most abstract form. The description of the property to be removed is contained in the Item's nc:ItemDescriptionText element. The owners of the property are identified within ItemOwner by references (e.g. nc:EntityPersonReference or nc:OrganizationReference) using the s:ref attribute to specify the defendants who are typically identified as aoc:CaseParticipants. Even though some e-filing systems may limit the number of defendant property owners, who can be identified, the NIEM ItemOwner element permits an unlimited number of owners to be specified. The Obligations are tied to the property (e.g. 'Item') through an extension which permits Obligation elements to be included within 'Item'. The aoc:CaseAugmentation element is extended to include 'Item'. The Petition for Provisional Remedy is modeled as a CaseCourtEvent within j:CaseAugmentation. CaseCourtEvent is an existing element within j:CaseAugmentation. CaseCourtEvent is derived

	from CourtEventType which in turn is derived from ActivityType. ActivityType provides CaseCourtEvent with the nc:ActivityDescriptionText element which can be used to describe the nature or purpose of the event, such as “Request for Provisional Remedy Order”. There are two additional NIEM elements that are native to ActivityType that are used as well: (1) aoc:ActivityCategoryText and (2) nc:ActivityReasonText. aoc:ActivityCategoryText is used to define the type of the CaseCourtEvent activity. A given filing (e.g. CoreFilingMessage) can have multiple CaseCourtEvents. The type of event needs to be understood. This is done by setting a value in the aoc:ActivityCategoryText element, such as “ProvisionalRemedyPetition”. Only AOC/XML approved values are permitted to be used within aoc:ActivityCategoryText. On occasion, it may be necessary or desirable to express the type of event using a ‘docket’ code that is meaningful to the recipient CMS/EDMS. ecf:CourtEventTypeCode can be used for this purpose. nc:ActivityReasonText is used to communicate the reason for the CaseCourtEvent. For a “ProvisionalRemedyPetition” type, the reason description may be the literal text displayed on the civil application screen adjacent to the radio button the filer selected, such as “Defendant(s) was about to remove permanently from the state and refused to secure the debt”. Although aoc:ActivityCategoryText and nc:ActivityReasonText are ‘native’ to nc:ActivityType in NIEM, LegalXML did not include these elements in their NIEM-Core.xsd. Therefore an extension is required. The CaseCourtEvent for the ProvisionalRemedyPetition needs to be ‘tied’ to the information about the property to be removed (e.g. ‘Item’). This is done by using the existing ecf:CourtEventActor element. Since the property is a NIEM ItemType, the nc:RoleOfItemReference element must be used to reference the ‘Item’. Many times it may be useful to identify the submitted document or documents that are the subject of the CaseCourtEvent. LegalXML provides ecf:CourtEventDocument (of type DocumentType) and ecf:CourtEventDocumentReference for this purpose. If using ecf:CourtEventDocument, then this requires the full specification of the document and all its metadata (just as is required for FilingLeadDocument or FilingConnectedDocument). If the referenced document has already been specified in FilingLeadDocument or FilingConnectedDocument, it is needlessly redundant to provide the very same information a second or more time. To avoid this circumstance, instead use the ecf:CourtEventDocumentReference element. This element allows a document that is fully described elsewhere in the XML (e.g., FilingLeadDocument) to be identified using the s:ref attribute.
Partial Example	<j:CaseAugmentation> <!—Some elements skipped --> <!-- Provisional Remedy Application--> <aoc:CaseCourtEvent> <nc:ActivityDescriptionText>Application for Provisional Remedy Order</nc:ActivityDescriptionText> <ecf:CourtEventEnteredOnDocketDate> <nc:DateTime xsi:nil="true"/> </ecf:CourtEventEnteredOnDocketDate> <ecf:CourtEventTypeCode/> <ecf:CourtEventDocumentReference s:ref="cf47239b-daa3-4e74-a9fa-05f767428c88"/> <ecf:CourtEventActor> <nc:RoleOfItemReference s:ref="ItemUUID"/> </ecf:CourtEventActor> <aoc:ActivityCategoryText>ProvisionalRemedyApplication</aoc:ActivityCategoryText> <aoc:ActivityReasonText>Reason for taking property from the Defendant(s)</aoc:ActivityReasonText> </aoc:CaseCourtEvent> <!—Some elements skipped --> </j:CaseAugmentation> <aoc:CaseAugmentation> <!—Some elements skipped -->

```
<!-- Extension for Item and Obligations -->
<aoc:Item s:id="ItemUUID">
    <nc:ItemDescriptionText>Property Description</nc:ItemDescriptionText>
    <aoc:ItemOwner>
        <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
    </aoc:ItemOwner>
    <aoc:ItemOwner>
        <nc:EntityPersonReference s:ref="a1c0df96-e902-4b7e-a20e-ebfe35298857"/>
    </aoc:ItemOwner>
    <aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">1500.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>DebtAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
    </aoc:Obligation>
    <aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">100.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>LateChargesAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
    </aoc:Obligation>
    <aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">200.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>InterestAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
    </aoc:Obligation>
    <aoc:Obligation>
        <nc:ObligationDueAmount nc:currencyCode="USD">500.00</nc:ObligationDueAmount>
        <nc:ObligationCategoryText>AttorneyFeesAmount</nc:ObligationCategoryText>
        <nc:ObligationEntity>
            <nc:EntityOrganizationReference s:ref="ebd4f6ec-3ced-4351-a6a6-673555e00cc9"/>
        </nc:ObligationEntity>
    </aoc:Obligation>
</aoc:Item>
    <!—Some elements skipped -->
</aoc:CaseAugmentation>
```

9.3 Evidence of Service

Standard Definition	XML structure to provide prove that service was performed.
AOC Usage Notes	**Overview** Evidence of Service in this context is a general description of affecting service upon a person or organization. The scope of Evidence of Service as these specifications are applied to e-filing depends on the court jurisdiction and case type (e.g., General Jurisdiction, Civil case type). Depending on the court jurisdiction and case type, the type of evidence required to show proof of service is different. In the Limited Jurisdiction, Evidence of Service includes the Evidence of Service by Certified Mail lead document and a USPS “Green Card” connected document. In the General Jurisdiction, Evidence of Service typically consists solely of a Certificate of Service (aka Affidavit of Service) lead document, but may include attached connected documents (e.g., signed Summons). **General Evidence of Service Implementation** The aoc:CaseCourtEvent complex element, located within j:CaseAugmentation, is used to describe a Service event (e.g., the act of a person entity being served). Each Service event included in a submission message is separately described within their own aoc:CaseCourtEvent complex element. For example, if a submission contains multiple Evidence of Service

	documents, then each Evidence of Service document would be described in a separate aoc:CaseCourtEvent. The date of service is communicated within nc:ActivityDate. There must only be one service date per Evidence of Service document. NOTE: When the date of service is unknown (e.g., not provided) or is illegible (e.g., the handwritten date applied to a USPS green card cannot be read), then an empty nc:ActivityDate element is used. The empty nc:ActivityDate element includes: a) an xsi:nil attribute that is set to "true"; and b) an s:metadata attribute that references the s:id value contained in nc:ActivityStatus located within the same instance of the aoc:CaseCourtEvent element as nc:ActivityDate. The nc:ActivityStatus element consists of an nc:StatusText child element that contains the value "unavailable" or "illegible", indicating that the date is either not provided or cannot be read, respectively. (2nd example below) The consuming RvFR or RFR web service must interpret the unavailable or illegible service date per the corresponding court's business requirements. The optional nc:ActivityDescriptionText element contains a value that narratively describes an activity or event, eg., "Complaint and Summons Served". The optional aoc:ActivityCategoryText element contains a codified value that characterizes the type of event (e.g., Event Code) associated with the submission. Only AOC-approved statewide document type or event type code values are permitted to be used in this element (e.g., COMS = Complaint Served, SUMS = Summons Served). In the previous example, COMS represents a Complaint that was served, where the operative word is "served" (past tense). Note: A document type is NOT the same as event type. The act of issuing a Summons, for example, is a different type of event than the act of serving a Summons. As such, the act of issuing and serving a Summons must be be assigned different event type codes, i.e., one for issuing and one for serving. The Evidence of Service lead document is associated with aoc:CourtCaseEvent via the ecf:CourtEventDocumentReference element. There is a specific relationship between the lead document (e.g., Evidence of Service) and its connected document (e.g., USPS Green Card); therefore, ecf:CourtEventDocumentReference is NOT used to reference connected documents. Only one document reference is permitted within an instance of aoc:CourtCaseEvent. The served case participant (e.g., Defendant) is referenced within ecf:CourtEventActor through the s:id attribute. **General Information about Associating Case Participants to Evidence of Service Documents** The Evidence of Service document identifies a case participant (e.g., Defendant) served along with the date of service. Each served case participant is associated with one FilingLeadDocument and, depending on the court jurisdiction and case type, one supporting FilingConnectedDocument. There can be one-to-many e-filed Evidence of Service documents contained within a given submission. Each Evidence of Service document provides proof-of-service to one and only one case participant. Each lead and connected document is associated with the person affecting service ("Server") and each case participant or prospective case participant receiving service ("Servee"). In this context, the Server can be an officer of the court (e.g,. Process Server, Constable, Attorney) or a litigant (e.g., Plaintiff, Defendant). The Server is identified as the *Document Signer* (i.e., aoc:DocumentSigner) of the Evidence of Service *lead* document or its equivalent. The details for the Document Signer of the lead Evidence of Service document can be located using the s:ref attribute that points to the Server's s:id attribute. (***Important Note**: Per section the DocumentSigner section of this document, "Some EFSPs may not solicit the Document Signer identity unless it is needed by an EFSP to produce a form. Instead these EFSPs may assume that the submission Document Filer is also the Document Signer for all documents delivered by the EFSP."*) Additionally, the details for the Servee can be located using the s:ref attribute that points to the Servee's s:id attribute.

	NOTE: aoc:FilingAttorneyID and aoc:FilingPartyID are present but EMPTY for filing lead and filing connected documents in Evidence of Service submissions. **Introducing New Case Participants and Providing Corrected Case Participant Information** PLACEHOLDER FOR POTENTIAL FUTURE SPECIFICATION LANGUAGE
Examples	Example 1: Service on an Organization occurred and the date of service is known and legible. The EFSP (FAMDE) collected the identity of the Process Server, therefore, aoc:DocumentSigner references the Process Server (ServerGUID). <aoc:CaseCourtEvent> <nc:ActivityDate> <nc:Date>2011-10-12-07:00</nc:Date> </nc:ActivityDate> <nc:ActivityDescriptionText>Complaint and Summons Served</nc:ActivityDescriptionText> <ecf:CourtEventEnteredOnDocketDate> <nc:DateTime xsi:nil="true"/> </ecf:CourtEventEnteredOnDocketDate> <ecf:CourtEventTypeCode/> <ecf:CourtEventActor> <nc:RoleOfOrganizationReference s:ref="ServedOrganizationEntityGUID"/> </ecf:CourtEventActor> <aoc:ActivityCategoryText>EvidenceOfService</aoc:ActivityCategoryText> <ecf:CourtEventDocumentReference s:ref="add830554-e8c4-4a97-9dad-58632a059f13"/> </aoc:CaseCourtEvent> … <aoc:FilingLeadDocument s:id="add830554-e8c4-4a97-9dad-58632a059f13"/> … <ncDocumentTitleText>Evidence of Service</ncDocumentTitleText> … <ncDocumentMetaData> … <aoc:DocumentSigner> <nc:EntityPersonReference s:ref=”ServerGUID”/> </aoc:DocumentSigner> </ncDocumentMetaData> … </aoc:FilingLeadDocument> Example 2: Service on a Person occurred and the date of service is known and legible, but the identify of the Document Signer was not collected by the EFSP (FAMDE), therefore aoc:DocumentSigner references the Document Filer. <aoc:CaseCourtEvent> <nc:ActivityDate> <nc:Date>2011-10-12-07:00</nc:Date> </nc:ActivityDate> <nc:ActivityDescriptionText>Complaint and Summons Served</nc:ActivityDescriptionText> <ecf:CourtEventEnteredOnDocketDate> <nc:DateTime xsi:nil="true"/> </ecf:CourtEventEnteredOnDocketDate> <ecf:CourtEventTypeCode/> <ecf:CourtEventActor> <nc:RoleOfPersonReference s:ref="ServedPersonEntityGUID"/> </ecf:CourtEventActor> <aoc:ActivityCategoryText>EvidenceOfService</aoc:ActivityCategoryText> <ecf:CourtEventDocumentReference s:ref=" add830554-e8c4-4a97-9dad-58632a059f13"/> </aoc:CaseCourtEvent> … <aoc:FilingLeadDocument s:id="add830554-e8c4-4a97-9dad-58632a059f13"/> … <ncDocumentTitleText>Evidence of Service</ncDocumentTitleText> … <ncDocumentMetaData> … <aoc:DocumentSigner>

```
                        <nc:EntityPersonReference s:ref=”DocumentFilerGUID”/>
                    </aoc:DocumentSigner>
                </ncDocumentMetaData>
...
</aoc:FilingLeadDocument>
```

Example 3: Service occurred, but Service date is undefined, unknown, or illegible. The identify of the Document Signer was not collected by the EFSP (FAMDE), therefore aoc:DocumentSigner references the Document Filer.

```
<aoc:CaseCourtEvent>
        <nc:ActivityDate  s:metadata="e12f51d1-d554-476f-b198-5711c2e8a738" xsi:nil="true"/>
        <nc:ActivityDescriptionText>Complaint and Summons Served</nc:ActivityDescriptionText>
        <nc:ActivityStatus s:id="e12f51d1-d554-476f-b198-5711c2e8a738">
                <nc:StatusText>Unavailable</nc:StatusText>
        </nc:ActivityStatus>
        <ecf:CourtEventEnteredOnDocketDate>
                <nc:DateTime xsi:nil="true"/>
        </ecf:CourtEventEnteredOnDocketDate>
        <ecf:CourtEventTypeCode/>
        <ecf:CourtEventActor>
                <nc:RoleOfOrganizationReference s:ref="iba4718b0-6d50-4f0e-b5a8-c95ae67362df"/>
        </ecf:CourtEventActor>
        <aoc:ActivityCategoryText>EvidenceOfService</aoc:ActivityCategoryText>
        <ecf:CourtEventDocumentReference s:ref="add830554-e8c4-4a97-9dad-58632a059f13"/>
</aoc:CaseCourtEvent>
...
<aoc:FilingLeadDocument s:id="add830554-e8c4-4a97-9dad-58632a059f13"/>
...
        <ncDocumentTitleText>Evidence of Service</ncDocumentTitleText>
...
        <ncDocumentMetaData>
...
                <aoc:DocumentSigner>
                        <nc:EntityPersonReference s:ref=”DocumentFilerGUID”/>
                </aoc:DocumentSigner>
        </ncDocumentMetaData>
...
</aoc:FilingLeadDocument>
```

Example 4: Service with an associated proof-of-service USPS “Green Card”. The EFSP (FAMDE) collected the identity of the Process Server, therefore, aoc:DocumentSigner references the Process Server (ServerGUID).

```
<aoc:CaseCourtEvent>
        <nc:ActivityDate>
                <nc:Date>2011-10-12-07:00</nc:Date>
        </nc:ActivityDate>
        <nc:ActivityDescriptionText>Complaint and Summons Served</nc:ActivityDescriptionText>
        <ecf:CourtEventEnteredOnDocketDate>
                <nc:DateTime xsi:nil="true"/>
        </ecf:CourtEventEnteredOnDocketDate>
        <ecf:CourtEventTypeCode/>
        <ecf:CourtEventActor>
                <nc:RoleOfOrganizationReference s:ref="ServedOrganizationEntityGUID"/>
        </ecf:CourtEventActor>
        <aoc:ActivityCategoryText>EvidenceOfService</aoc:ActivityCategoryText>
        <ecf:CourtEventDocumentReference s:ref="add830554-e8c4-4a97-9dad-58632a059f13"/>
</aoc:CaseCourtEvent>
...
<aoc:FilingLeadDocument s:id="add830554-e8c4-4a97-9dad-58632a059f13"/>
...
        <ncDocumentTitleText>Evidence of Service</ncDocumentTitleText>
...
        <ncDocumentMetaData>
...
                <aoc:DocumentSigner>
                        <nc:EntityPersonReference s:ref=”ServerGUID”/>
                </aoc:DocumentSigner>
```

	</ncDocumentMetaData> … </aoc:FilingLeadDocument> … <aoc:FilingConnectedDocument s:id="add830554-e8c4-4a97-9dad-58632a054s22"/> … <ncDocumentTitleText>USPS Proof of Service Green Card</ncDocumentTitleText> … <ncDocumentMetaData> … <aoc:DocumentSigner> <nc:EntityOrganizationReference s:ref=”ServedOrganizationEntityGUID”/> </aoc:DocumentSigner> </ncDocumentMetaData> … </aoc:FilingLeadDocument>

9.4 Judge Action Indicator (JAI)

Standard Definition	Element for the user to indicate that there is a request for a judge to review a document.
AOC Usage Notes	When the filer is requesting Judge Review Action on a Lead Document, then the <aoc:ActivityRequest> will appear in the CoreFilingMessage on the FilingLeadDocument. When Judge Review Action is selected in Clerk Review, then the <aoc:ActivityRequest> will appear on the ReviewedLeadDocument within the RecordFilingRequest.
Examples	<aoc:FilingLeadDocument s:id="Lead1"> <nc:DocumentDescriptionText>Motion to Dismiss Appeal</nc:DocumentDescriptionText> <nc:DocumentIdentification> <nc:IdentificationID>21a42e21-431e-4686-8427-4132e4a7875a1</nc:IdentificationID> <nc:IdentificationCategoryText>DocumentID</nc:IdentificationCategoryText> </nc:DocumentIdentification> <!—Some elements SKIPPED --> <aoc:DocumentTitleText>Motion to Dismiss Appeal</aoc:DocumentTitleText> <aoc:DocumentCategoryID>MTD</aoc:DocumentCategoryID> <aoc:DocumentCategoryName>Motion</aoc:DocumentCategoryName> <!-- Judge Action Indicator --> <aoc:ActivityRequest> <nc:ActivityDescriptionText>JudgeReviewRequest</nc:ActivityDescriptionText> </aoc:ActivityRequest> /aoc:FilingLeadDocument> This XML example shows a Lead Document within the CoreFilingMessage where the filer has request a Judge Review action.

10 Appendix: Valid CaseParticipantRoleCode Values

AOC-defined domain values for case participants:

DESCRIPTION	State-wide Standard Codes	AJACS Codes	Litigant? (Y/N)
3rd Party Defendant	3rdPartyDefendant	E	Y
3rd Party Plaintiff	3rdPartyPlaintiff	Q	Y
Adoptee	Adoptee	AE	N
Adoptor	Adoptor	AR	N
Affiant	Affiant	AF	N
Appellant	Appellant	A	Y
Appellee	Appellee	B	Y
Applicant	Applicant	F	N
Arbitrator	Arbitrator	AB	N
Attorney	Attorney	Y	N
Attorney General	AttorneyGeneral	AG	N
Attorney Law Firm	AttorneyLawFirm		N
Autma Minor	AutmaMinor	AM	N
Bail Bondsman	BailBondsman	Z	N
Bailiff	Bailiff		N
Bride	Bride	BR	N
Child's Best Interest Attorney	Child'sBestInterestAttorney	CA	N
Claimant	Claimant	K	Y
Clerk Of Court	ClerkOfCourt		N
Conflict/Court Appointed	Conflict/CourtAppointed	CG	Y
Conservator	Conservator	C	N
Constable	Constable		N
Contestee	Contestee	CE	Y
Contestor	Contestor	CR	Y
Counter Defendant	CounterDefendant	CT	Y
Counter Plaintiff	CounterPlaintiff	CP	Y
Court Appointed	CourtAppointed	CB	Y
Court Appointed Investigator	CourtAppointedInvestigator	CI	N
Court Reporter	CourtReporter		N
Court Reporter Organization	CourtReporterOrganization		N
Cross Claimant / Plaintiff	CrossClaimant	CC	Y
Cross Defendant	CrossDefendant	CD	Y
Decedent	Decedent	U	N
Defendant	Defendant	D	Y
Demandant	Demandant	DM	N

CivilCase

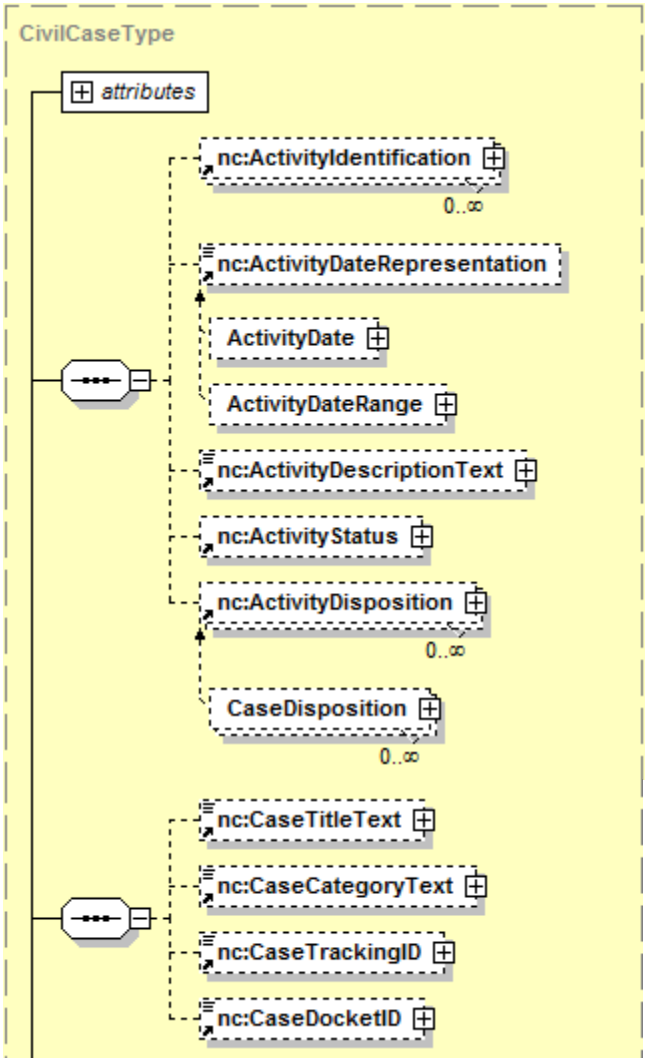
DESCRIPTION	State-wide Standard Codes	AJACS Codes	Litigant? (Y/N)
Deputy Clerk Of Court	DeputyClerkOfCourt		N
Fiduciary	Fiduciary	CF	N
Garnishee	Garnishee	GE	N
Groom	Groom	BG	N
Interested Party	InterestedParty	IT	N
Interpreter	Interpreter		N
Intervenor	Intervenor	IR	Y
Judge	Judge	JDG	N
Judge Pro Tem	JudgeProTem	JPT	N
Judicial Staff	JudicialStaff		N
Legal Defender	LegalDefender	LD	Y
Local Counsel	LocalCounsel		N
Marriage Applicant (1)	MarriageApplicant1	F1	N
Marriage Applicant (2)	MarriageApplicant2	F2	N
Mediator	Mediator	MD	N
Medical Examiner	MedicalExaminer	PH	N
Minor (Civil)	Minor	M	N
Minor Victim	MinorVictim	MV	N
Objector / Respondent	ObjectorRespondent	OR	N
Online Dispute Resolution Vendor	ODRVendor	ODRVendor	N
Other	Other	X	N
Patient	Patient	PT	N
Payer	Payer	PR	N
Payor	Payor	PO	N
Person	Person	PN	N
Personal Representative	PersonalRepresentative	R	Y
Personal Representative	PersonalRepresentative	R	N
Petitioner	Petitioner	PE	Y
Plaintiff	Plaintiff	P	Y
Pro Hac Vice	ProHacVice		N
Probationer	Probationer	PB	N
Process Server	ProcessServer		N
Property	Property	PY	N
Proposed Fiduciary	ProposedFiduciary	PD	N
Prosecutor	Prosecutor	PS	N
Protected Person	ProtectedPerson	PP	N
Public Defender	PublicDefender	PF	N
Real Party In Interest	RealPartyInInterest	I	N

CivilCase

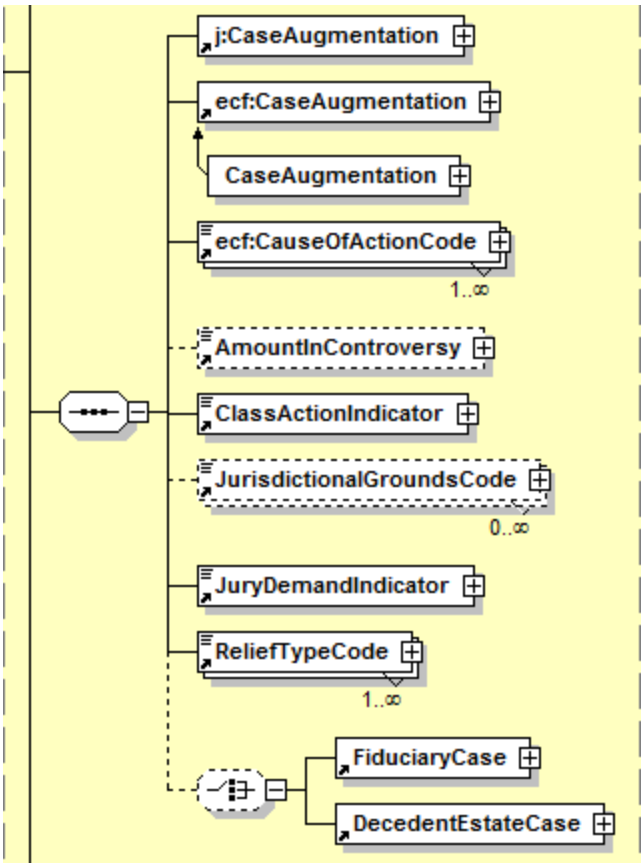
DESCRIPTION	State-wide Standard Codes	AJACS Codes	Litigant? (Y/N)
Respondent	Respondent	RT	Y
Sentencing Judge	SentencingJudge		N
Special Administrator	SpecialAdministrator	SA	N
Special Master	SpecialMaster	SM	N
State	State	ST	N
Subpoenaed Entity	SubpoenaedEntity		N
Surety Company	SuretyCompany	S	N
Testamentary Guardian	TestamentaryGuardian	TG	N
Testator	Testator	TR	N
Transcriptionist	Transcriptionist		N
Transcriptionist Organization	TranscriptionistOrganization		N
Trust Name	TrustName	TN	N
Trustee	Trustee	T	N
Underwriter	Underwriter	UW	N
Victim	Victim	V	N
Ward	Ward	W	N
Ward / Protected Person	WardProtectedPerson	WP	N
Will Filer	WillFiler	WF	N
Will Release	WillRelease	WR	N
Witness (Criminal)	Witness	WT	N

11 Schema View in XMLSpy

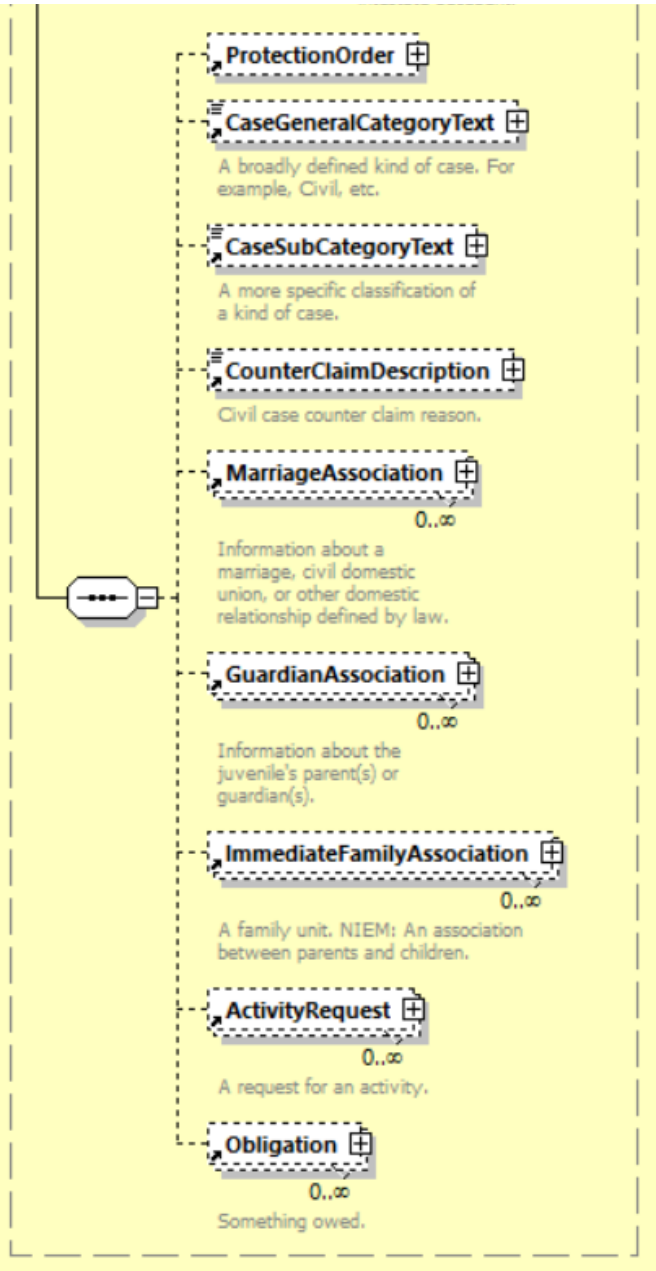
CivilCase



CivilCase


j:CaseAugmentation
ecf:CaseAugmentation
CaseAugmentation
ecf:CauseOfActionCode
1..∞
AmountInControversy
ClassActionIndicator
JurisdictionalGroundsCode
0..∞
JuryDemandIndicator
ReliefTypeCode
1..∞
FiduciaryCase
DecedentEstateCase

CivilCase


ProtectionOrder
CaseGeneralCategoryText
A broadly defined kind of case. For example, Civil, etc.
CaseSubCategoryText
A more specific classification of a kind of case.
CounterClaimDescription
Civil case counter claim reason.
MarriageAssociation
0..∞
Information about a marriage, civil domestic union, or other domestic relationship defined by law.
GuardianAssociation
0..∞
Information about the juvenile's parent(s) or guardian(s).
ImmediateFamilyAssociation
0..∞
A family unit. NIEM: An association between parents and children.
ActivityRequest
0..∞
A request for an activity.
Obligation
0..∞
Something owed.